Dependency Parsing and Feature-based Parsing

Ling 571 — Deep Processing Techniques for NLP Shane Steinert-Threlkeld

Announcements

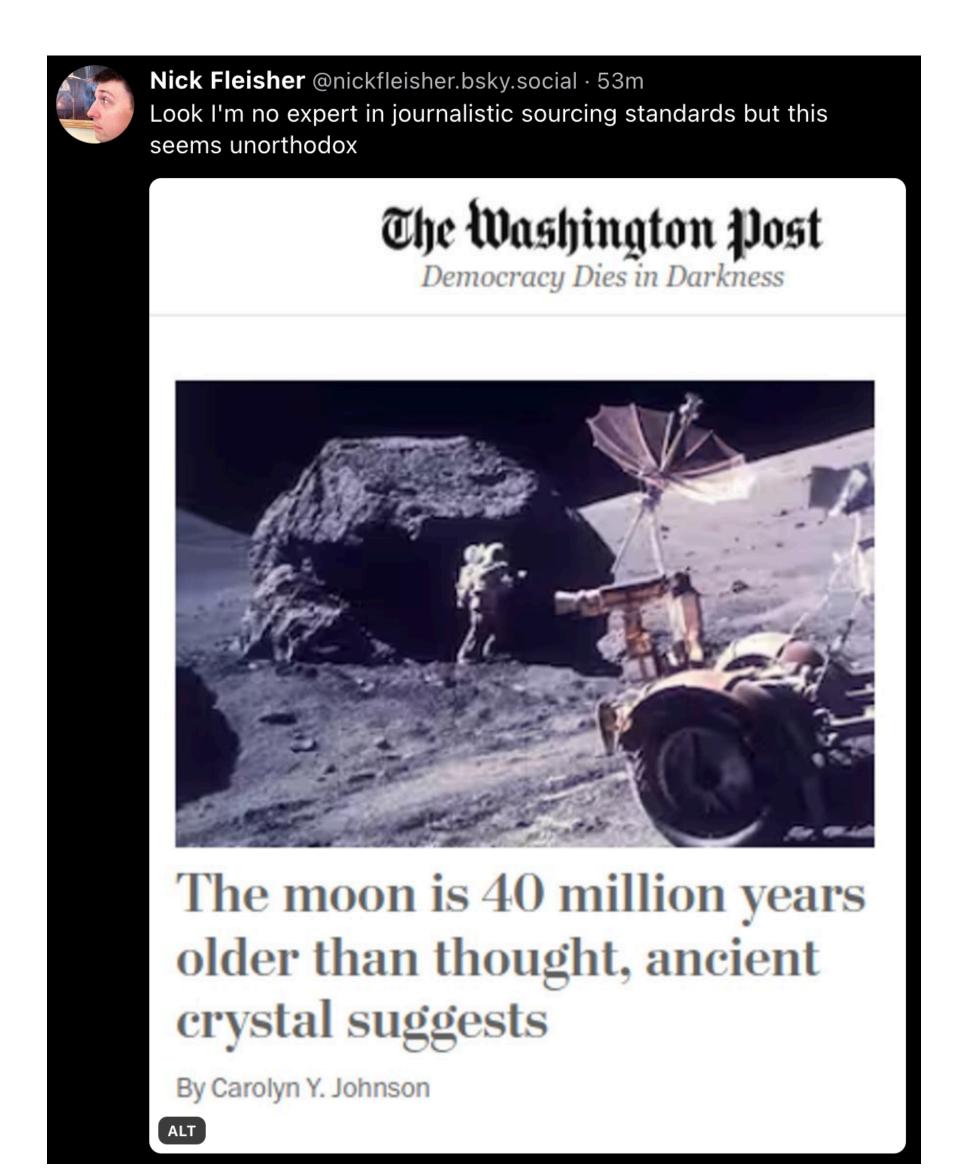
- HW2 grades out, HW3 soon
- HW3 reference code available
 - Sym-linked from hw4 directory (example_cky.py)
- HW4 slides, notes on OOV: not necessary in base implementation; can be used as your improvement (for coverage)
- For hw4, can use:
 - nltk.tree.Tree
 - nltk.tree.Tree.productions()

Python Feature of the Week

- <u>Dataclasses!</u> (>= 3.7)
 - Auto-generates: __init___, __repr___, __eq___, etc
 - Enables field-based access (e.g. bp.split point)
 - Can be extended just like any class
 - (frozen: not mutable, hash will be added, can be used in sets etc)
- Very useful for:
 - Simple custom data types
 - Configurations!

```
@dataclass(frozen=True)
class Backpointer:
    current_nonterminal: Nonterminal
    split_point: int
    left_child: Nonterminal
    right_child: Nonterminal
```

Headline of the Week

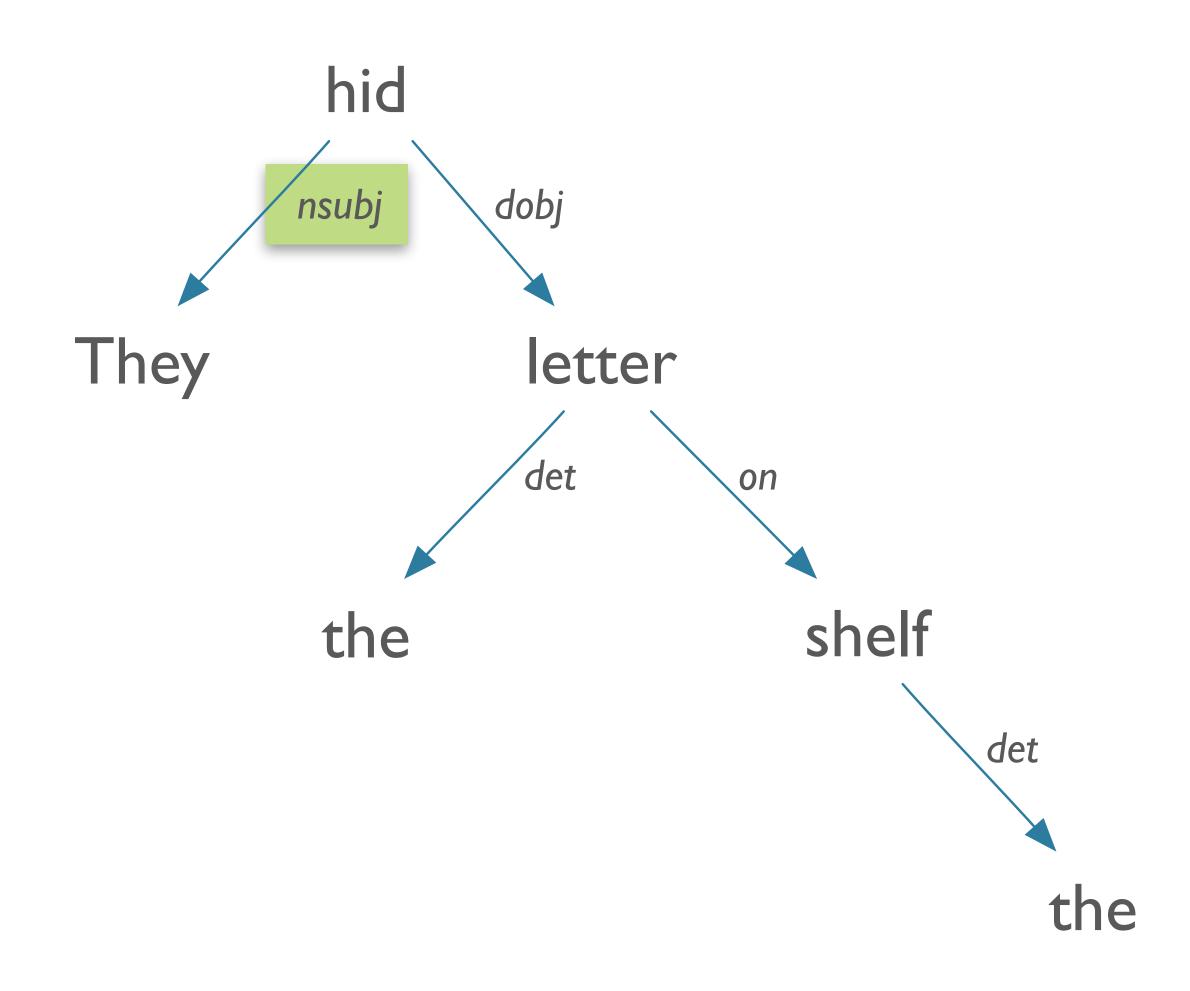


- Dependency Parsing
 - Transition-based Parsing
- Feature-based Parsing
 - Motivation
 - Features
 - Unification

Dependency Parse Example:

They hid the letter on the shelf

Argument Dependencies			
Abbreviation	Description		
nsubj	nominal subject		
csubj	clausal subject		
dobj	direct object		
iobj	indirect object		
pobj	object of preposition		
Modifier Dependencies			
Abbreviation	Description		
tmod	temporal modifier		
appos	appositional modifier		
det	determiner		
prep	prepositional modifier		



Parsing defined in terms of sequence of transitions

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- Alternative methods for learning/decoding
 - Most common model: Greedy classification-based approach
 - Very efficient: *O*(*n*)

- Parsing defined in terms of sequence of transitions
- Alternative methods for learning/decoding
 - Most common model: Greedy classification-based approach
 - Very efficient: O(n)
- Best-known implementations:
 - Nivre's MALTParser
 - Nivre et al (2006); Nivre & Hall (2007)

- A transition-based system for dependency parsing is:
 - A set of configurations C

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 - A set of configurations C
 - A set of transitions between configurations

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 - A set of configurations C
 - A set of transitions between configurations
 - A transition function between configurations
 - An initialization function (for C_0)
 - A set of terminal configurations ("end states")

Configurations

- A configuration for a sentence x is the triple (Σ , B, A):
- Σ is a stack with elements corresponding to the nodes (words + ROOT) in x
- B (aka the buffer) is a list of nodes in x
- A is the set of dependency arcs in the analysis so far,
 - (w_i, L, w_j) , where w_x is a node in x and L is a dependency label

Transitions

- Transitions convert one configuration to another
 - $C_i = t(C_{i-1})$, where t is the transition
- Dependency graph for a sent:
 - The set of arcs resulting from a sequence of transitions
- The parse of the sentence is that resulting from the initial state through the sequence of transitions to a legal terminal state

To parse a sentence, we need the sequence of transitions that derives

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- How can we determine sequence of transitions, given a parse?

- To parse a sentence, we need the sequence of transitions that derives it
- How can we determine sequence of transitions, given a parse?
- This is defining our *oracle* function:
 - How to take a parse and translate it into a series of transitions

- Many different oracles:
 - Nivre's arc-standard
 - Nivre's arc-eager
 - Non-projectivity with <u>Attardi's</u>

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 - Nivre's arc-standard
 - Nivre's arc-eager
 - Non-projectivity with <u>Attardi's</u>
- Generally:
 - Use oracle to identify gold transitions
 - Train classifier to predict best transition in new config

Nivre's Arc-Standard Oracle

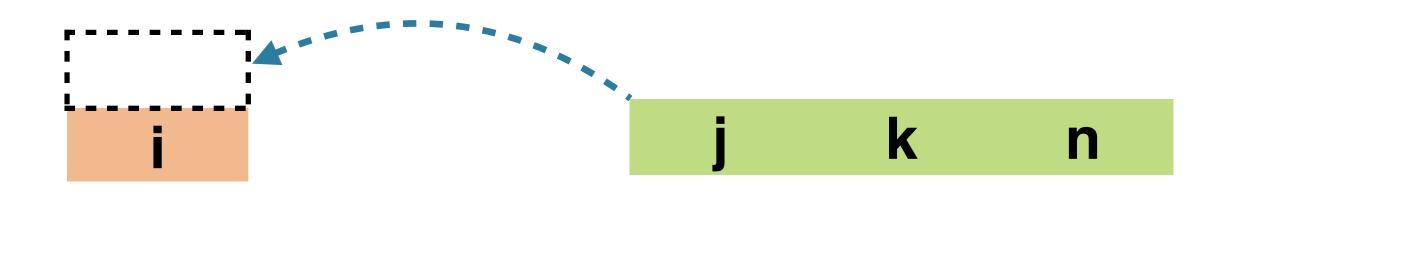
- Words: $\mathbf{W}_1, \dots, \mathbf{W}_n$
 - $\mathbf{W}_0 = ROOT$
- Initialization:
 - Stack = $[w_0]$; Buffer = $[w_1, ..., w_n]$; Arcs = \emptyset
- Termination:
 - Stack = σ ; Buffer= []; Arcs = A
 - for any σ and A

Nivre's Arc-Standard Oracle

- Transitions are one of three:
 - Shift
 - Left-Arc
 - Right-Arc

Transitions: Shift

- Shift first element of buffer to top of stack.
 - $[i][j,k,n,\ldots][] \rightarrow [i,j][k,n,\ldots][]$



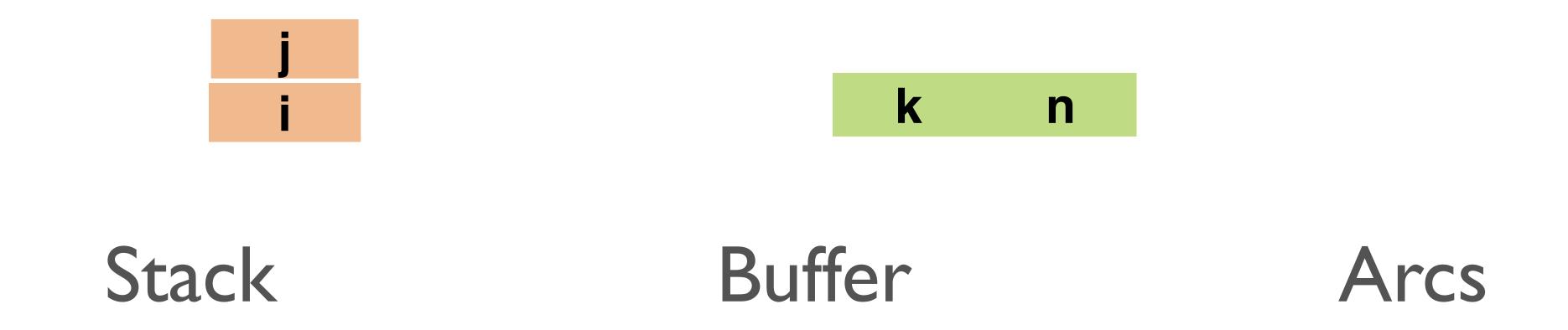
Stack

Buffer

Arcs

Transitions: Shift

- Shift first element of buffer to top of stack.
 - $[i][j,k,n,\ldots][] \rightarrow [i,j][k,n,\ldots][]$



Transitions: Left-Arc

- Add arc from element at top of stack to second element on stack with dependency label I
 - Pop second element from stack.
 - $[i,j] [k,n,...] A \rightarrow [j] [k,n,...] A \cup [(j,l,i)]$



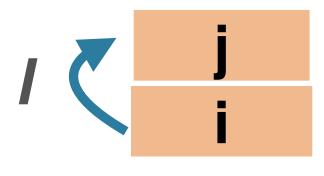
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Transitions: Right-Arc

- Add arc from second element on stack to top element on stack with dependency label I
 - Pop top element from stack.
 - $[i,j] [k,n,...] A \rightarrow [i] [k,n,...] A \cup [(i,l,j)]$



k

Stack

Buffer

Arcs

Transitions: Right-Arc

- Add arc from second element on stack to top element on stack with dependency label I
 - Pop top element from stack.
 - $[i,j] [k,n,...] A \rightarrow [i] [k,n,...] A \cup [(i,l,j)]$

k (i,l,j)

Buffer Stack Arcs

Training Process

- Each step of the algorithm is a decision point between the three states
- We want to train a model to decide between the three options at each step
 - (Reduce to a classification problem)
- We start with:
 - A treebank
 - An oracle process for guiding the transitions
 - A discriminative learner to relate the transition to features of the current configuration

Training Process, Formally:

```
(\Sigma, B, A)
1) c \leftarrow c_0(S)
    while c is not terminal
        t \leftarrow o(c) # Choose the (o)ptimal transition for the config c
3)
        c \leftarrow t(c) # Move to the next configuration
    return G<sub>c</sub>
```

Testing Process, Formally:

```
(\Sigma, B, A)
```

- 1) $c \leftarrow c_0(S)$
- while c is not terminal
- $t \leftarrow \lambda_c(c)$ # Choose the transition given model parameters at c 3)
- $c \leftarrow t(c)$ # Move to the next configuration
- return G_c

Representing Configurations with Features

Address

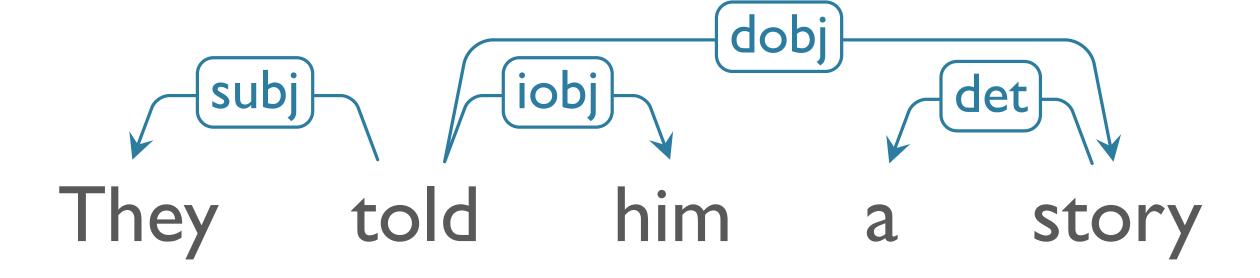
- Locate a given word:
 - By position in stack
 - By position in buffer
 - By attachment to a word in buffer

Attributes

- Identity of word
- lemma for word
- POS tag of word
- Dependency label for word ← conditioned on previous decisions!

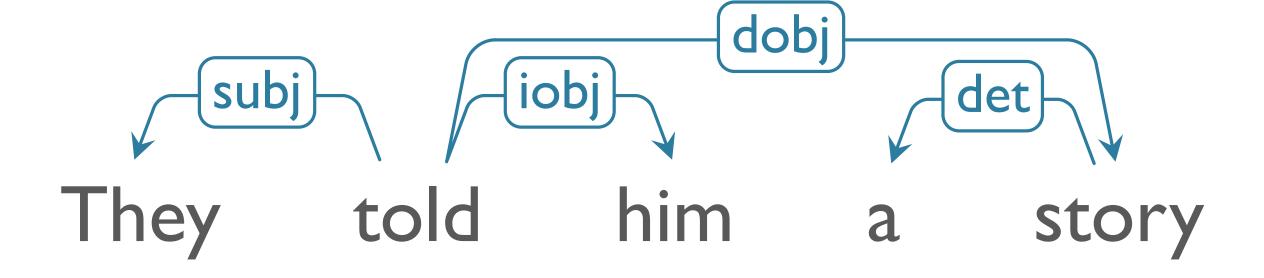
Example:

Action	Stack	Buffer
	[ROOT]	[They told him a story]



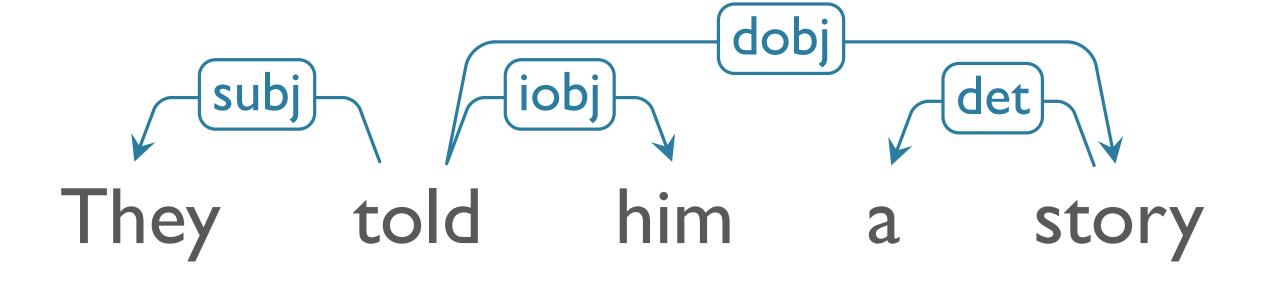
Example:

Action	Stack	Buffer
	[ROOT]	[They told him a story]
Shift	[ROOT, They]	[told him a story]

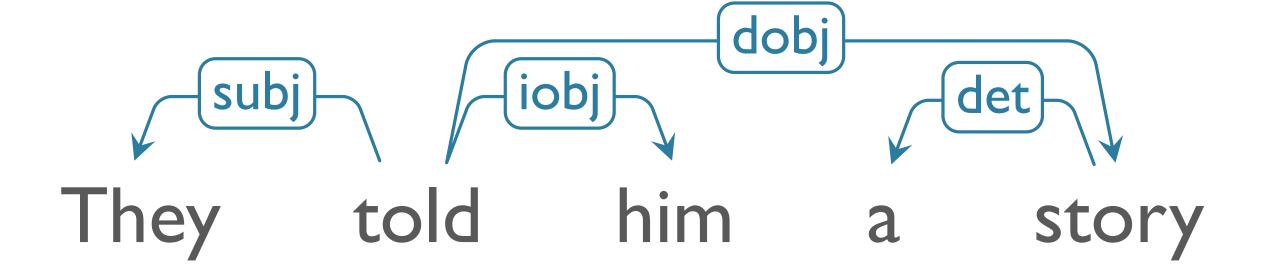


Example:

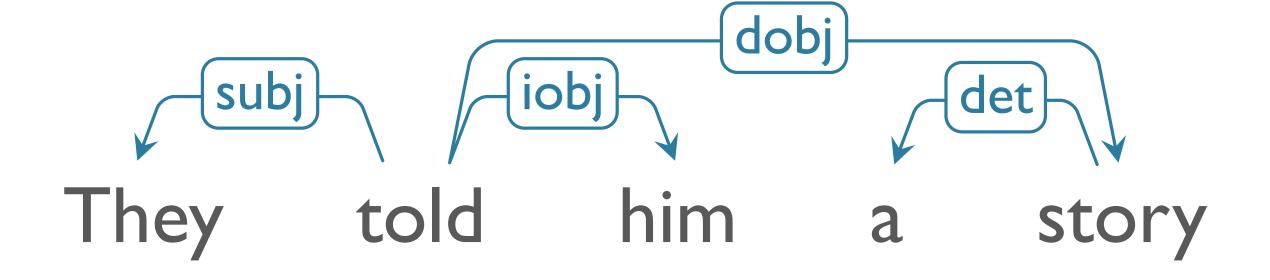
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Shift	[ROOT, They]	[told him a story]
Shift	[ROOT, They, told]	[him a story]



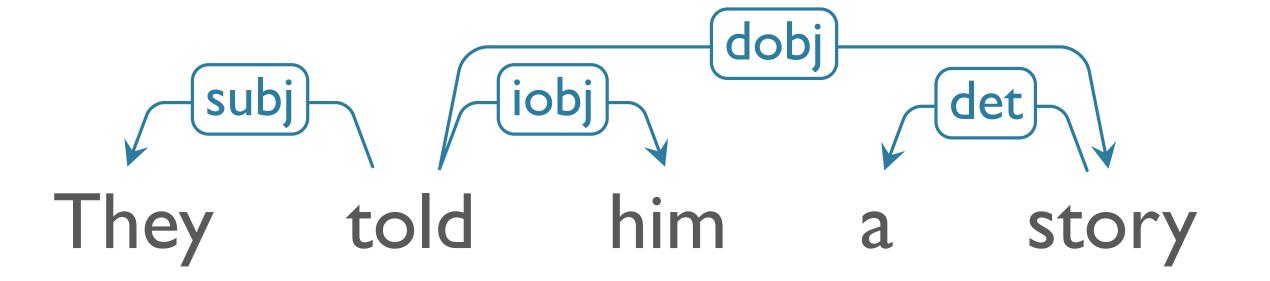
Action	Stack	Buffer	
	[ROOT]	[They told him a story]	
Shift	[ROOT, They]	[told him a story]	
Shift	[ROOT, They, told]	[him a story]	
Left-Arc (subj)	[ROOT, told]	[him a story]	



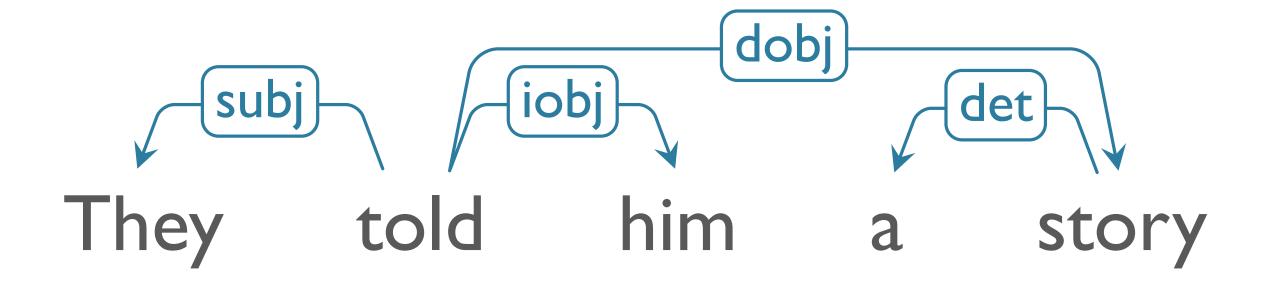
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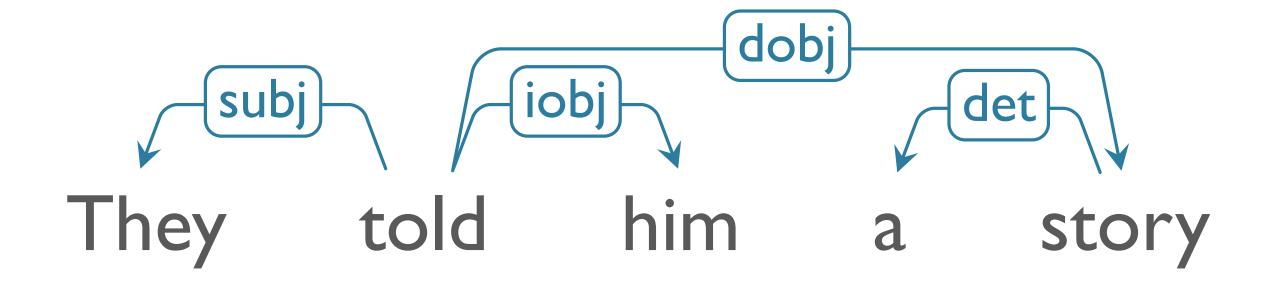
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Shift	[ROOT, told, him]	[a story]	
Right-Arc (iobj)	[ROOT, told]	[a story]	



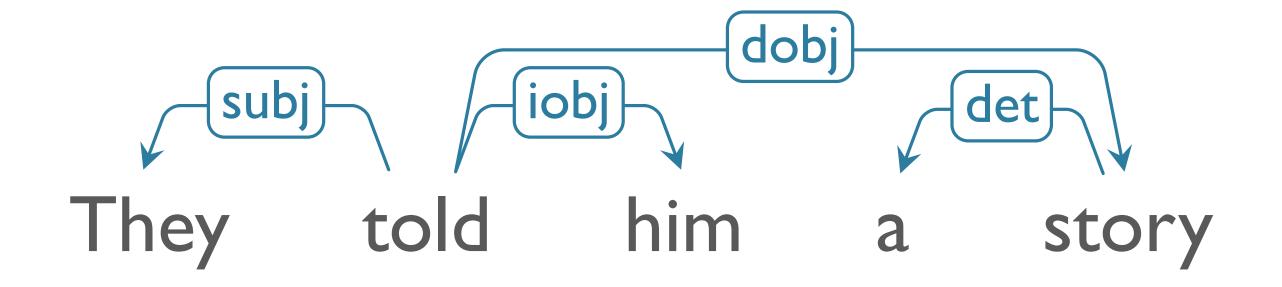
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Shift	[ROOT, told, him]	[a story]
Right-Arc (iobj)	[ROOT, told]	[a story]
Shift	[ROOT, told, a]	[story]



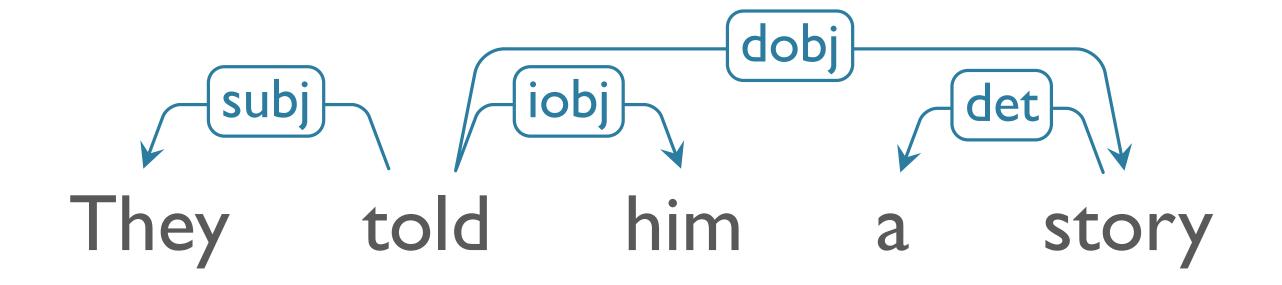
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Shift	[ROOT, told, him]	[a story]
Right-Arc (iobj)	[ROOT, told]	[a story]
Shift	[ROOT, told, a]	[story]
Shift	[ROOT,told, a, story]	



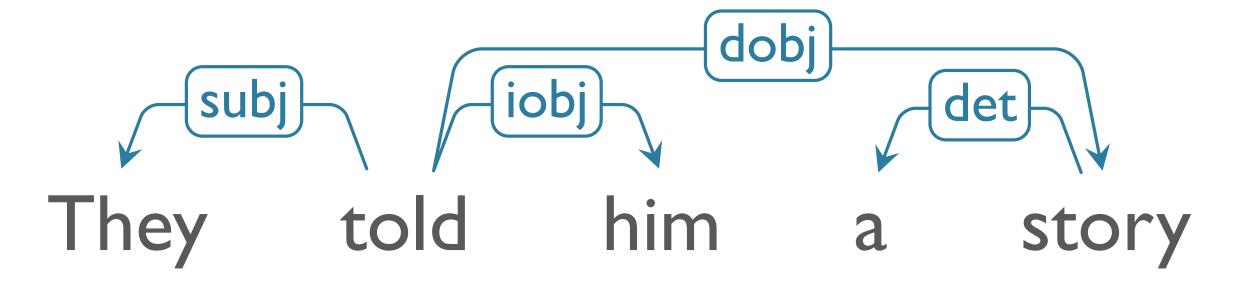
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Left-Arc (subj)	[ROOT, told]	[him a story]	
Shift	[ROOT, told, him]	[a story]	
Right-Arc (iobj)	[ROOT, told]	[a story]	
Shift	[ROOT, told, a]	[story]	
Shift	[ROOT,told, a, story]		
Left-Arc (Det)	[ROOT, told, story]		



Action	Stack	Buffer	
	[ROOT]	[They told him a story]	
Shift	[ROOT, They]	[told him a story]	
Shift	[ROOT, They, told]	[him a story]	
Left-Arc (subj)	[ROOT, told]	[him a story]	
Shift	[ROOT, told, him]	[a story]	
Right-Arc (iobj)	[ROOT, told]	[a story]	
Shift	[ROOT, told, a]	[story]	
Shift	[ROOT,told, a, story]		
Left-Arc (Det)	[ROOT, told, story]		
Right-Arc (dobj)	[ROOT, told]		



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Shift	[ROOT, They]	[told him a story]
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Left-Arc (subj)	[ROOT, told]	[him a story]
Shift	[ROOT, told, him]	[a story]
Right-Arc (iobj)	[ROOT, told]	[a story]
Shift	[ROOT, told, a]	[story]
Shift	[ROOT,told, a, story]	
Left-Arc (Det)	[ROOT, told, story]	
Right-Arc (dobj)	[ROOT, told]	
Right-Arc (root)	[ROOT]	



Transition-Based Parsing Summary

Shift-Reduce [reduce = pop] paradigm, bottom-up approach

• Pros:

- Single pass, O(n) complexity
- Reduce parsing to classification problem; easy to introduce new features

• Cons:

- Only makes local decisions, may not find global optimum
- Does not handle non-projective trees without hacks
 - e.g. transforming nonprojective trees to projective in training data; reconverting after

Other Notes

- ...is this a parser?
 - No, not really!
 - Transforms problem into sequence labeling task, of a sort.
 - e.g. (SH, LA, SH, RA, SH, SH, LA, RA)
 - Sequence score is sum of transition scores

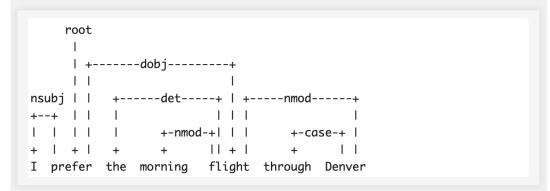
Other Notes

- Classifier: Any
 - Originally, SVMs
 - Currently: NNs (LSTMs, pre-trained Transformer-based)
- State-of-the-art: UAS: 97.2%; LAS: 95.7%
 - http://nlpprogress.com/english/dependency_parsing.html

Dependency parsing

Dependency parsing is the task of extracting a dependency parse of a sentence that represents its grammatical structure and defines the relationships between "head" words and words, which modify those heads.

Example:



Relations among the words are illustrated above the sentence with directed, labeled arcs from heads to dependents (+ indicates the dependent).

Penn Treebank

Models are evaluated on the Stanford Dependency conversion (v3.3.0) of the Penn Treebank with predicted POS-tags. Punctuation symbols are excluded from the evaluation. Evaluation metrics are unlabeled attachment score (UAS) and labeled

Model	POS	UAS	LAS	Paper / Source	Code
HPSG Parser (Joint) + XLNet (Zhou and Zhao, 2019)	97.3	97.20	95.72	Head-Driven Phrase Structure Grammar Parsing on Penn Treebank	Official
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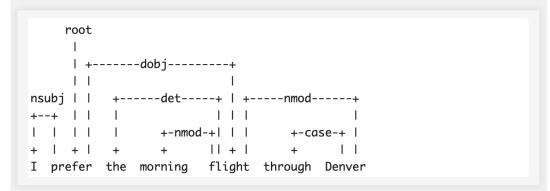
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Story time!



The latest news from Google AI

Announcing SyntaxNet: The World's Most Accurate Parser Goes Open Source

Thursday, May 12, 2016

Posted by Slav Petrov, Senior Staff Research Scientist

At Google, we spend a lot of time thinking about how computer systems can read and understand human language in order to process it in intelligent ways. Today, we are excited to share the fruits of our research with the broader community by releasing SyntaxNet, an open-source neural network framework implemented in TensorFlow that provides a foundation for Natural Language Understanding (NLU) systems. Our release includes all the code needed to train new SyntaxNet models on your own data, as well as *Parsey McParseface*, an English parser that we have trained for you and that you can use to analyze English text.

Parsey McParseface is built on powerful machine learning algorithms that learn to analyze the linguistic structure of language, and that can explain the functional role of each word in a given sentence. Because Parsey McParseface is the most accurate such model in the world, we hope that it will be useful to developers and researchers interested in automatic extraction of information, translation, and other core applications of NLU.



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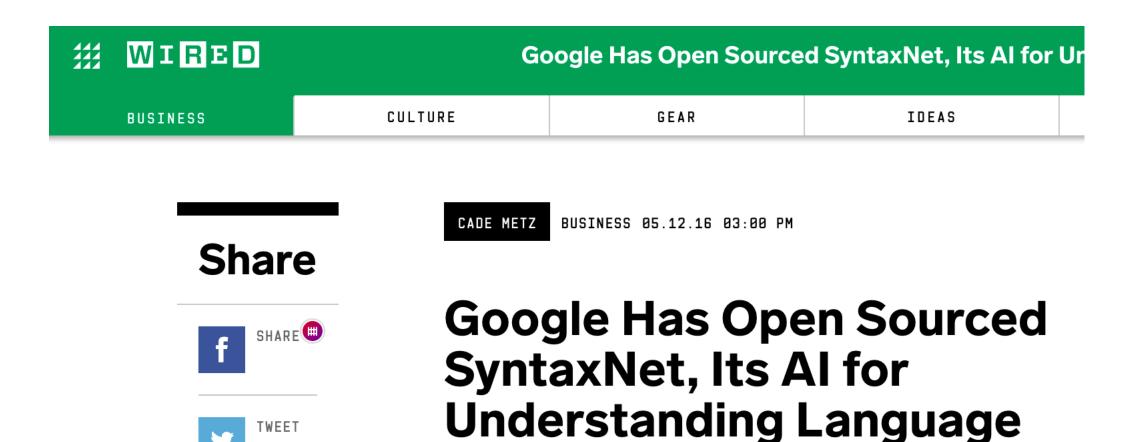
Don't laugh: Google's Parsey McParseface is a serious IQ boost for computers

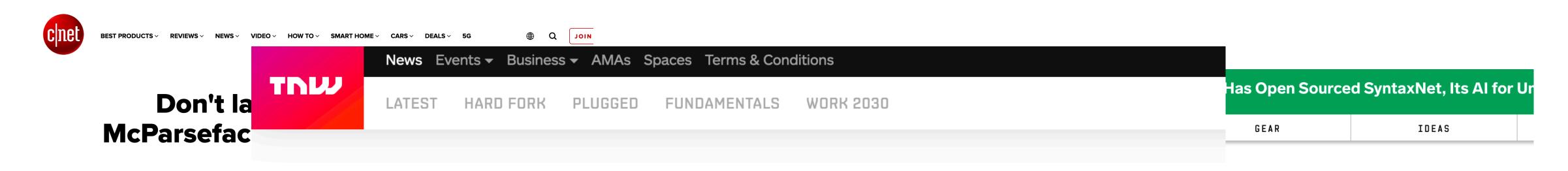


Google is giving away the tool it uses to understand language, Parsey McParseface

Okay, Google. Okay. We get it.

By Dieter Bohn | @backlon | May 12, 2016, 3:00pm EDT





THE VERGE GOOGLE \ TECH

Google is giv understand

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By Dieter Bohn | @backlon | May 12, 2016, 3:00pm EDT

Google just open sourced something called 'Parsey McParseface,' and it could change Al forever

by NATE SWANNER — May 12, 2016 in DESIGN & DEV

SS 05.12.16 03:00 PM

Has Open Sourced **Net, Its Al for** tanding Language

Globally Normalized Transition-Based Neural Networks

Daniel Andor, Chris Alberti, David Weiss, Aliaksei Severyn,
Alessandro Presta, Kuzman Ganchev, Slav Petrov and Michael Collins*

Google Inc

New York, NY

{andor,chrisalberti,djweiss,severyn,apresta,kuzman,slav,mjcollins}@google.com

Abstract

We introduce a globally normalized transition-based neural network model that achieves state-of-the-art part-of-speech tagging, dependency parsing and sentence compression results. Our model is a simple feed-forward neural network that operates on a task-specific transition system, yet achieves comparable or better accuracies than recurrent models. We discuss the importance of global as opposed to local normalization: a key insight is that the label bias problem implies that globally normalized models can be strictly more expressive than locally normalized models.

Chen and Manning (2014). We do not use any recurrence, but perform beam search for maintaining multiple hypotheses and introduce global normalization with a conditional random field (CRF) objective (Bottou et al., 1997; Le Cun et al., 1998; Lafferty et al., 2001; Collobert et al., 2011) to overcome the label bias problem that locally normalized models suffer from. Since we use beam inference, we approximate the partition function by summing over the elements in the beam, and use early updates (Collins and Roark, 2004; Zhou et al., 2015). We compute gradients based on this approximate global normalization and perform full backpropagation training of all neural network parameters based on the CRF loss.

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Globally Normalized Transition-Based Neural Networks

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Great paper

Many methodological lessons on how to improve transition-based dependency parsing

BUT: don't believe (or at least beware) the hype!

Dependency Parsing: Summary

- Dependency Grammars:
 - Compactly represent pred-arg structure
 - Lexicalized, localized
 - Natural handling of flexible word order

Dependency Parsing: Summary

- Dependency Grammars:
 - Compactly represent pred-arg structure
 - Lexicalized, localized
 - Natural handling of flexible word order
- Dependency parsing:
 - Conversion to phrase structure trees
 - Graph-based parsing (MST), efficient non-proj $O(n^2)$
 - Transition-based parser
 - MALTparser: very efficient **O**(*n*)
 - Optimizes local decisions based on many rich features

Roadmap

- Dependency Parsing
 - Transition-based Parsing
- Feature-based Parsing
 - Motivation
 - Features
 - Unification

Feature-Based Parsing

Constraints & Compactness

- \bullet S \rightarrow NP VP
 - They run.
 - He runs.

Constraints & Compactness

- \bullet S \rightarrow NP VP
 - They run.
 - He runs.
- But...
 - *They runs
 - * He run
 - * He disappeared the flight
- Violate agreement (number/person), subcategorization -> overgeneration

Enforcing Constraints with CFG Rules

- Agreement
 - $S \rightarrow NP_{sg+3p} VP_{sg+3p}$
 - $S \rightarrow NP_{pl+3p} VP_{pl+3p}$

Enforcing Constraints with CFG Rules

- Agreement
 - $S \rightarrow NP_{sg+3p} VP_{sg+3p}$
 - $S \rightarrow NP_{pl+3p} VP_{pl+3p}$
- Subcategorization:
 - $VP \rightarrow V_{transitive} NP$
 - $VP \rightarrow V_{intransitive}$
 - $VP \rightarrow V_{ditransitive} NP NP$
- Explosive, and loses key generalizations

Need compact, general constraint

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 - Decompose into elementary features that must be consistent
 - e.g. Agreement on number, person, gender, etc

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- $S \rightarrow NP VP$ [iff NP and VP agree]
- How can we describe agreement & subcategory?
 - Decompose into elementary features that must be consistent
 - e.g. Agreement on number, person, gender, etc
- Augment CF rules with feature constraints
 - Develop mechanism to enforce consistency
 - Elegant, compact, rich representation

Feature Representations

- Fundamentally Attribute-Value pairs
 - Values may be symbols or feature structures
 - Feature path: list of features in structure to value
 - "Reentrant feature structure" sharing a structure
- Represented as
 - Attribute-Value Matrix (AVM)
 - Directed Acyclic Graph (DAG)

Attribute-Value Matrices (AVMs)

```
ATTRIBUTE<sub>1</sub> value<sub>1</sub>
ATTRIBUTE<sub>2</sub> value<sub>2</sub>
 \vdots
ATTRIBUTE<sub>n</sub> value<sub>n</sub>
```

AVM Examples

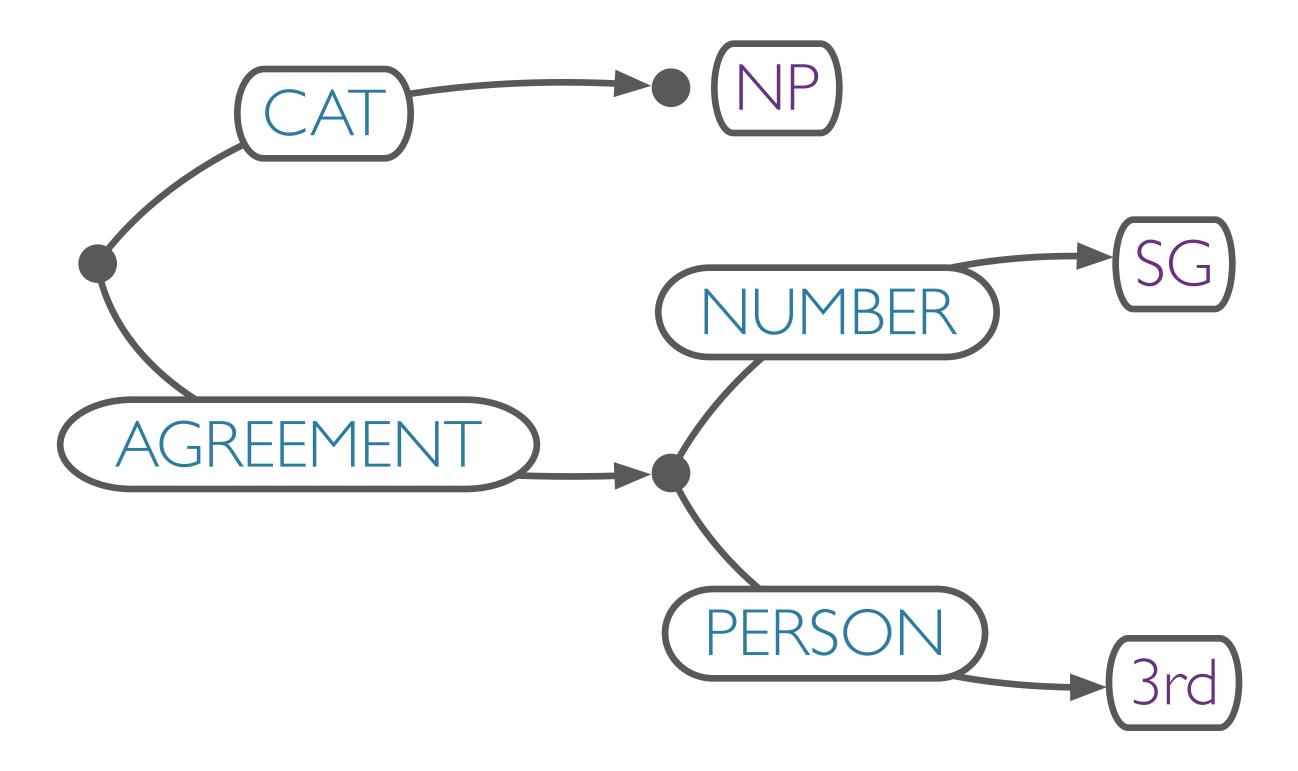
NUMBER PL PERSON 3 CAI NUMBER PL NUMBER PL PERSON 3 **(A)**

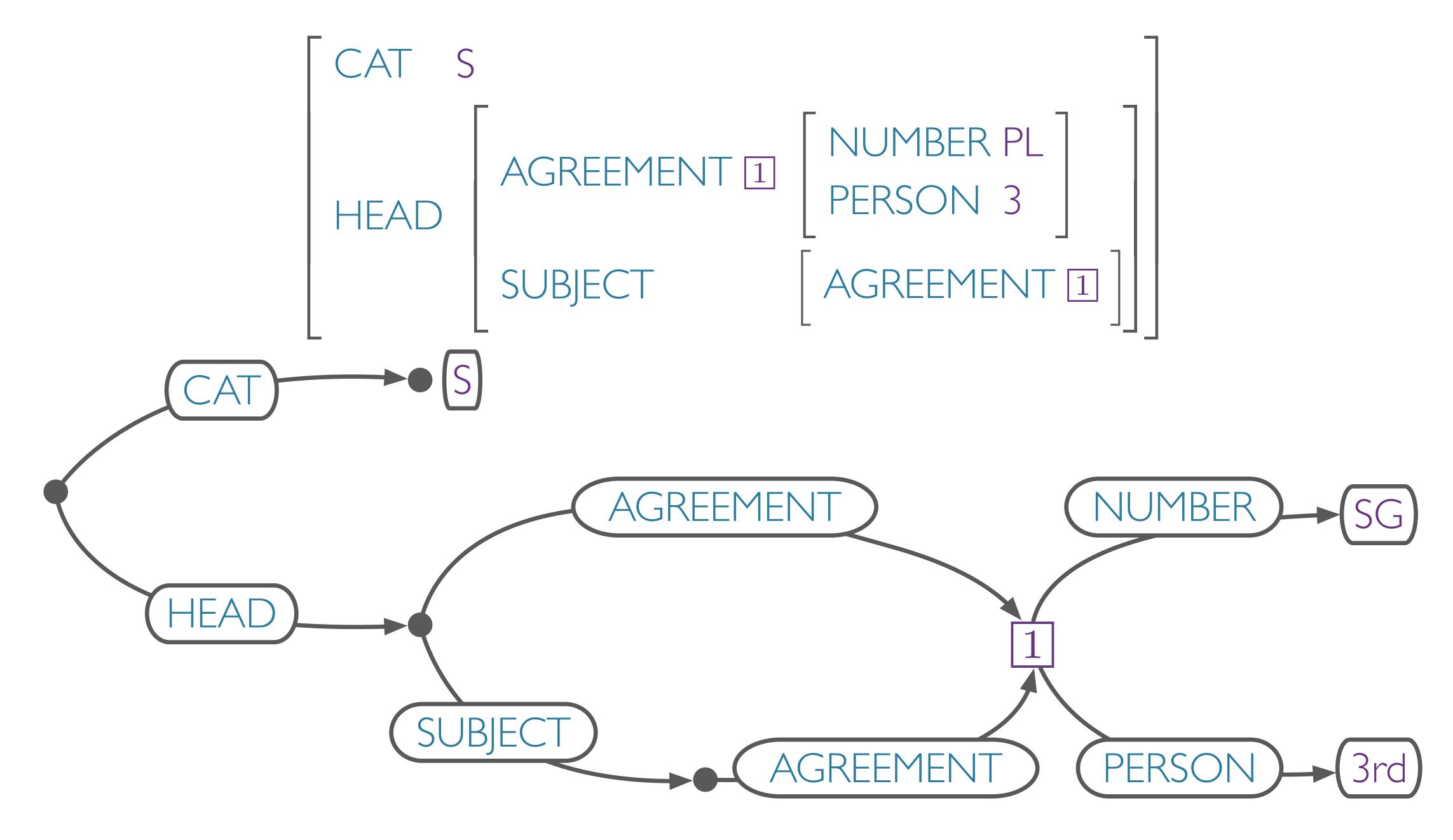
(B)

(D)

AVM vs. DAG

CAT NUMBER PL
PERSON 3 AGREEMENT





Using Feature Structures

- Feature Structures provide formalism to specify constraints
- ...but how to apply the constraints?
- Unification

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 - Merge compatible feature structures
 - Reject incompatible feature structures

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- Result of unification incorporates constraints of both

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- FS **F** subsubmes FS **G** iff:
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- Examples:

• A =
$$\begin{bmatrix} NUMBER SG \end{bmatrix}$$
 B = $\begin{bmatrix} PERSON 3 \end{bmatrix}$

C = $\begin{bmatrix} NUMBER SG \\ PERSON 3 \end{bmatrix}$

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•
$$A = \begin{bmatrix} NUMBER SG \end{bmatrix}$$
 $B = \begin{bmatrix} PERSON 3 \end{bmatrix}$ • A subsumes C

- B subsumes C
- B & A don't subsume

$$\left[\text{NUMBER SG} \right] \sqcup \left[\text{NUMBER SG} \right] = \left[\text{NUMBER SG} \right]$$

• Different Specs
$$\begin{bmatrix} NUMBER SG \end{bmatrix} \coprod \begin{bmatrix} PERSON 3 \end{bmatrix} = \begin{bmatrix} NUMBER SG \\ PERSON 3 \end{bmatrix}$$

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Larger Unification Example

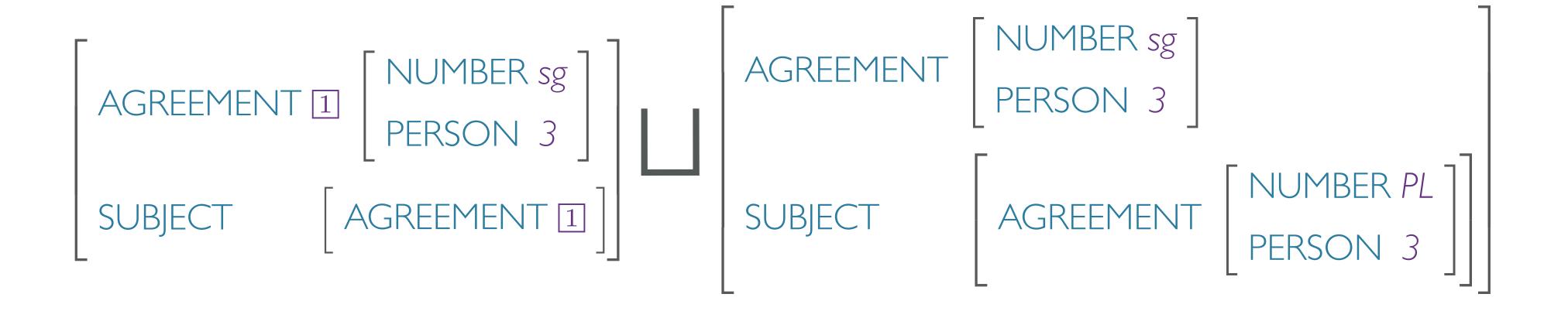
```
AGREEMENT 1 SUBJECT | AGREEMENT PERSON 3 NUMBER SG | ____
```

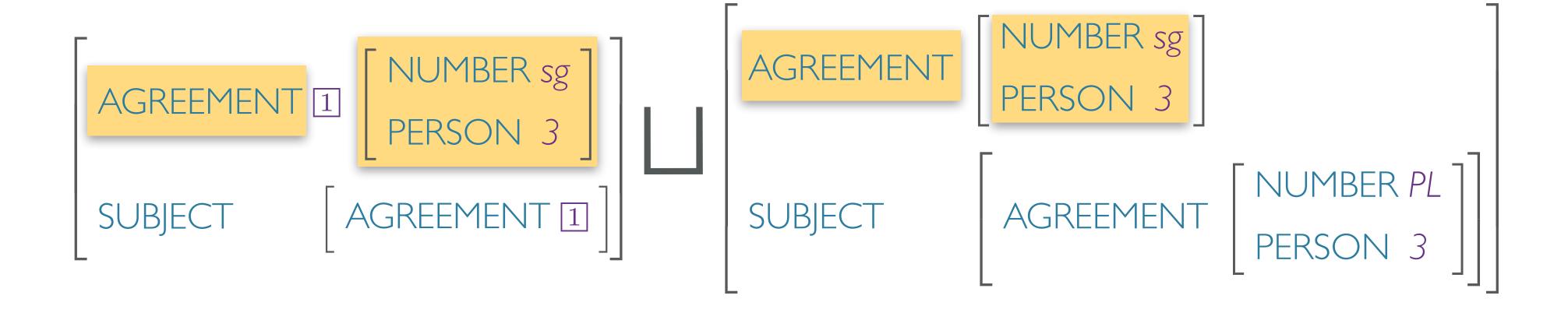
```
AGREEMENT 1
SUBJECT

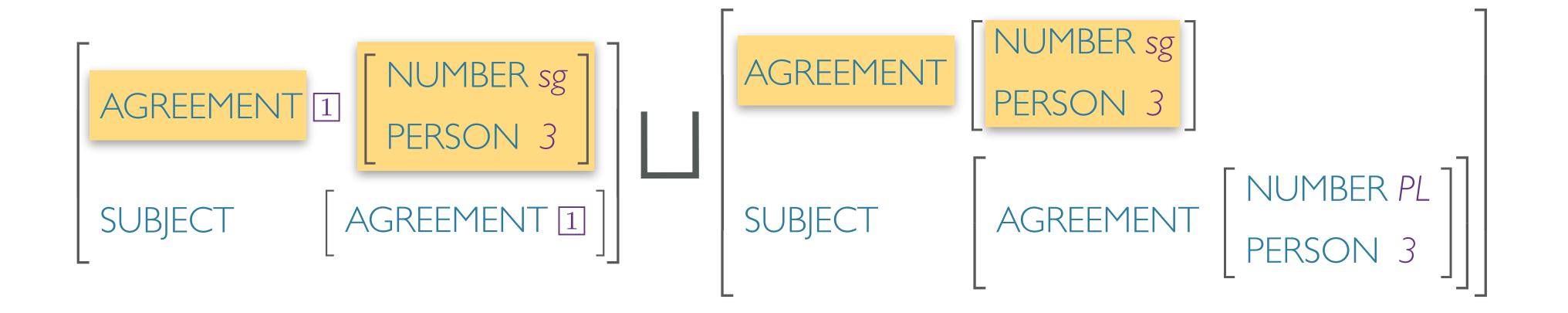
AGREEMENT [1]

PERSON 3

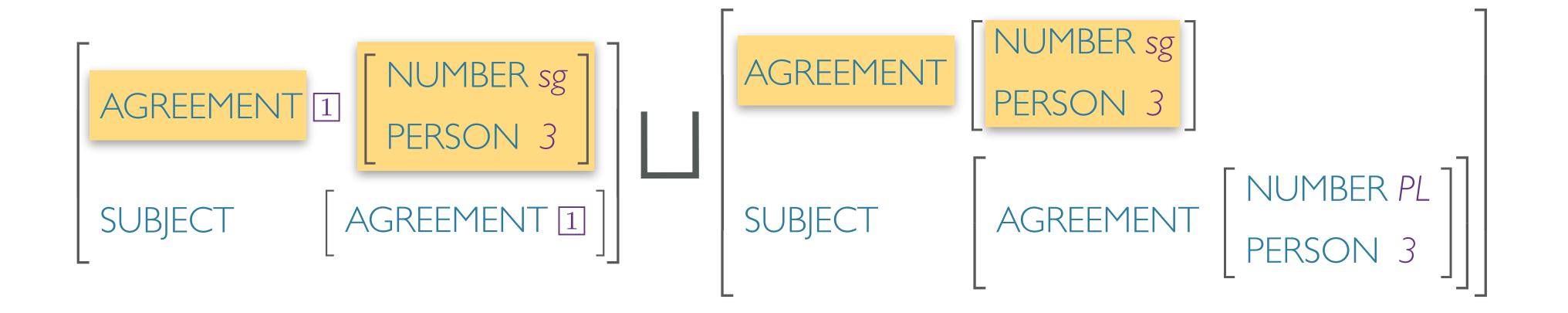
NUMBER SG
```



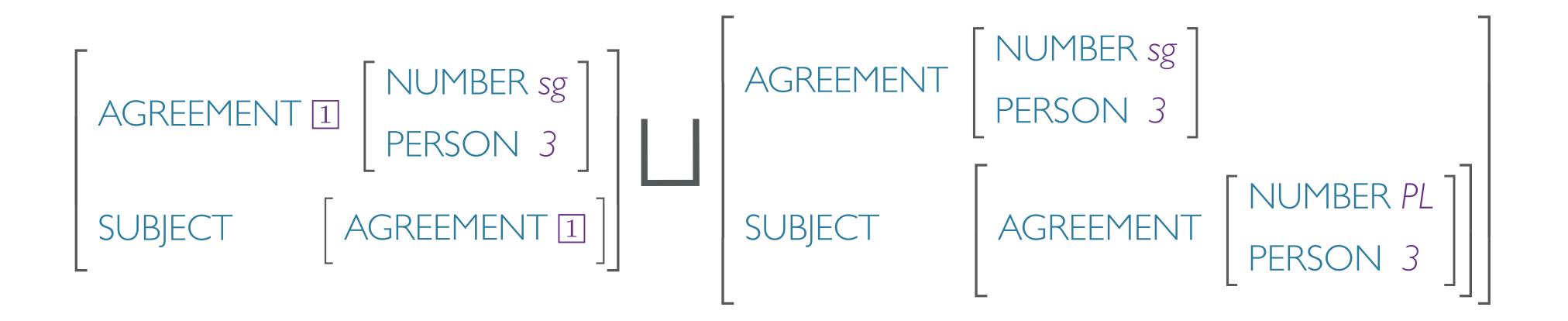


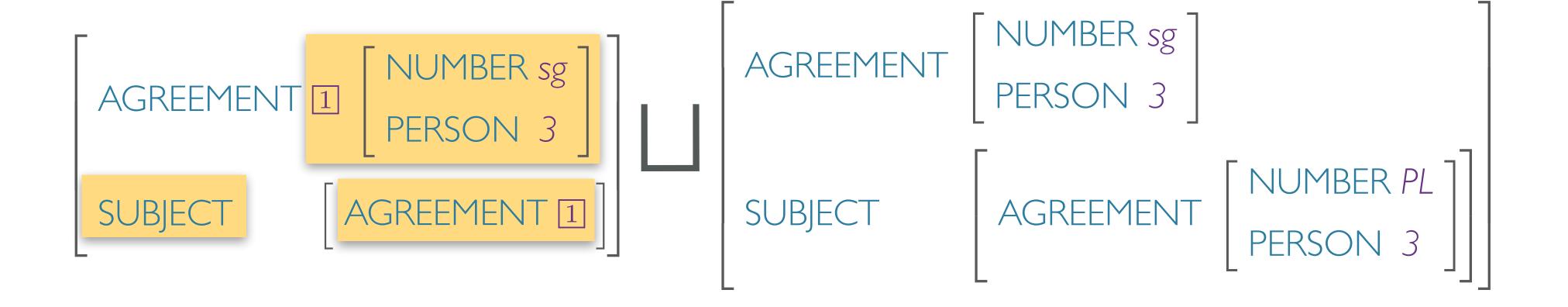


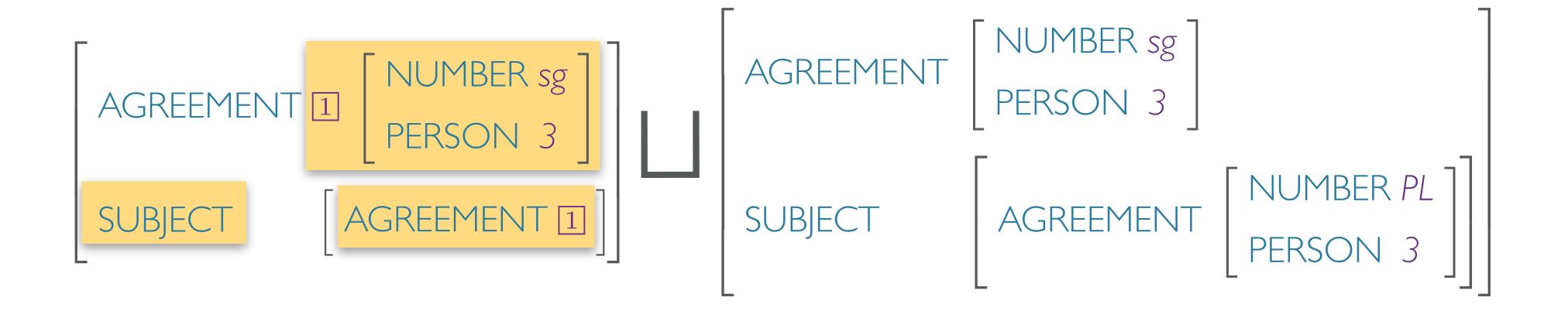


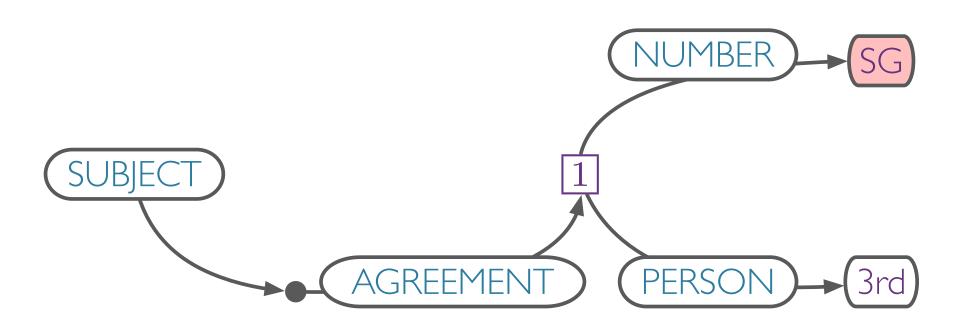


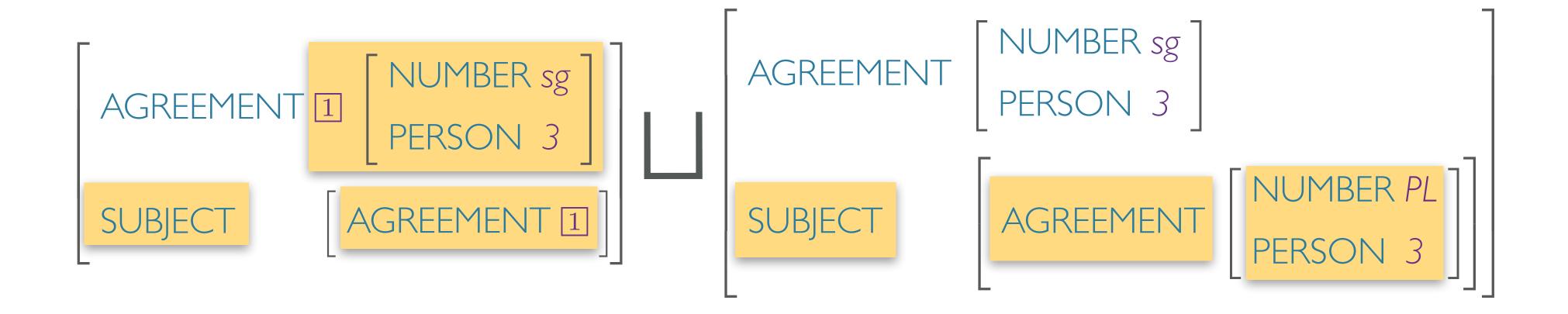


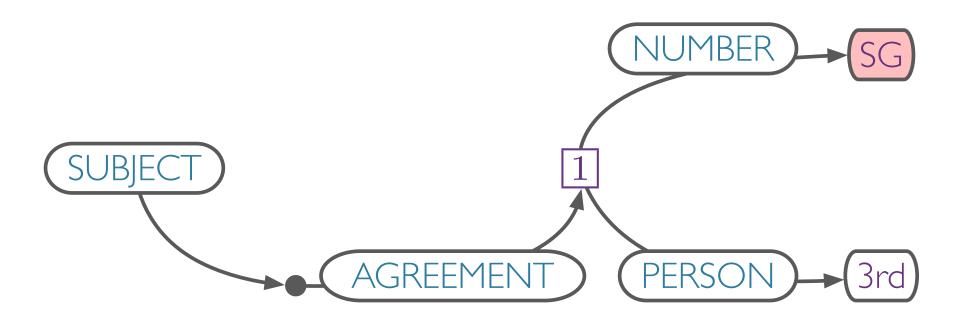


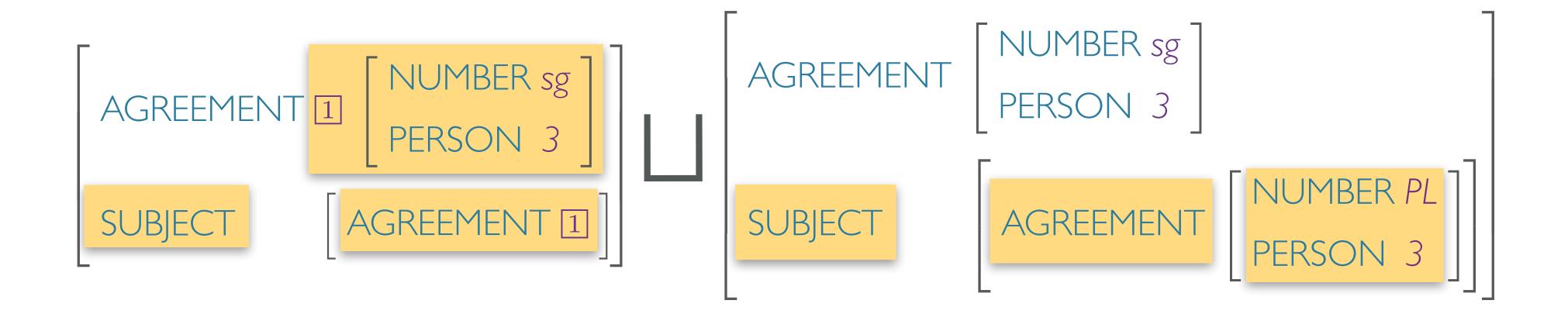


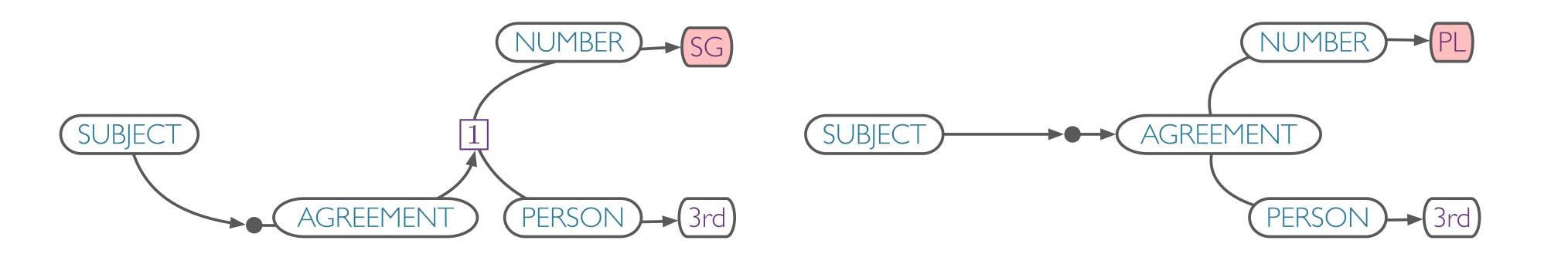


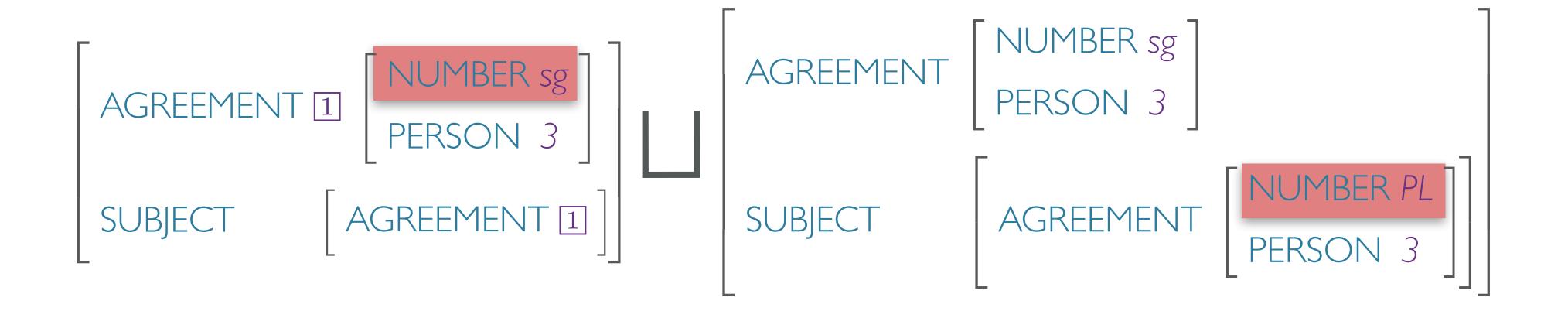


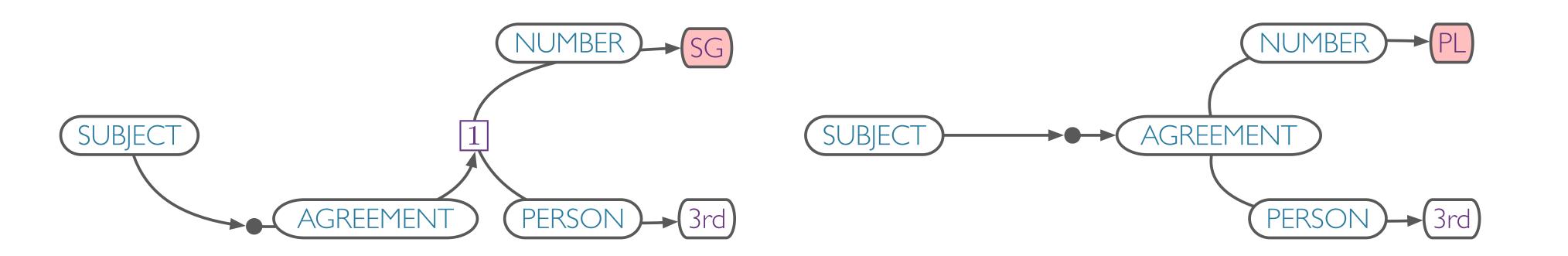


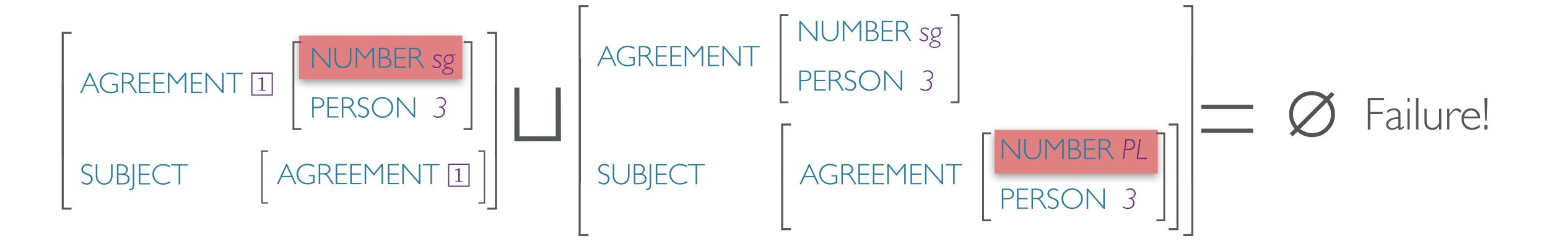


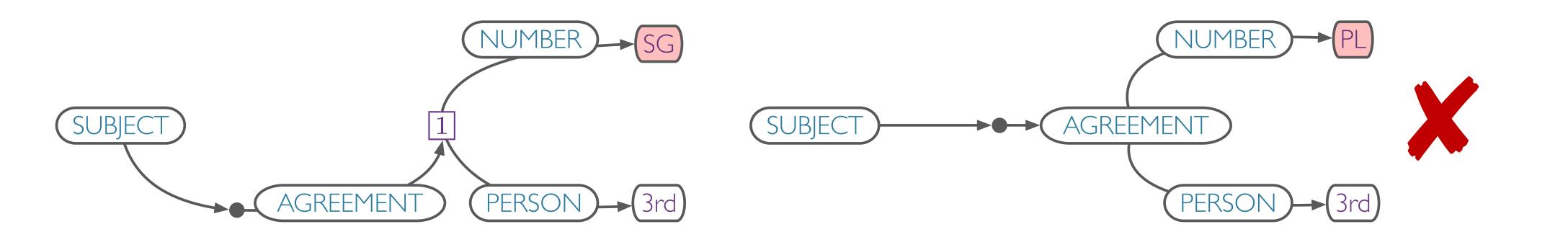












- $\bullet \quad \beta \rightarrow \beta_1 \dots \beta_n$ {set of constraints} $\langle \beta_i \text{ feature path} \rangle = Atomic value | \langle \beta_i \text{ feature path} \rangle$
- $PRON \rightarrow \text{'he'}$

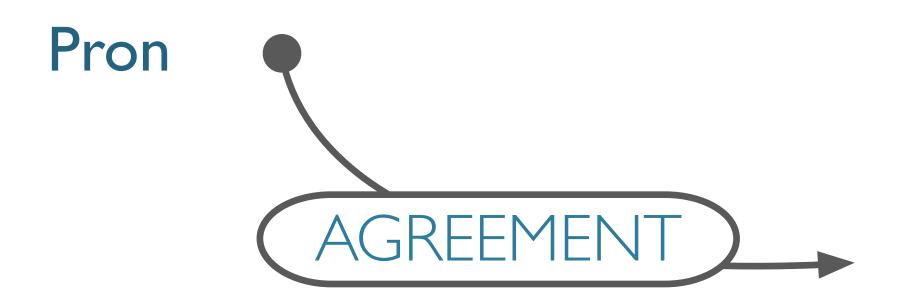
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PRON

Pron

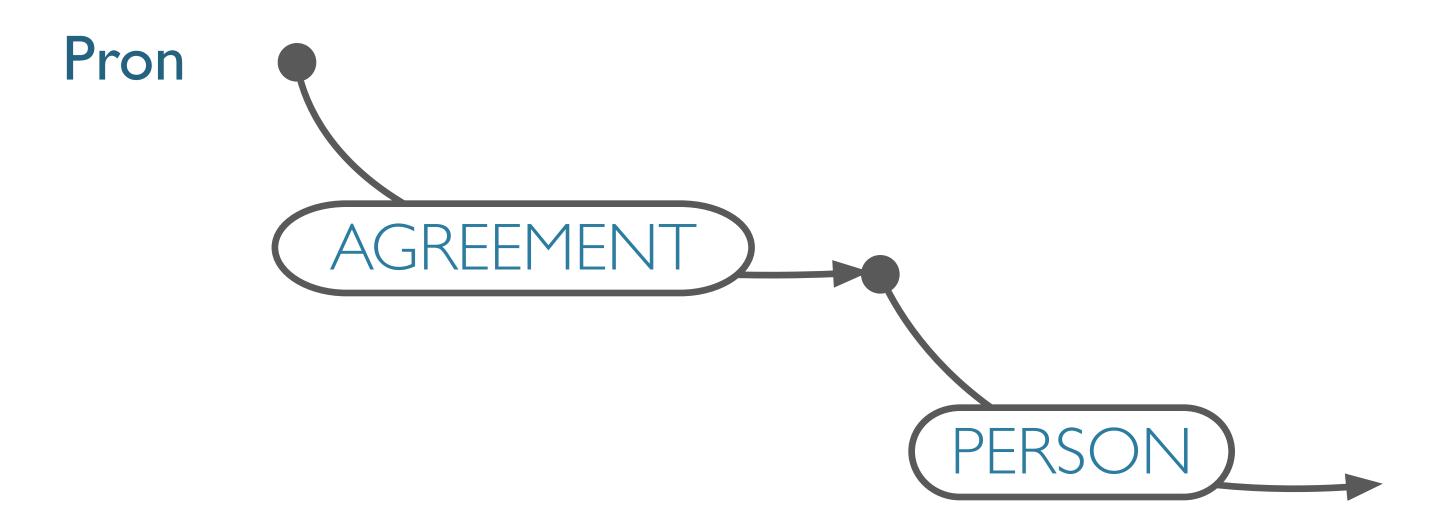
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PRON AGREEMENT



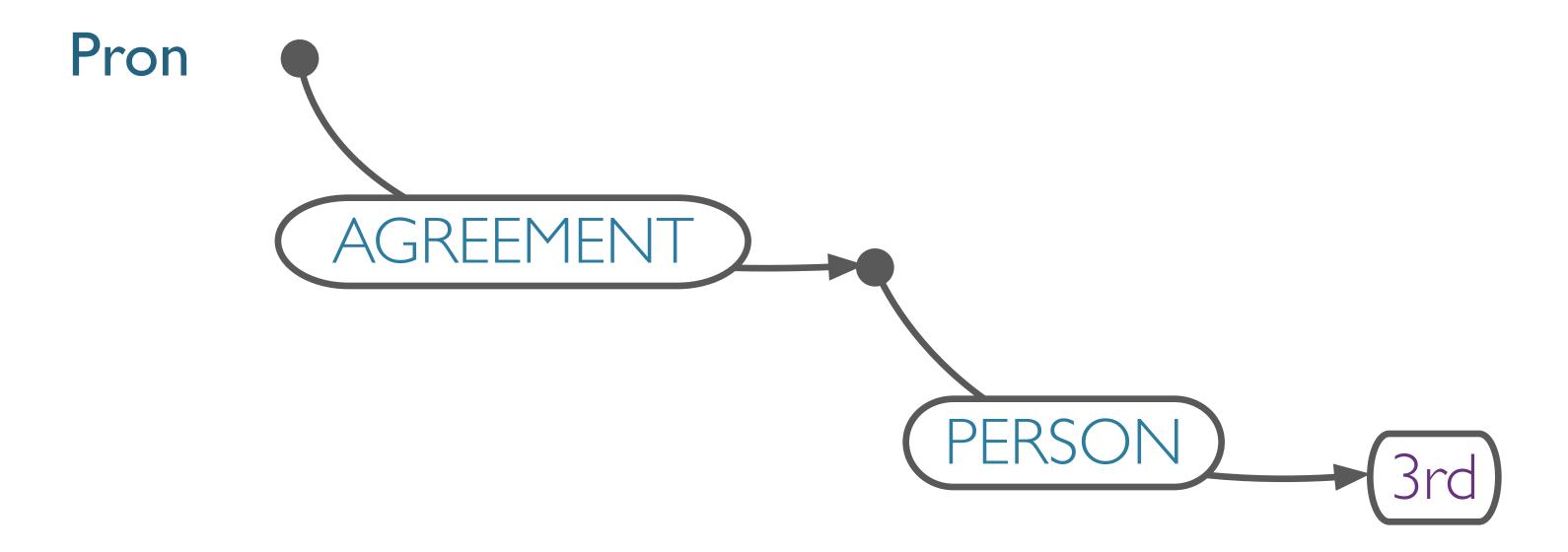
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(PRON AGREEMENT PERSON)

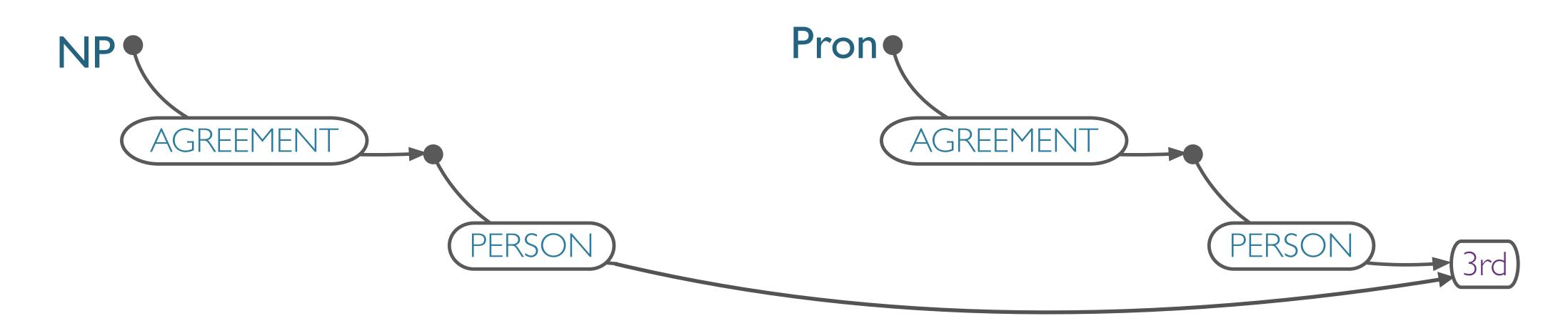


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(PRON AGREEMENT PERSON) = 3rd



- $\beta \rightarrow \beta_1 \dots \beta_n$ {set of constraints} $\langle \beta_i \text{ feature path} \rangle = \text{Atomic value } \langle \beta_i \text{ feature path} \rangle$
- NP → Pron
 NP AGREEMENT PERSON > = (Pron AGREEMENT PERSON)



• $\beta \rightarrow \beta_1 \dots \beta_n$ {set of constraints} $\langle \beta_i \text{ feature path} \rangle = \text{Atomic value } \langle \beta_i \text{ feature path} \rangle$

• $NP \rightarrow PRON$ $\langle NP | AGREEMENT | PERSON \rangle = \langle PRON | AGREEMENT | PERSON \rangle$ "unifiable" Pron NP AGREEMEN⁻ AGREEMEN^T PERSON PERSON

Agreement with Heads and Features

```
• \beta \rightarrow \beta_1 \dots \beta_n

{set of constraints} \langle \beta_i \text{ feature path} \rangle = \text{Atomic value} | \langle \beta_j \text{ feature path} \rangle

S \rightarrow NP VP
Det \rightarrow \text{this}
```

 $\langle NP | AGREEMENT \rangle = \langle VP | AGREEMENT \rangle$

 $S \rightarrow Aux NP VP$ $\langle Aux Agreement \rangle = \langle NP Agreement \rangle$

NP → Det Nominal

⟨Det Agreement⟩ = ⟨Nominal Agreement⟩
⟨NP Agreement⟩ = ⟨Nominal Agreement⟩

Aux → does

 $\langle AUX \text{ AGREEMENT NUMBER} \rangle = sg$ $\langle AUX \text{ AGREEMENT PERSON} \rangle = 3rd$ $Det \rightarrow this$ < $Det \land AGREEMENT \land NUMBER \gt = sg$

Det → these
<Det AGREEMENT NUMBER> = pl

Verb → serve

⟨Verb AGREEMENT NUMBER⟩ = pl

Noun → flight $\langle Noun \text{ Agreement Number} \rangle = sg$

Simple Feature Grammars in NLTK

 \bullet S \rightarrow NP VP

Simple Feature Grammars

```
• S \rightarrow NP[NUM=?n] VP[NUM=?n]
• NP[NUM=?n] \rightarrow N[NUM=?n]
NP[NUM=?n] -> PropN[NUM=?n]
NP[NUM=?n] -> Det[NUM=?n] N[NUM=?n]
• Det[NUM=sg] -> 'this' | 'every'
• Det[NUM=pl] -> 'these' | 'all'
• N[NUM=sg] -> 'dog' | 'girl' | 'car' | 'child'
• N[NUM=pl] -> 'dogs' | 'girls' | 'cars' | 'children'
```

Parsing with Features

```
>>> cp = load_parser('grammars/book_grammars/
feat0.fcfg')
>>> for tree in cp.parse(tokens):
        print(tree)
(S[] (NP[NUM='sg'])
  (PropN[NUM='sg'] Kim))
    (VP[NUM='sg', TENSE='pres']
      (TV[NUM='sg', TENSE='pres'] likes)
      (NP[NUM='pl'] (N[NUM='pl'] children)))
```

Feature Applications

- Subcategorization
 - Verb-Argument constraints
 - Number, type, characteristics of args
 - e.g. is the subject *animate*?
 - Also adjectives, nouns
- Long-distance dependencies
 - e.g. filler-gap relations in wh-questions
 - "Which flight do you want me to have the travel agent book?"

Morphosyntactic Features

- Grammatical feature that influences morphological or syntactic behavior
 - English:
 - Number:
 - Dog, dogs
 - Person:
 - am; are; is
 - Case:
 - I / me; he / him; etc.

Semantic Features

- Grammatical features that influence semantic (meaning) behavior of associated units
- E.g.:
 - ?The rocks slept. ? Colorless green ideas sleep furiously. ? I handed the rock a book.
- Many proposed:
 - Animacy: +/-
 - Human: +/-
 - Adult: +/-
 - Liquid: +/-

• The climber [hiked] [for six hours].

- The climber [hiked] [for six hours].
- The climber [hiked] [on Saturday].

- The climber [hiked] [for six hours].
- The climber [hiked] [on Saturday].
- The climber [reached the summit] [on Saturday].

- The climber [hiked] [for six hours].
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- *The climber [reached the summit] [for six hours].

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- Contrast:
 - Achievement (in an instant) vs activity (for a time)