

Probabilistic Parsing: Issues & Improvement

LING 571 — Deep Processing Techniques for NLP
Shane Steinert-Threlkeld

Announcements

- Shane traveling on Wednesday for a conference
 - Our wonderful TA Cassie will deliver the lecture (intro to dependency parsing)
 - **No office hours** on Wednesday as well.

Notes on HW #3

- Python's `range` has many use cases by manipulating start/end, and step
 - `range(n)` is equivalent to `range(0, n, 1)`
- Reminder: the `rhs=` argument in NLTK's `grammar.productions()` method only matches the *first* symbol, not an entire string
 - You'll want to implement an efficient look-up based on RHS
- HW3: compare your output to running HW1 parser on the same grammar/sentences
 - order of output in ambiguous sentences could differ
- We will provide grammars in CNF; don't need to use your HW2 for that

Indigenous Peoples' Day

- Seattle/Sealth/Si'ahl



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- IPA resources:
 - https://en.wikipedia.org/wiki/International_Phonetic_Alphabet
 - <http://web.mit.edu/6.mitx/www/24.900%20IPA/IPAapp.html>



Indigenous Peoples' Day

- Studying non-English languages gives more holistic insight for NLP tasks
 - Many interesting phenomena in non-Indo-European languages

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- [Lushootseed](#) exhibits debatable distinction between verbs and nouns [link to Glottolog page for more references]
 - **?uǰ^w ti sbiaw**
goes that-which is-a-coyote
"The/a coyote goes"
 - **sbiaw ti ?uǰ^w**
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- (Translation distinction provided for clarity — semantically equivalent)

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- (Translation distinction provided for clarity — semantically equivalent)
- Lillooet Salish quantification has repercussions for e.g. English ([Matthewson 2001](#))

via [Beck, 2013](#)

Can you name any other languages indigenous to the Americas?



Nobody has responded yet.

Hang tight! Responses are coming in.

How many indigenous languages do you think there are in the US today?

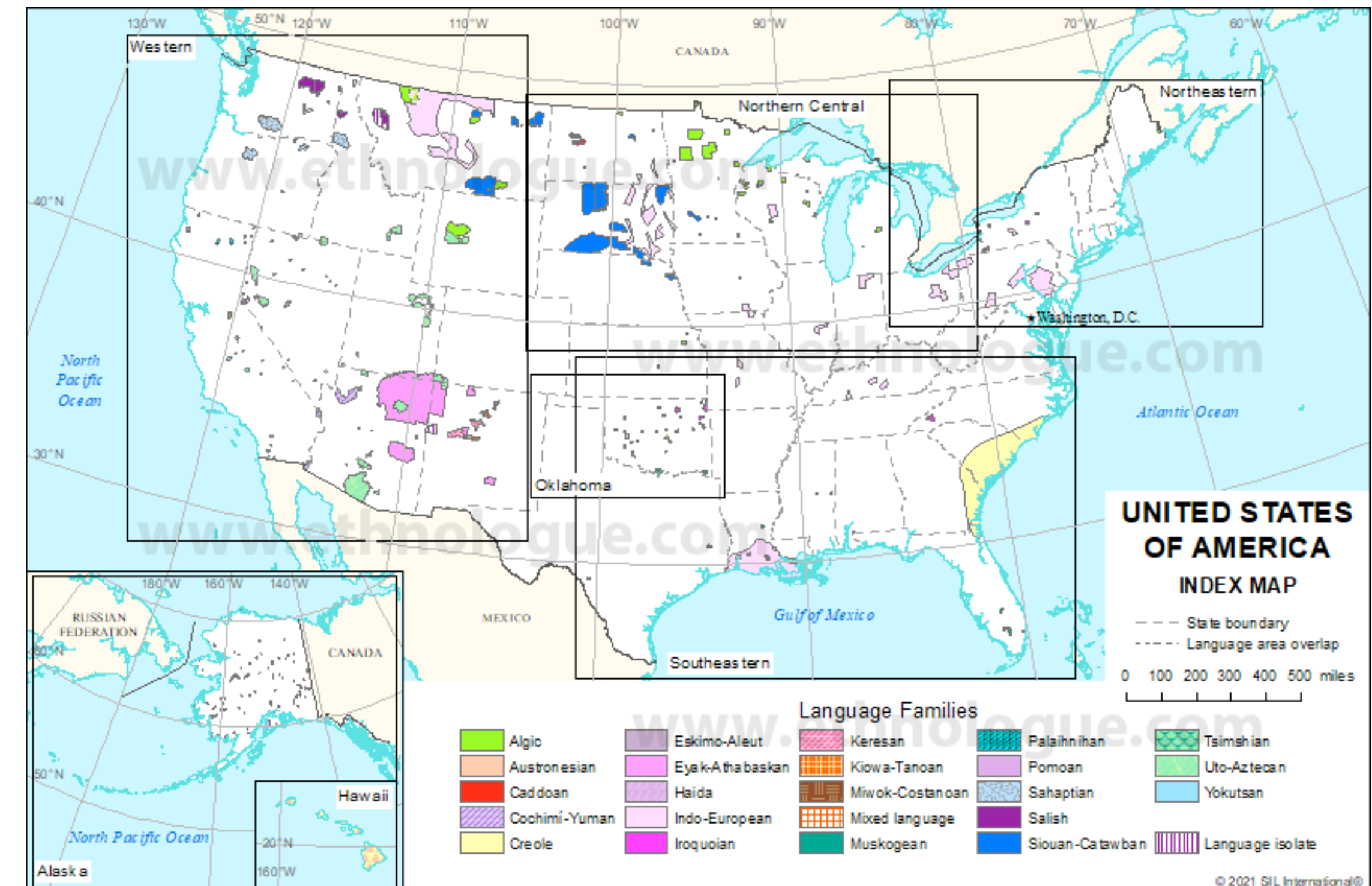


How many languages do you think were spoken in the now-US at the time Europeans arrived?



Languages in the U.S.

- Current estimate (Ethnologue): 238
 - 226 living, 12 extinct
 - Lushootseed: “reawakening”
 - 195 indigenous
- Navajo: ~170,000 speakers
 - Not in U.S. top 25 by pop size
- Many, many endangered; increased need for revitalization efforts



https://www.ethnologue.com/map/US_x_

Indigenous Peoples' Day: Resources

- [UW American Indian Studies Courses](#)
 - (Sometimes including language courses, e.g. Southern Lushootseed, Salish, from Tami Hohn)
- Lushootseed resources: <https://tulaliplushootseed.com/>
- Computational Methods in the Study of Endangered Languages: <https://computel-workshop.org/>
- AmericasNLP: <https://turing.iimas.unam.mx/americasnlp/>
 - Workshop annually
 - Usually with a shared task (including great data resources!)

Unit Testing

Unit Testing

- Strategy of testing individual pieces of code in isolation
- Helps ensure:
 - Basic functionality in isolation
 - Complex functionality when individual components are combined
- In many industry jobs, you can't commit code without unit tests!
- Useful practice: write tests *before* implementing

Unit Testing in Python

- Many good tutorials on the web
 - <https://diveinto.org/python3/unit-testing.html>
- In a nutshell:

```
from unittest import TestCase
```

```
class longTests(TestCase):
```

```
    def test_three(self):
```

```
        length_3_rule = parse productions('A -> B C D')
```

```
        target_rules = parse productions('''A -> B _X0_
                                         _X0_ -> C D''')
```

```
        self.assertEqual(set(target_rules),
                          set(fix_long_rules(length_3_rule)))
```

Unit Testing in Python

- Built-in unittest module/library:

```
python -m unittest hw2.py
```

```
.....  
-----  
Ran 16 tests in 0.002s  
  
OK
```

Unit Testing

- Good practice:
 - Save input that crashes your program for a unit test
- Other popular unit testing frameworks for python (e.g. in 574):
 - `pytest`: <https://docs.pytest.org/>
 - Nice auto-discovery of tests based on file, class, and method name
 - Works with native assert statements, not special ones
 - ...
- NB: passing tests is necessary, not sufficient, for knowing your code is correct

Today's Plan

- PCFG Induction example
- Problems with PCFGs
 - Independence
 - Lack of lexical conditioning
- Improving PCFGs
 - Coverage (3 methods)
 - Efficiency

PCFG Induction

Learning Probabilities

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 - Use treebank of parsed sentences

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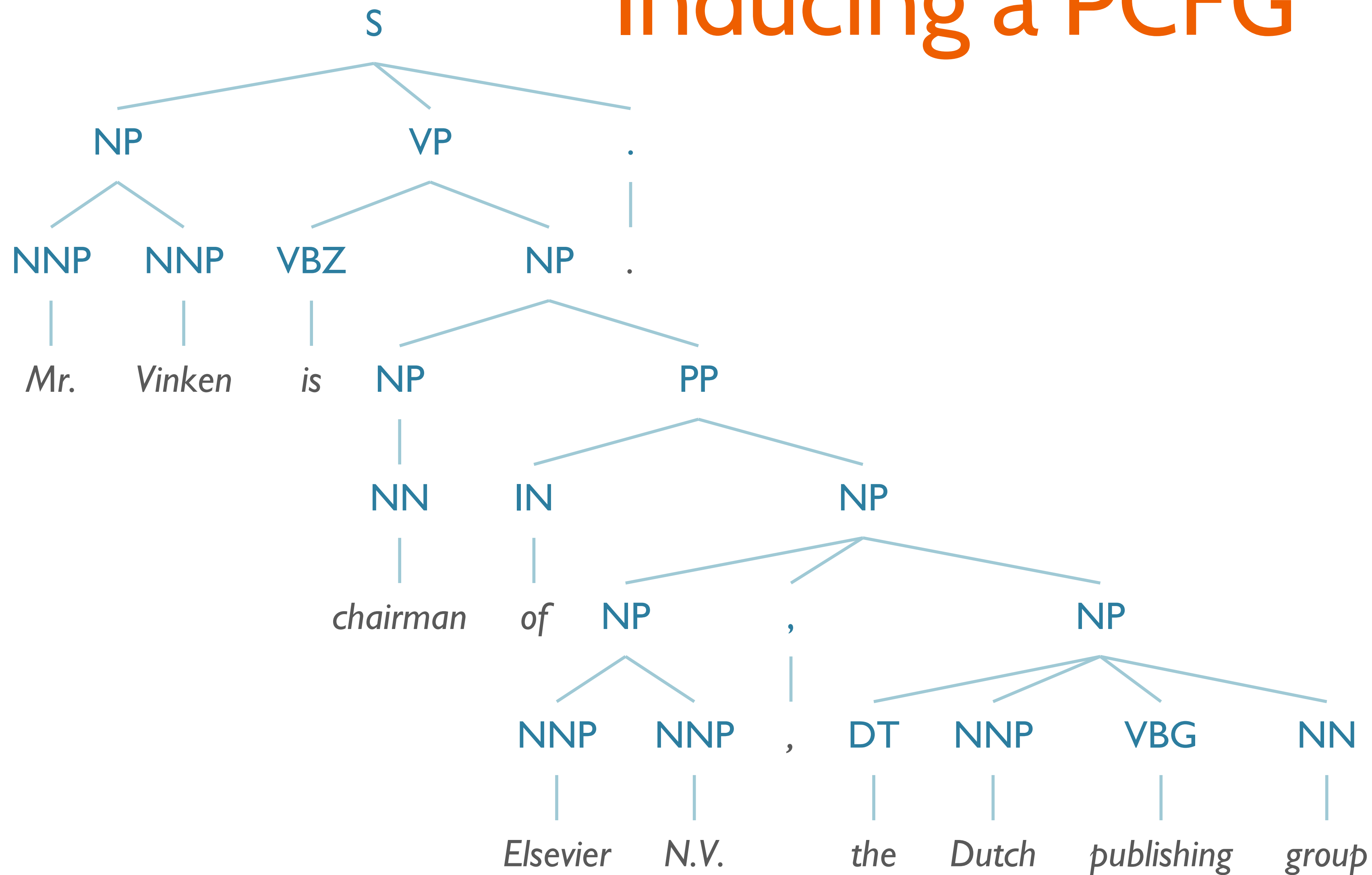
- Simplest way:
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 - Number of times a nonterminal is expanded by a given rule: $\text{Count}(\alpha \rightarrow \beta)$

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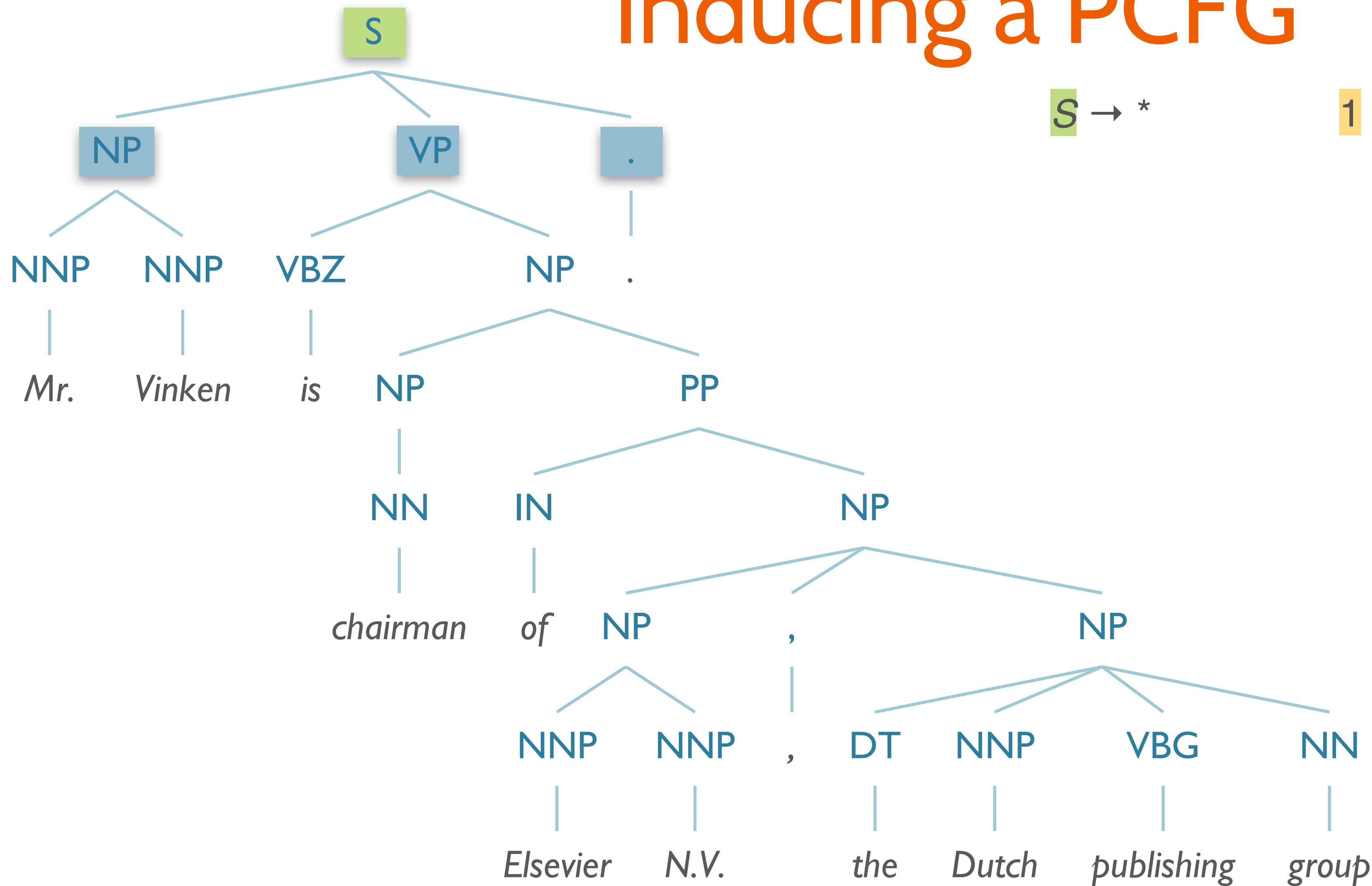
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 - To compute probability of a rule, count:
 - Number of times a nonterminal is expanded: $\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)$
 - Number of times a nonterminal is expanded by a given rule: $\text{Count}(\alpha \rightarrow \beta)$

$$P(\alpha \rightarrow \beta | \alpha) = \frac{\text{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{Count}(\alpha \rightarrow \gamma)} = \frac{\text{Count}(\alpha \rightarrow \beta)}{\text{Count}(\alpha)}$$

Inducing a PCFG



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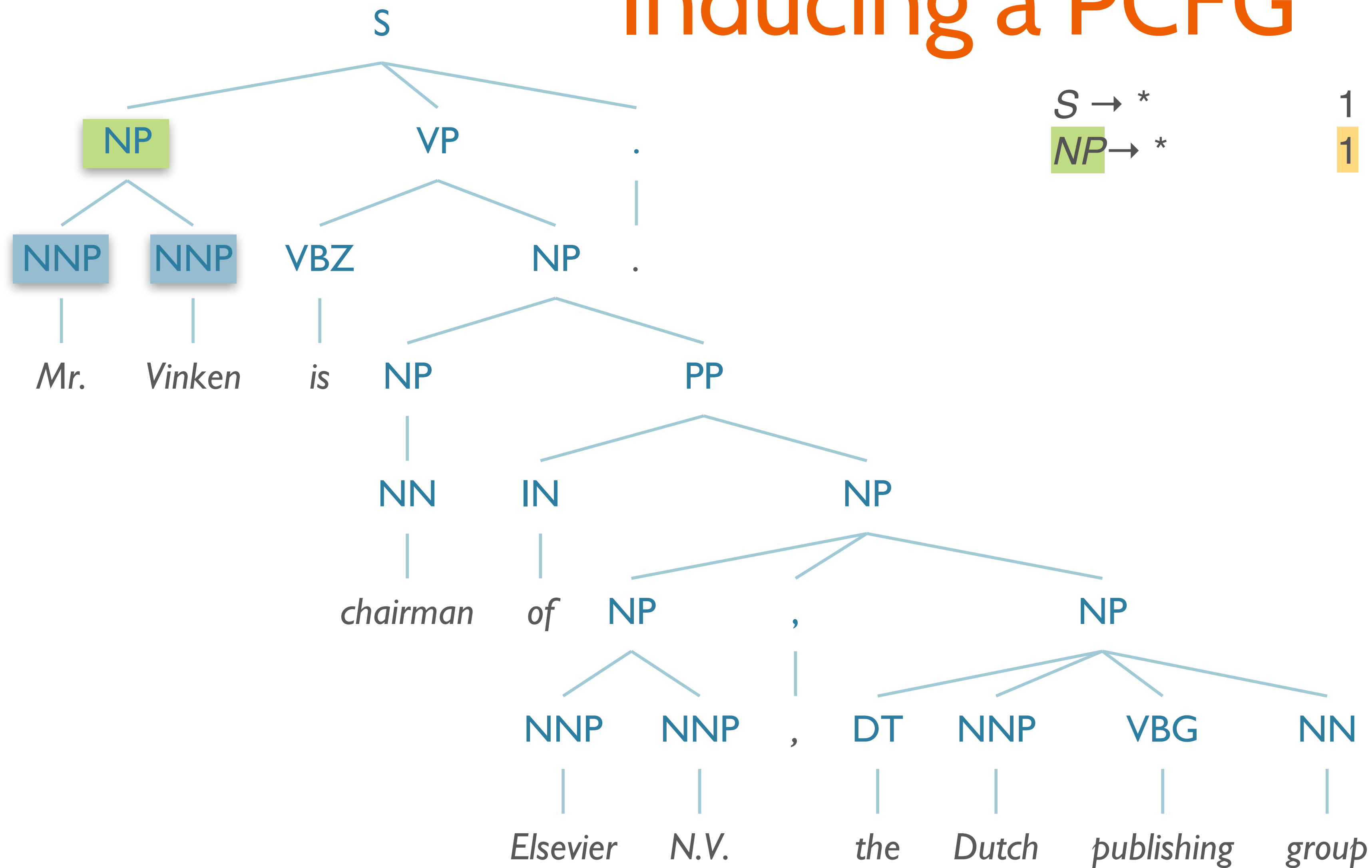


S → *

1 S → NPVP.

1

Inducing a PCFG

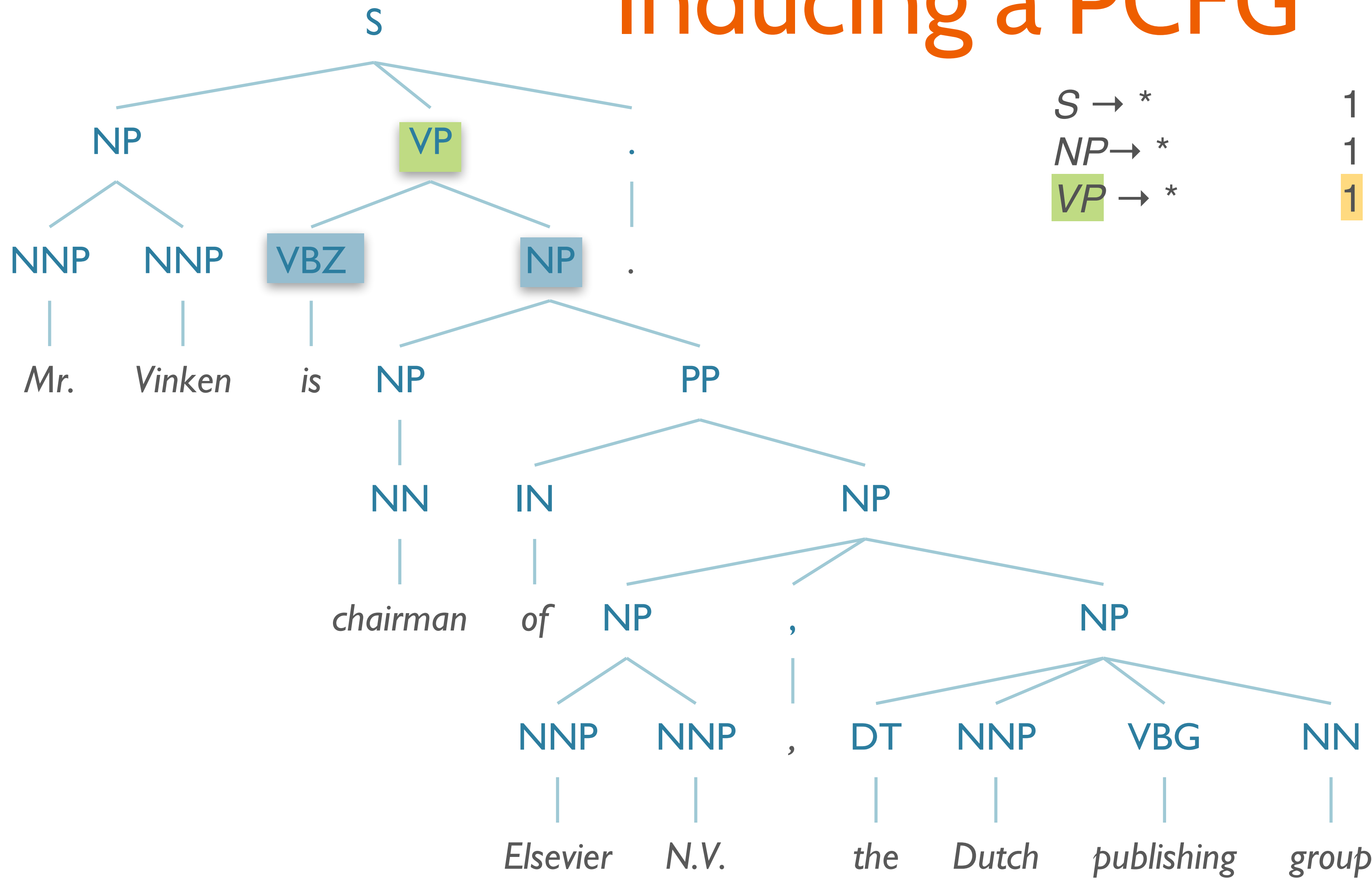


$S \rightarrow *$
 $NP \rightarrow *$

1 $S \rightarrow NP VP .$
 1 $NP \rightarrow NNP NNP$

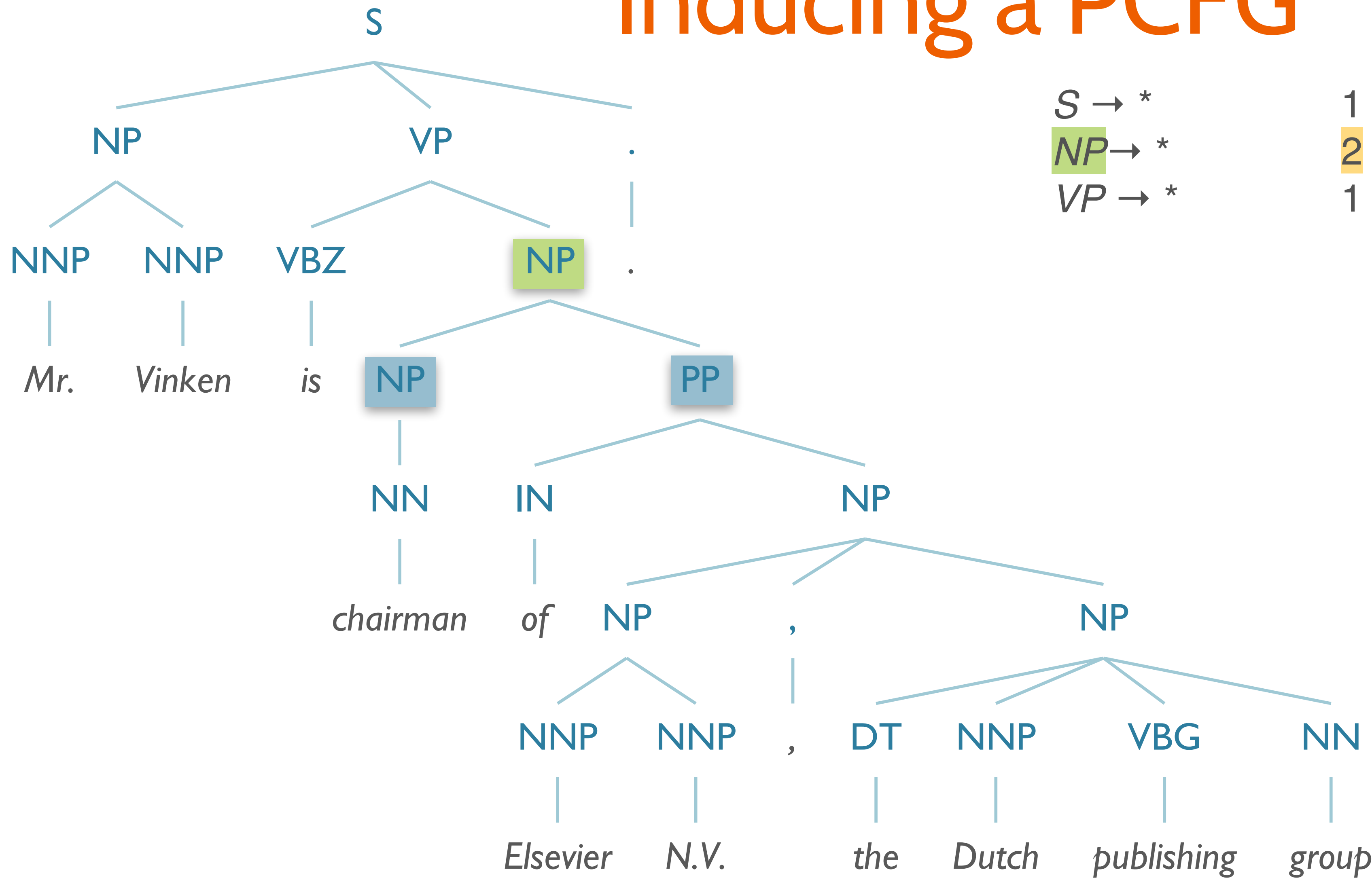
1
 1

Inducing a PCFG



- $S \rightarrow *$
- $NP \rightarrow *$
- $VP \rightarrow *$
- $1 \ S \rightarrow NP \ VP .$
- $1 \ NP \rightarrow NNP \ NNP$
- $1 \ VP \rightarrow VBZ \ NP$

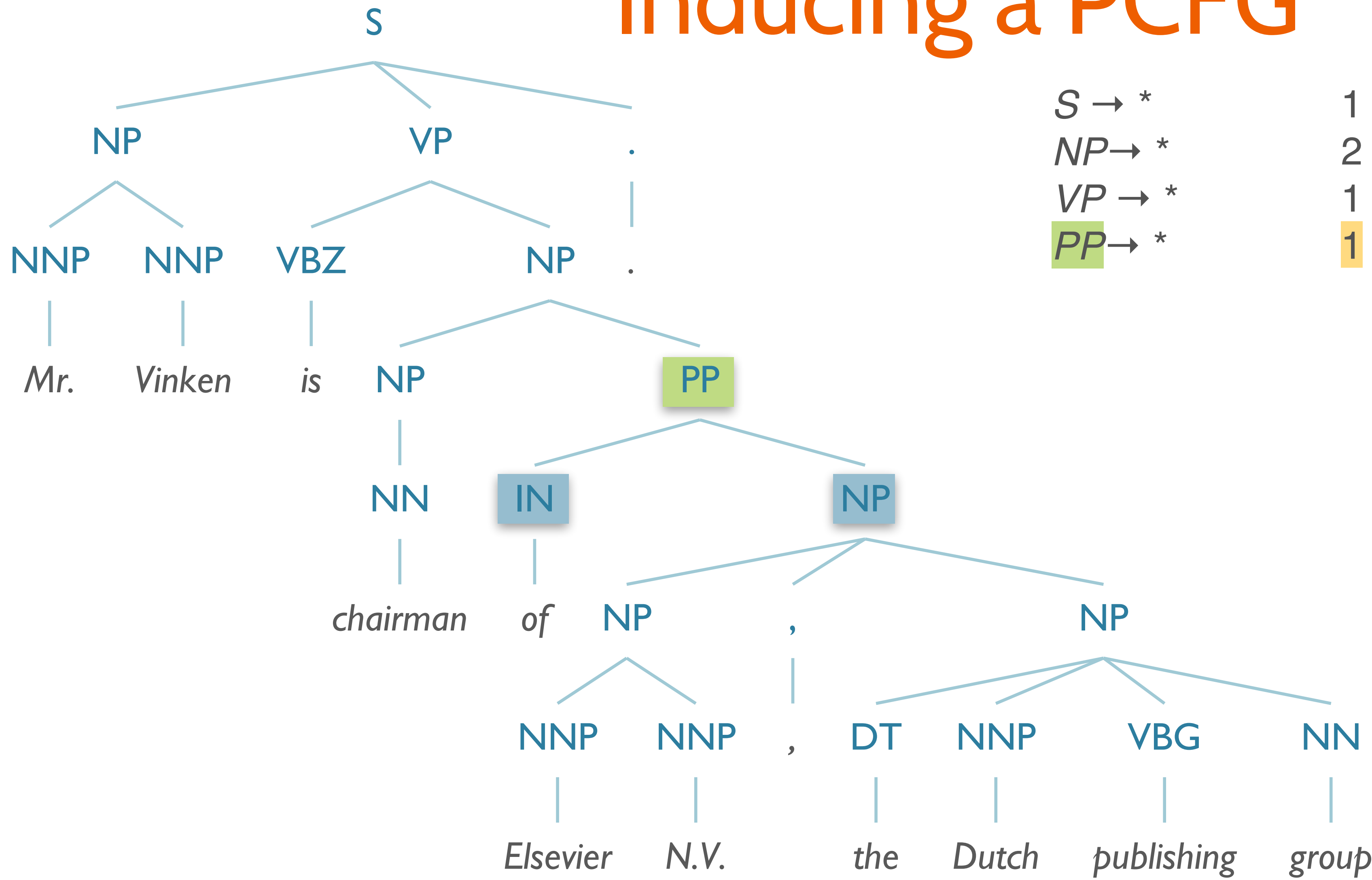
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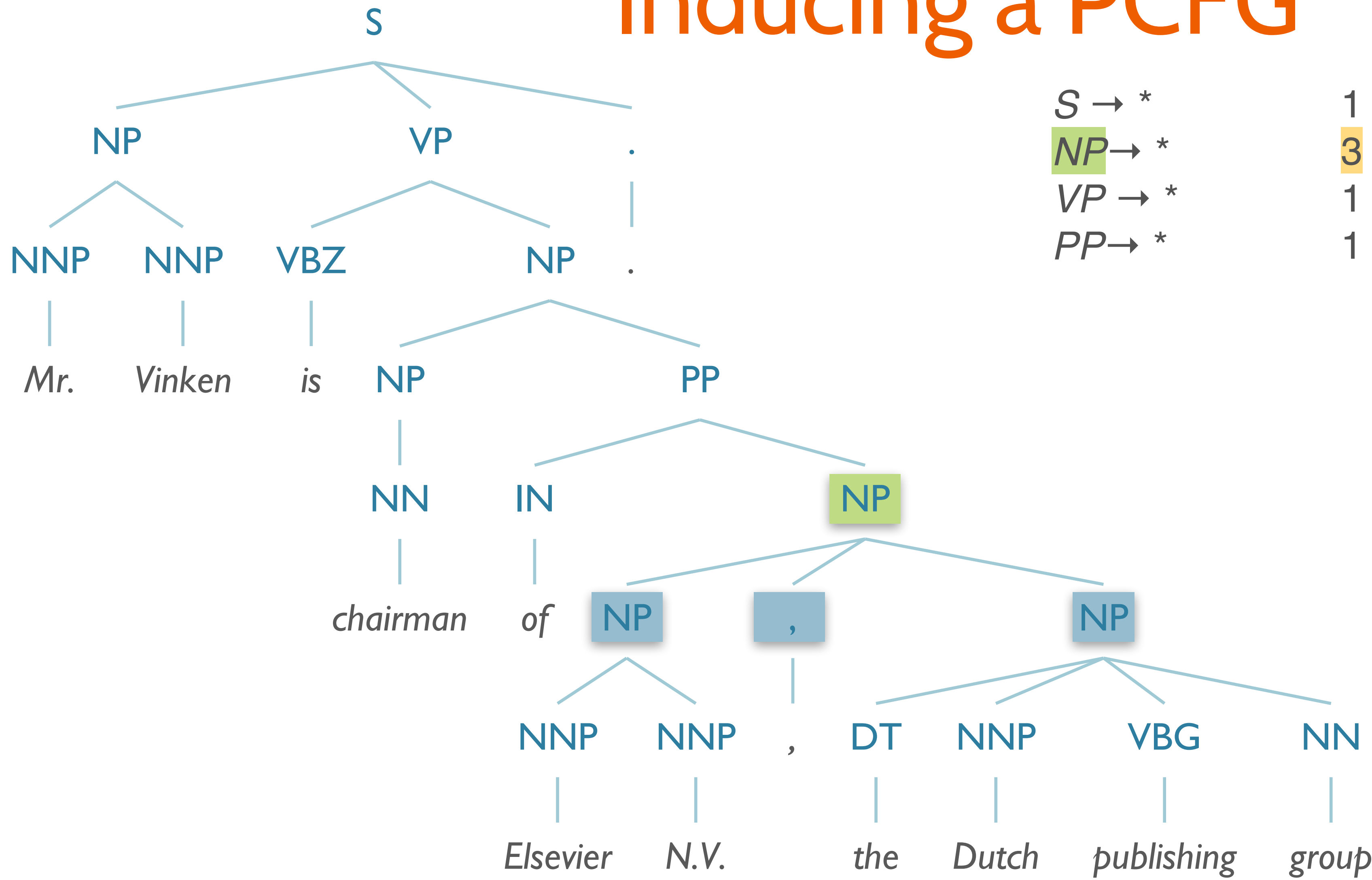
- | | | |
|---|--------------------------|---|
| 1 | $S \rightarrow NP VP .$ | 1 |
| 2 | $NP \rightarrow NNP NNP$ | 1 |
| 1 | $VP \rightarrow VBZ NP$ | 1 |
| | $NP \rightarrow NP PP$ | 1 |

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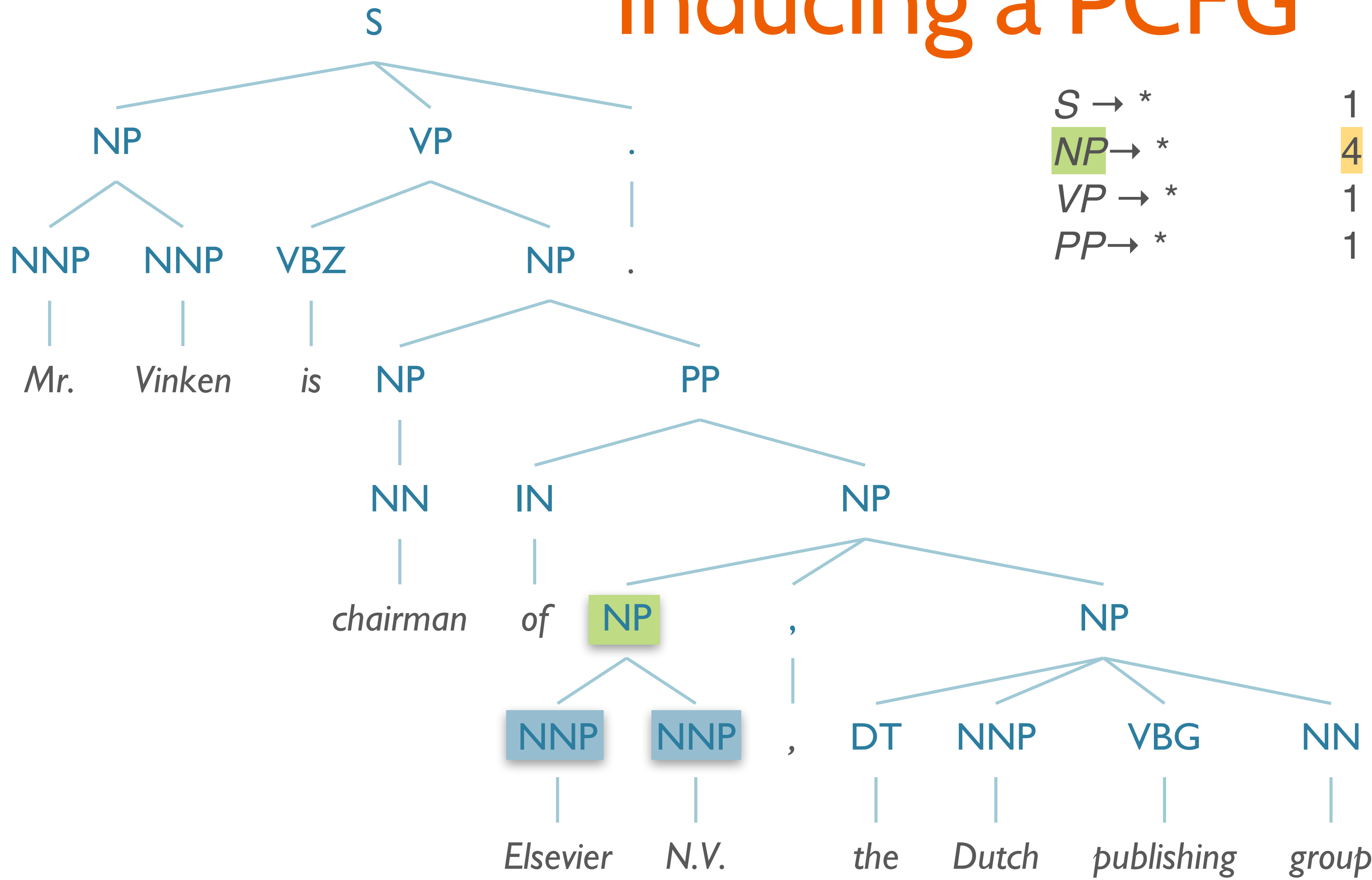
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| | $PP \rightarrow IN NP$ | 1 |

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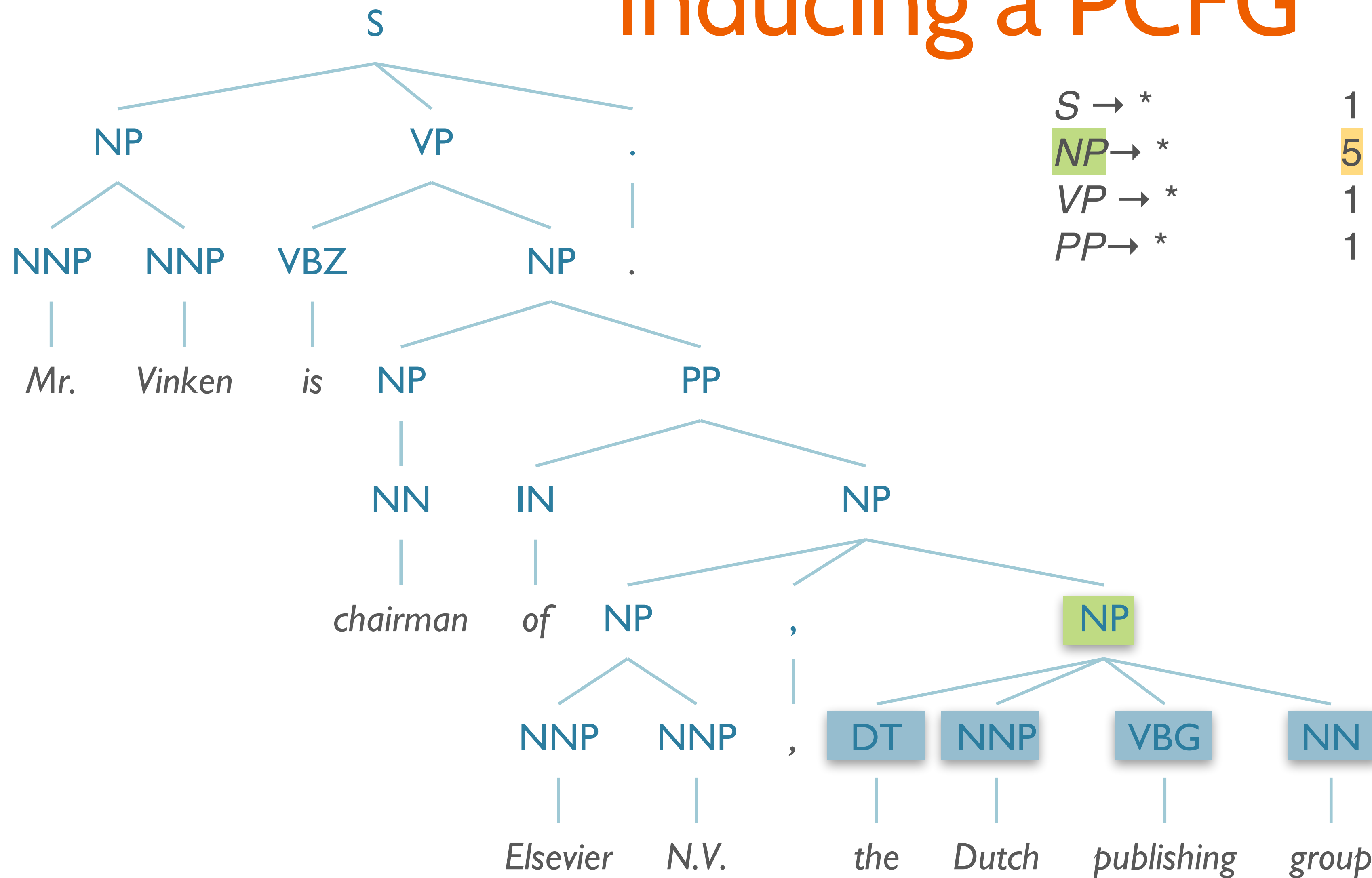
- $S \rightarrow *$
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- | | | |
|---|--------------------------|---|
| 1 | $S \rightarrow NP VP .$ | 1 |
| 3 | $NP \rightarrow NNP NNP$ | 1 |
| 1 | $VP \rightarrow VBZ NP$ | 1 |
| 1 | $NP \rightarrow NP PP$ | 1 |
| | $PP \rightarrow IN NP$ | 1 |
| | $NP \rightarrow NP , NP$ | 1 |

Inducing a PCFG



- $S \rightarrow *$
 - $NP \rightarrow *$
 - $VP \rightarrow *$
 - $PP \rightarrow *$
- | | |
|--------------------------------|-----|
| $1 \ S \rightarrow NP \ VP .$ | 1 |
| $4 \ NP \rightarrow NNP \ NNP$ | 2 |
| $1 \ VP \rightarrow VBZ \ NP$ | 1 |
| $1 \ NP \rightarrow NP \ PP$ | 1 |
| $PP \rightarrow IN \ NP$ | 1 |
| $NP \rightarrow NP , \ NP$ | 1 |

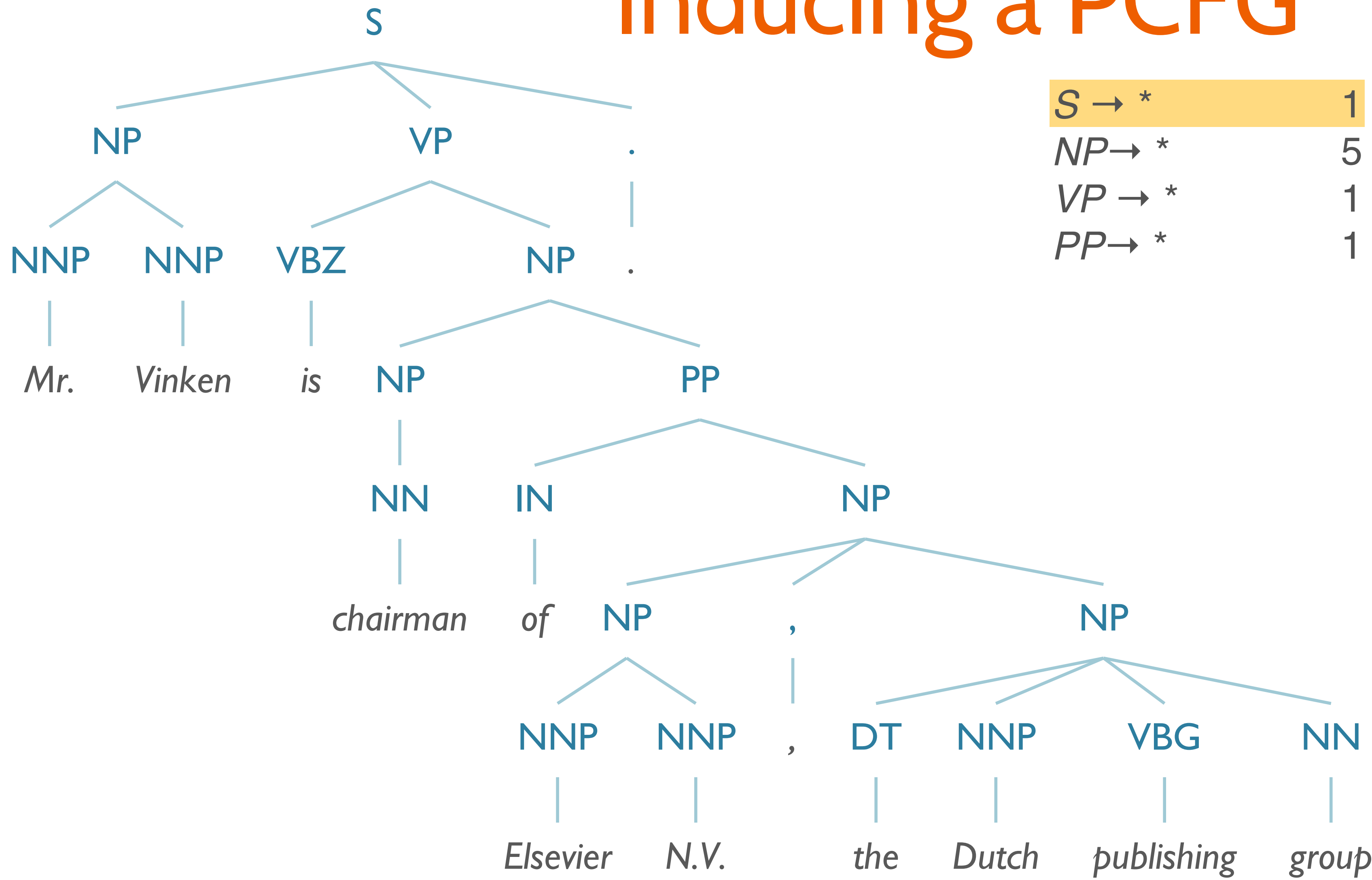
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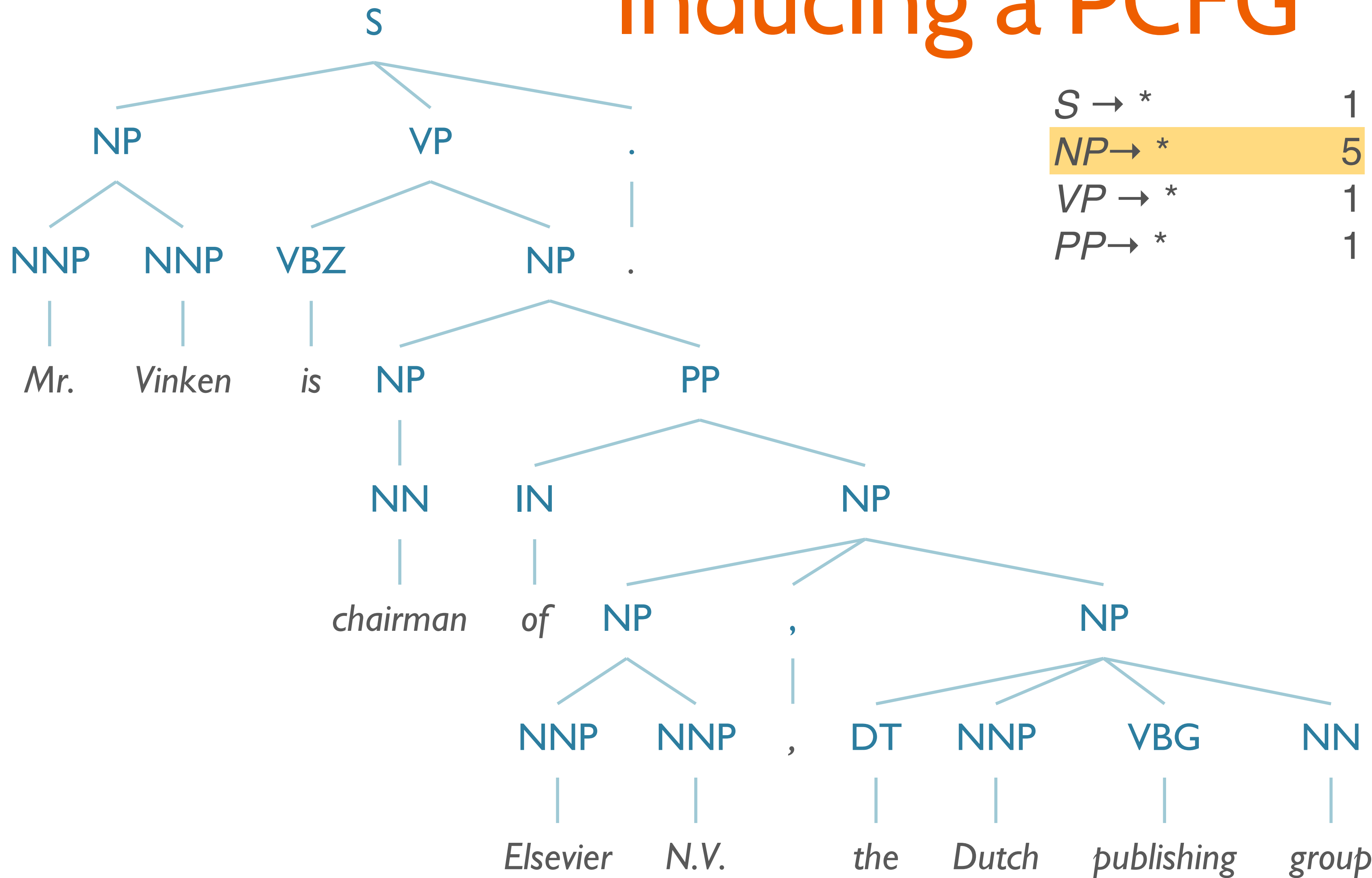
| | | |
|---|-----------------------------|---|
| 1 | $S \rightarrow NP VP .$ | 1 |
| 5 | $NP \rightarrow NNP NNP$ | 2 |
| 1 | $VP \rightarrow VBZ NP$ | 1 |
| 1 | $NP \rightarrow NP PP$ | 1 |
| | $PP \rightarrow IN NP$ | 1 |
| | $NP \rightarrow NP , NP$ | 1 |
| | $NP \rightarrow DT NNP VBG$ | 1 |
| | NN | |

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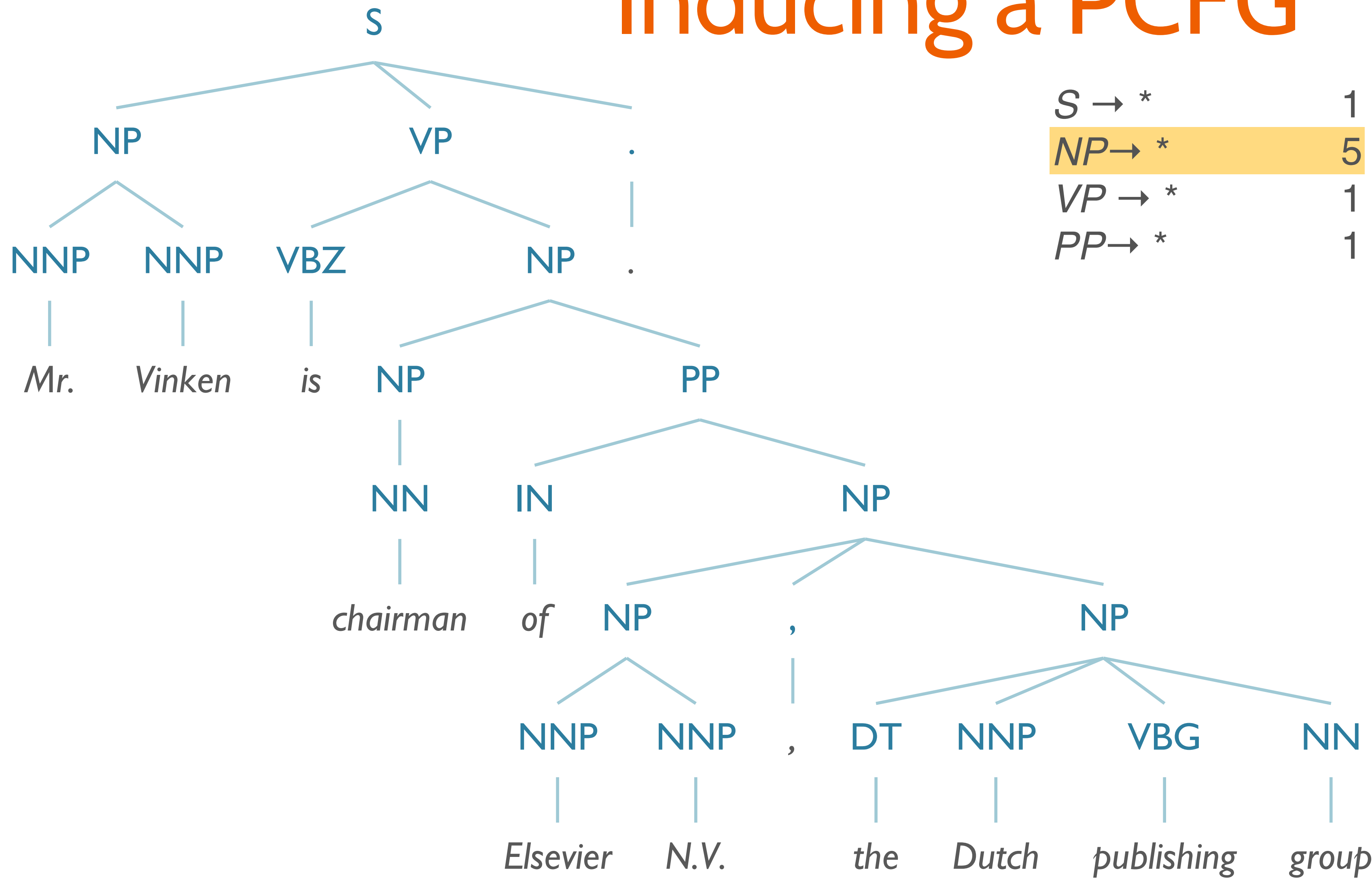
| | | | |
|--------------------|---|-----------------------------|---|
| $S \rightarrow *$ | 1 | $S \rightarrow NP VP .$ | 1 |
| $NP \rightarrow *$ | 5 | $NP \rightarrow NNP NNP$ | 2 |
| $VP \rightarrow *$ | 1 | $VP \rightarrow VBZ NP$ | 1 |
| $PP \rightarrow *$ | 1 | $NP \rightarrow NP PP$ | 1 |
| | | $PP \rightarrow IN NP$ | 1 |
| | | $NP \rightarrow NP , NP$ | 1 |
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| | | NN | 1 |

Inducing a PCFG



| | | | |
|--------------------|---|-----------------------------|-----|
| $S \rightarrow *$ | 1 | $S \rightarrow NP VP .$ | 1 |
| $NP \rightarrow *$ | 5 | $NP \rightarrow NNP NNP$ | 2/5 |
| $VP \rightarrow *$ | 1 | $VP \rightarrow VBZ NP$ | 1 |
| $PP \rightarrow *$ | 1 | $NP \rightarrow NP PP$ | 1/5 |
| | | $PP \rightarrow IN NP$ | 1 |
| | | $NP \rightarrow NP , NP$ | 1/5 |
| | | $NP \rightarrow DT NNP VBG$ | 1/5 |
| | | NN | 1/5 |

Inducing a PCFG



| | | | |
|--------------------|---|-----------------------------|-----|
| $S \rightarrow *$ | 1 | $S \rightarrow NP VP .$ | 1 |
| $NP \rightarrow *$ | 5 | $NP \rightarrow NNP NNP$ | 0.4 |
| $VP \rightarrow *$ | 1 | $VP \rightarrow VBZ NP$ | 1 |
| $PP \rightarrow *$ | 1 | $NP \rightarrow NP PP$ | 0.2 |
| | | $PP \rightarrow IN NP$ | 1 |
| | | $NP \rightarrow NP , NP$ | 0.2 |
| | | $NP \rightarrow DT NNP VBG$ | 0.2 |
| | | NN | 0.2 |

Problems with PCFGs

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 - Assume that rule probabilities are independent

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- Lack of Lexical Conditioning
 - Lexical items should influence the choice of analysis

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Semantic Role of **NPs** in Switchboard Corpus

| | Pronominal | Non-Pronominal |
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| Subject | 91% | 9% |
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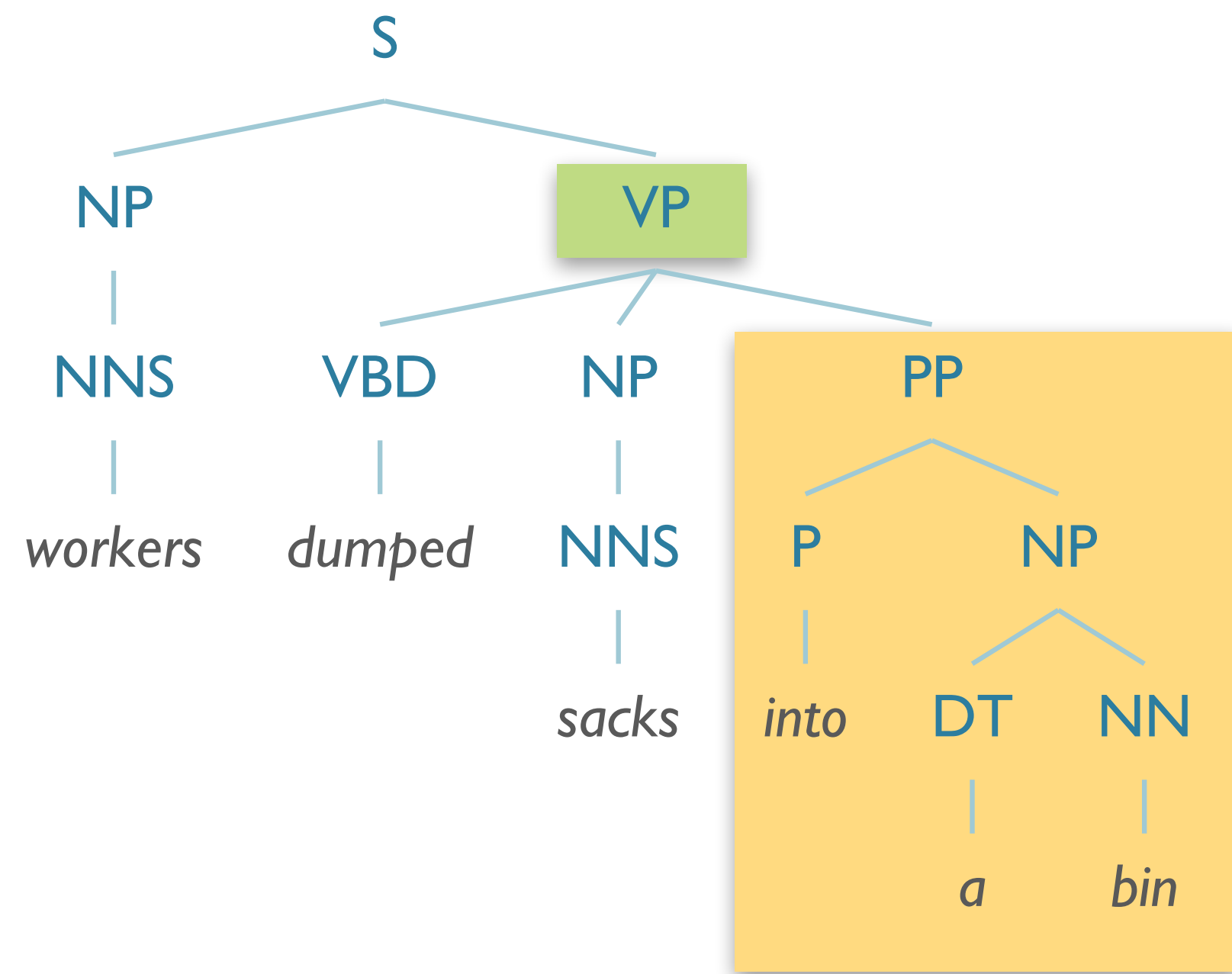
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- What does this new data tell us?
 - $NP \rightarrow DT NN$ [0.09 if $NP_{\Theta=subject}$ else 0.66]
 - $NP \rightarrow PRP$ [0.91 if $NP_{\Theta=subject}$ else 0.34]

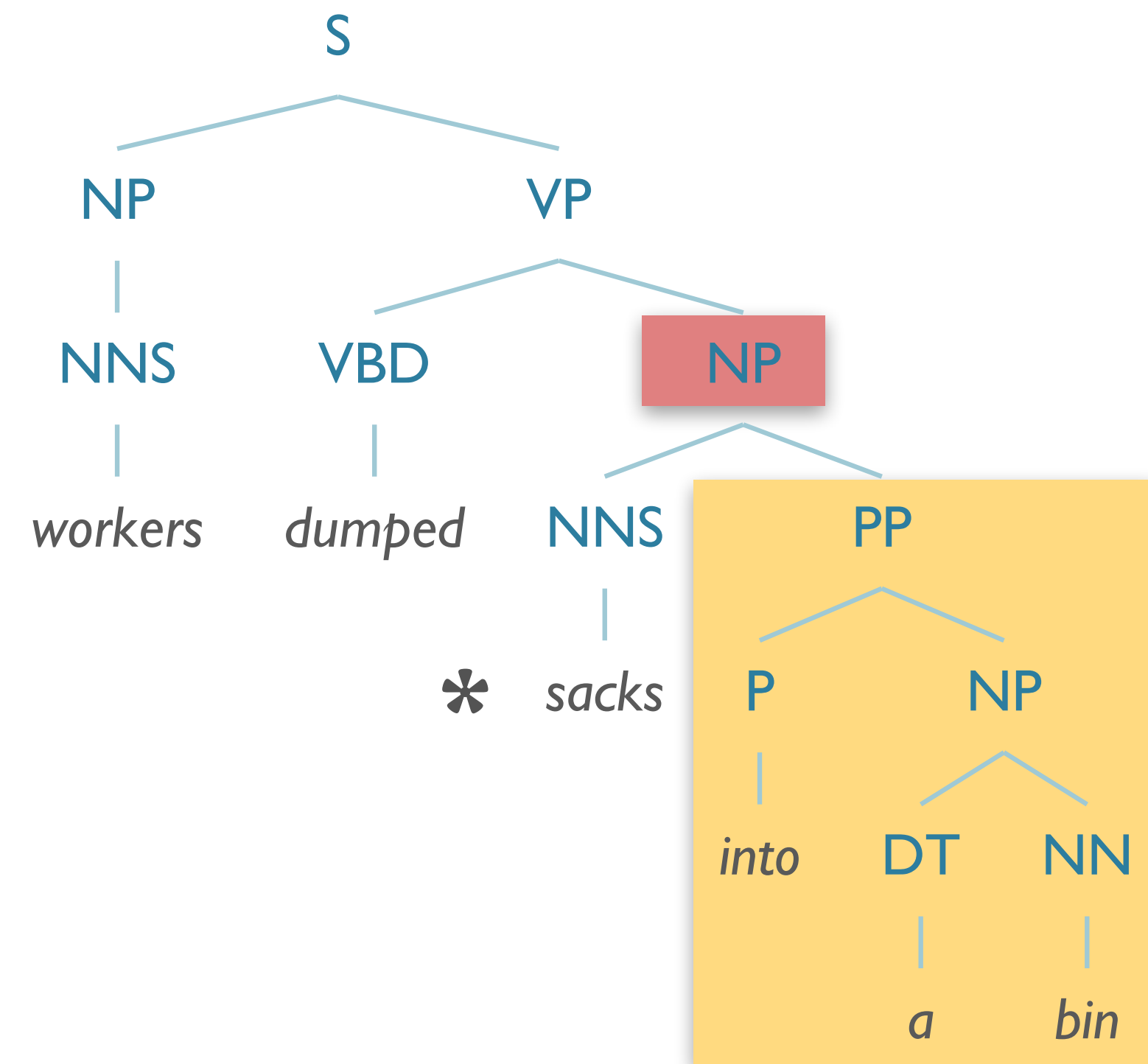
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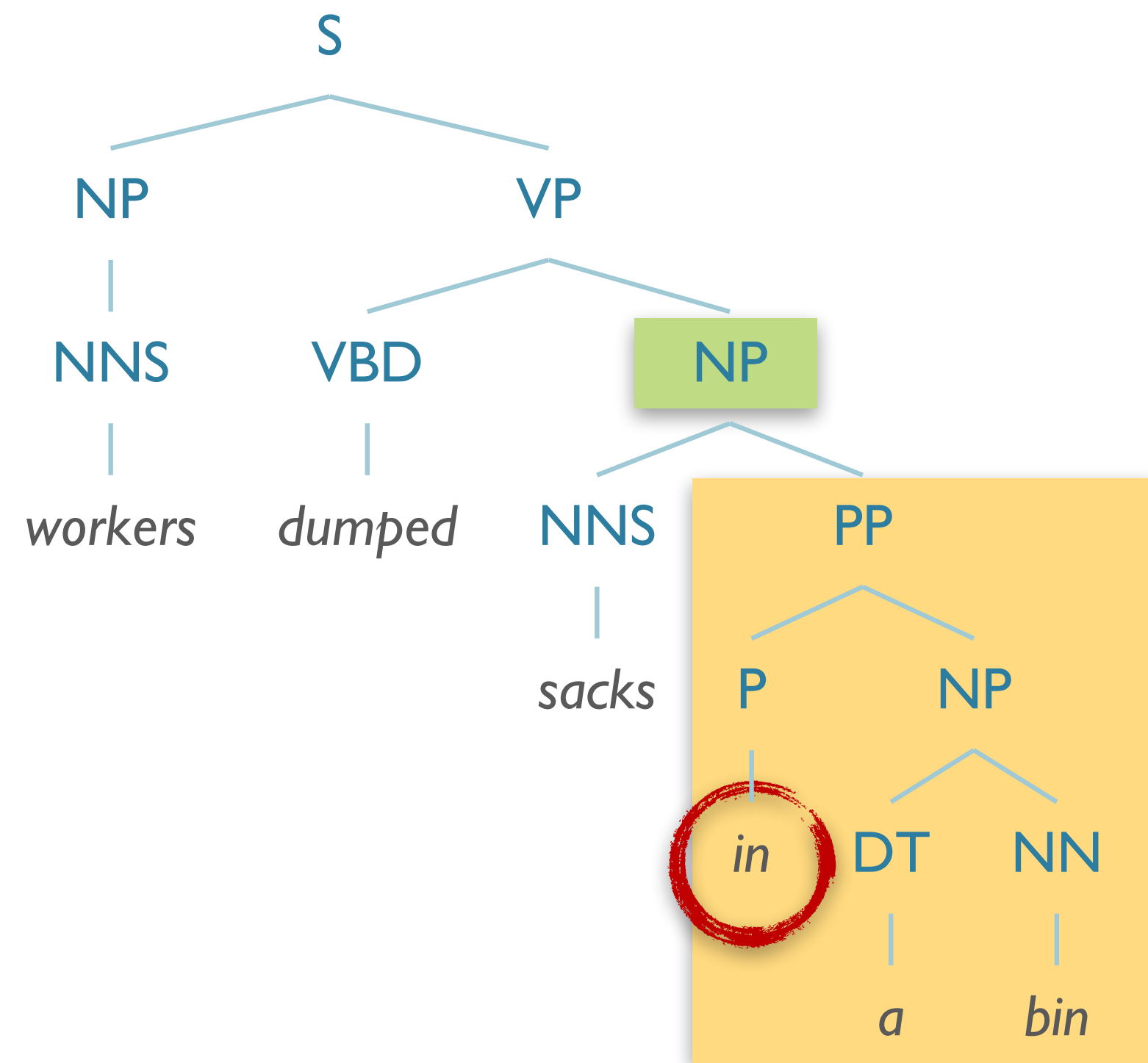


("into a bin" = location of sacks after dumping)
OK!

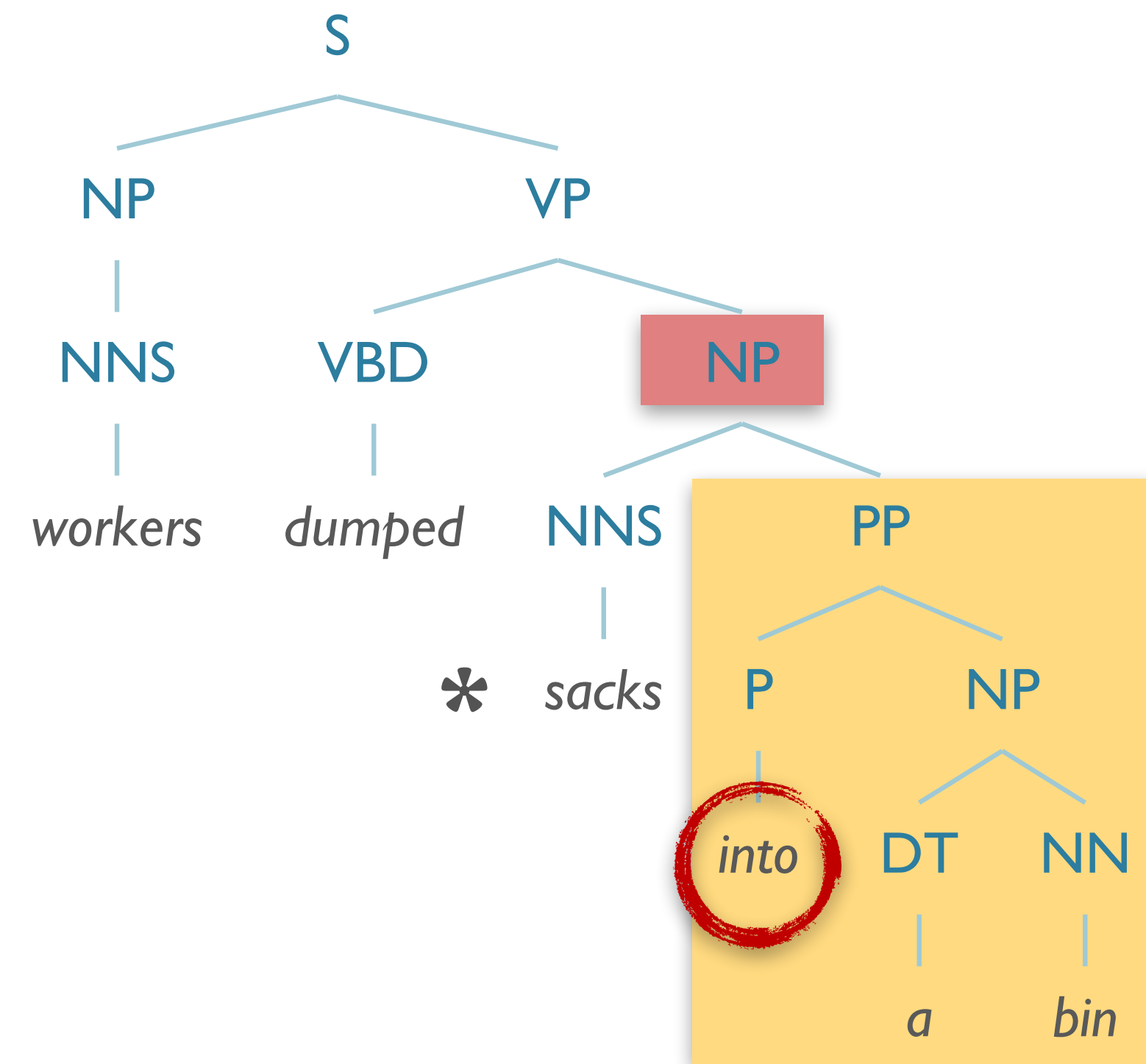


("into a bin" = *the sacks which were located *in PP*)
not OK

Issues with PCFGs: Lexical Conditioning



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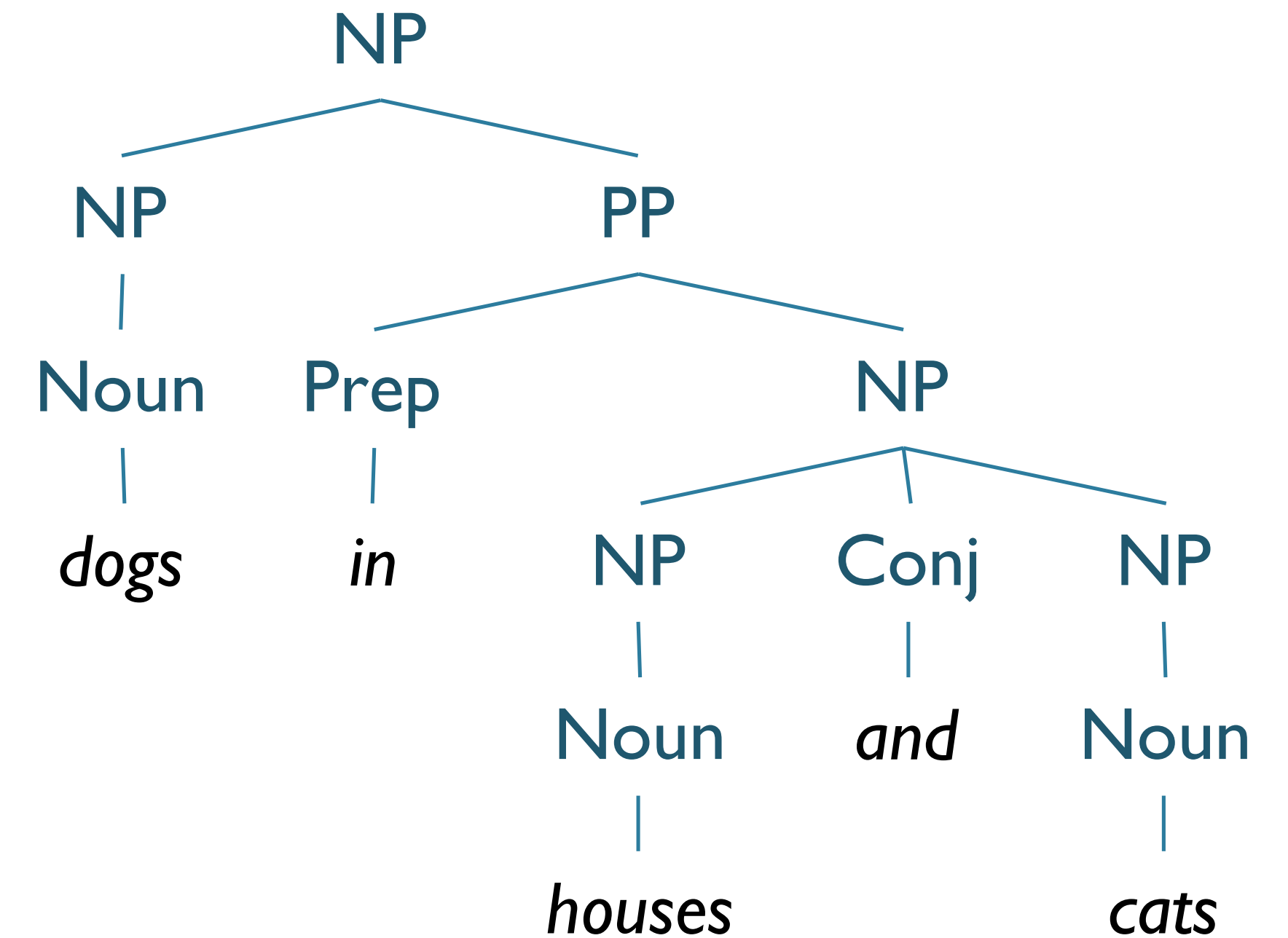
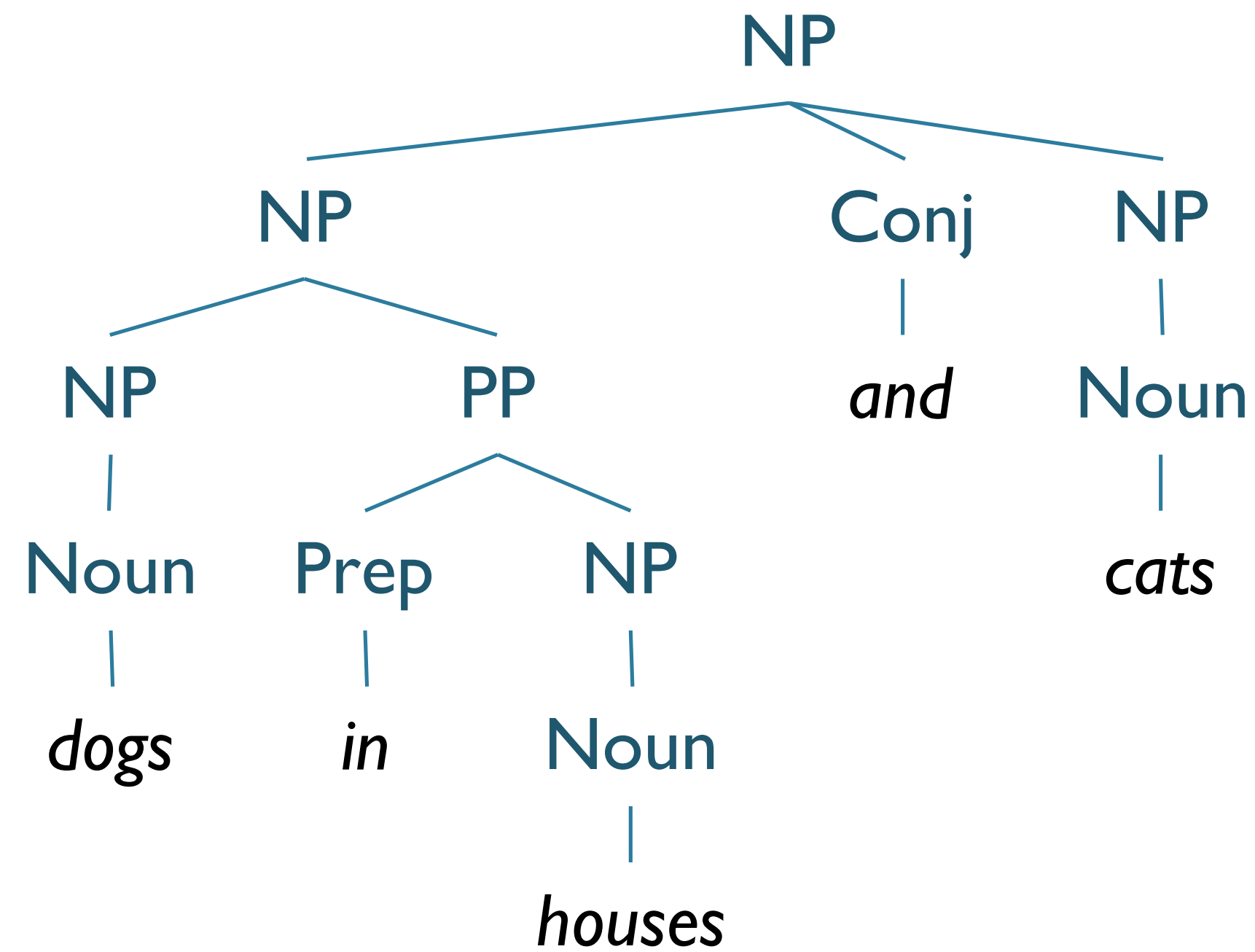


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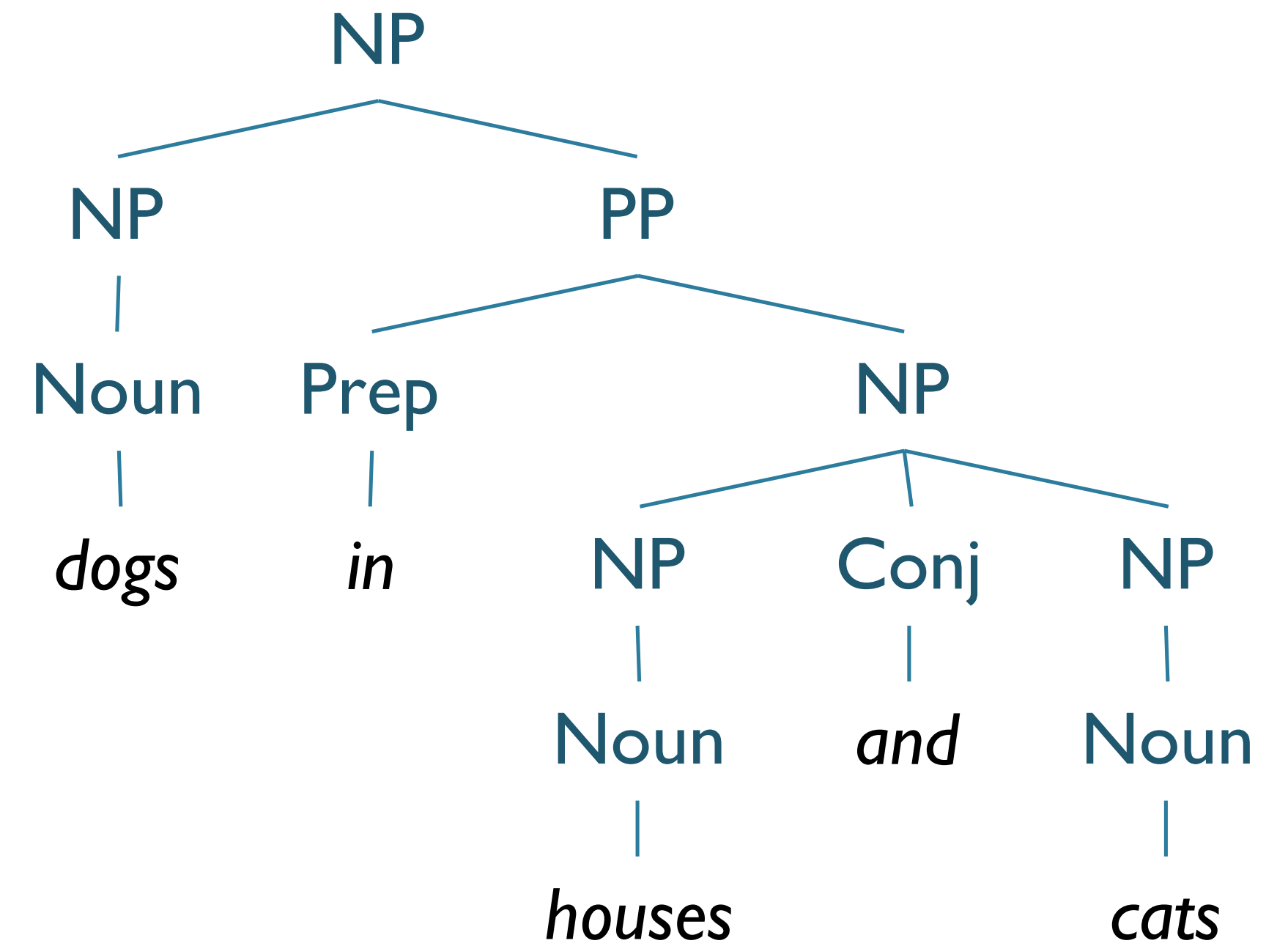
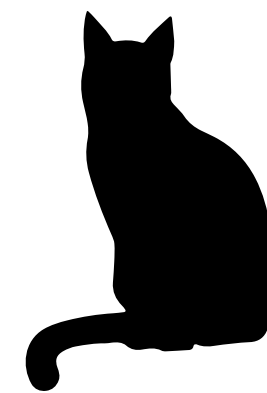
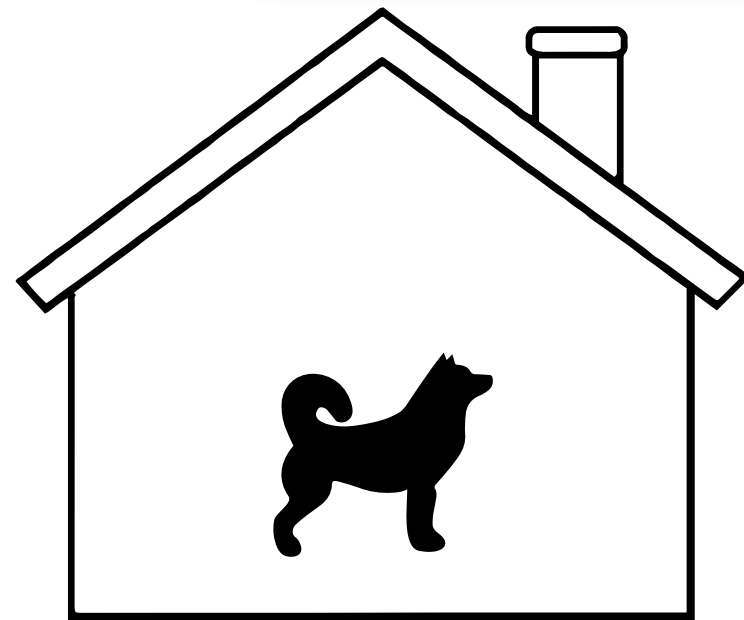
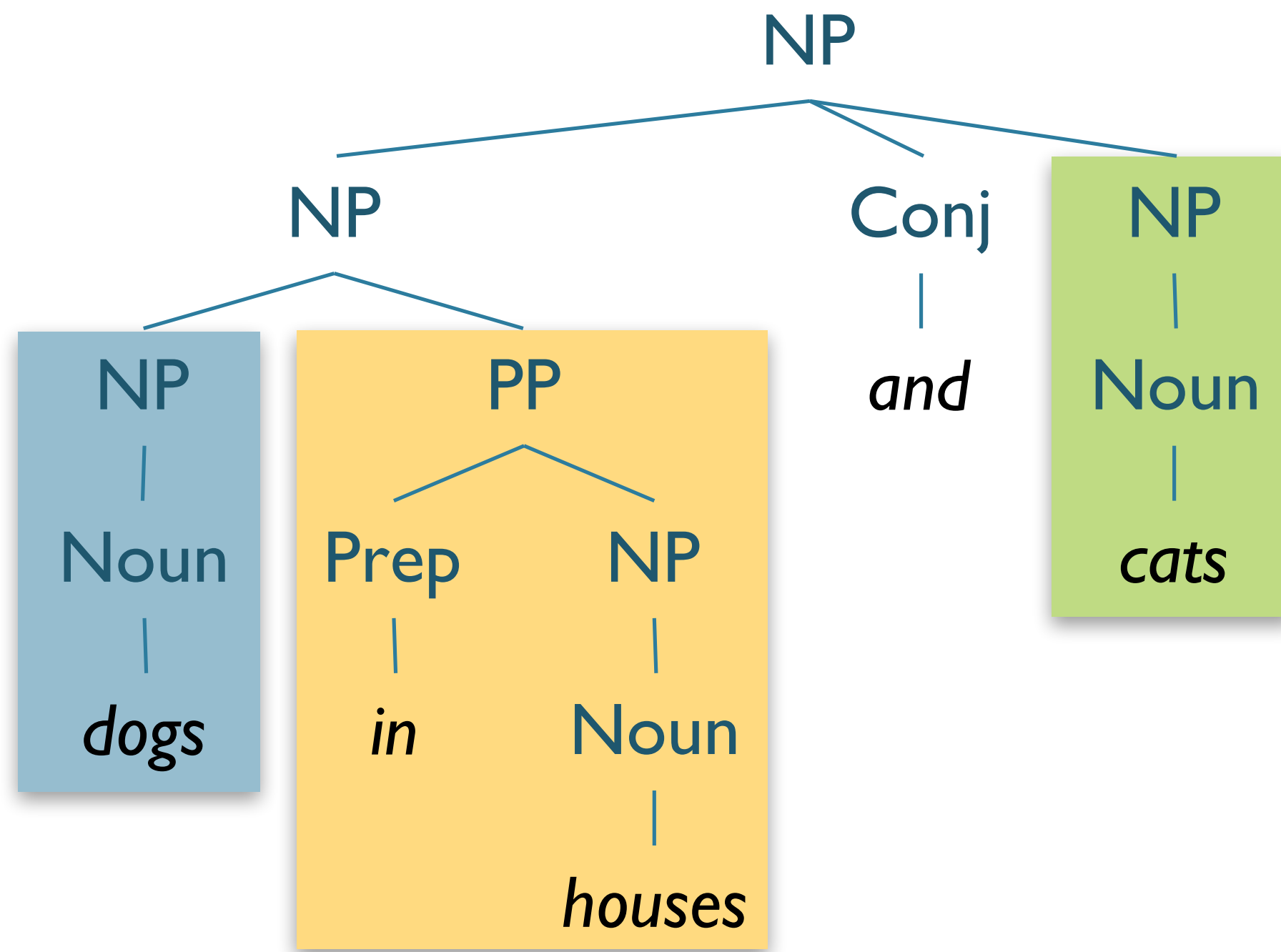
Issues with PCFGs: Lexical Conditioning

- *workers dumped sacks into a bin*
 - **into** should **prefer** modifying **dumped**
 - **into** should **disprefer** modifying **sacks**
- *workers dumped sacks in a bin (cf. also fisherman caught tons of herring)*
 - **in** should **prefer** modifying **sacks**
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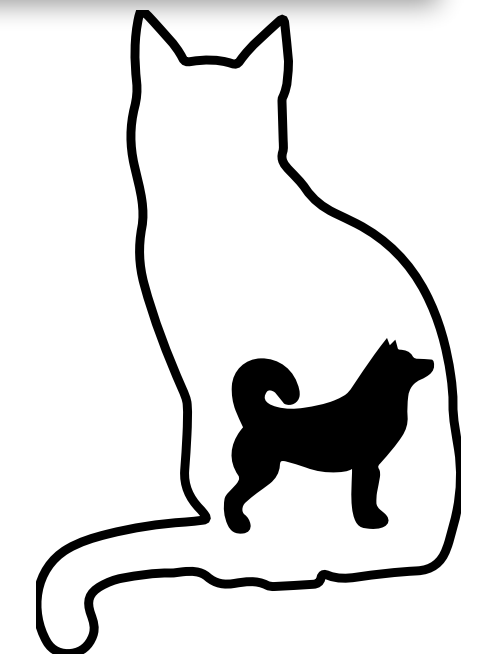
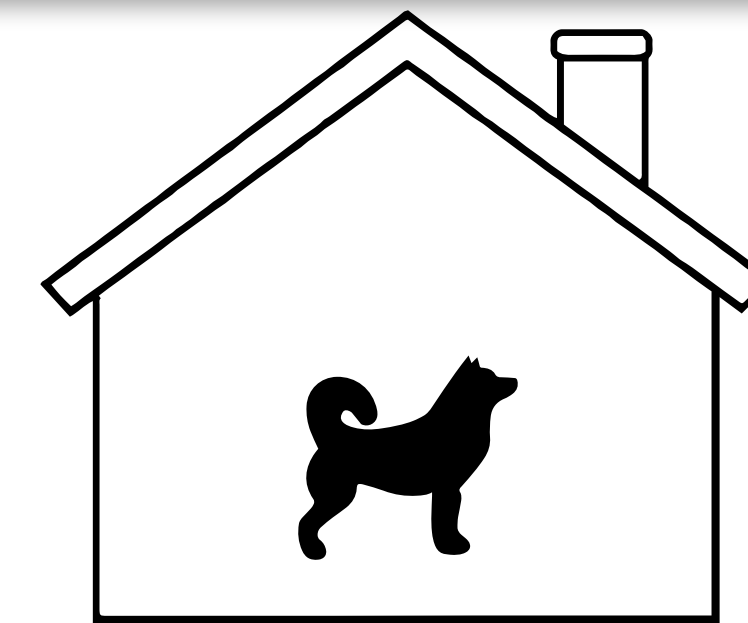
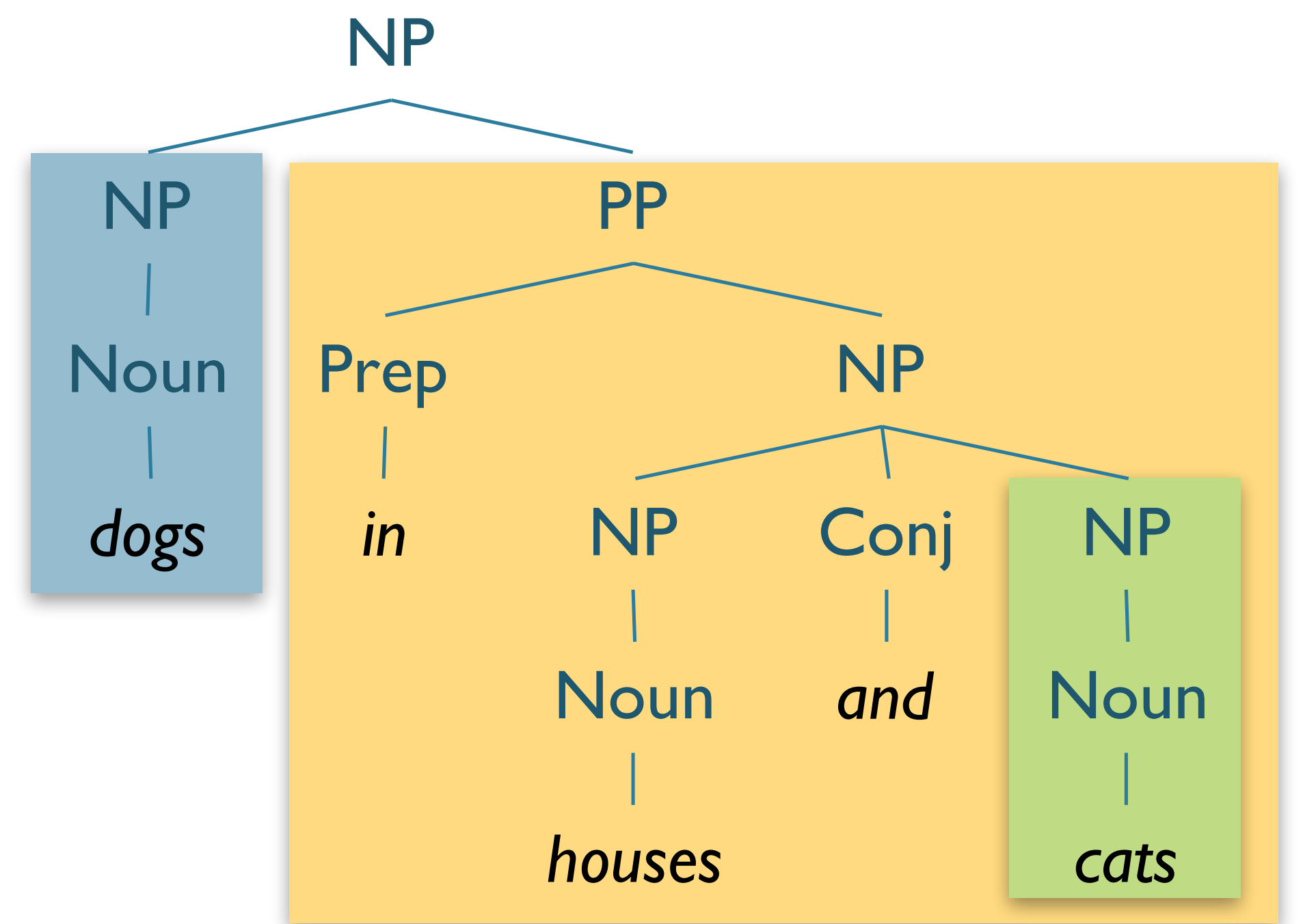
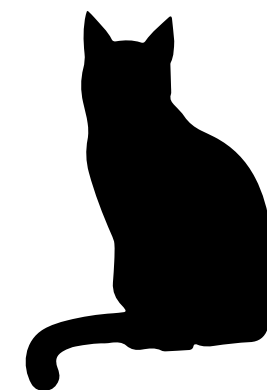
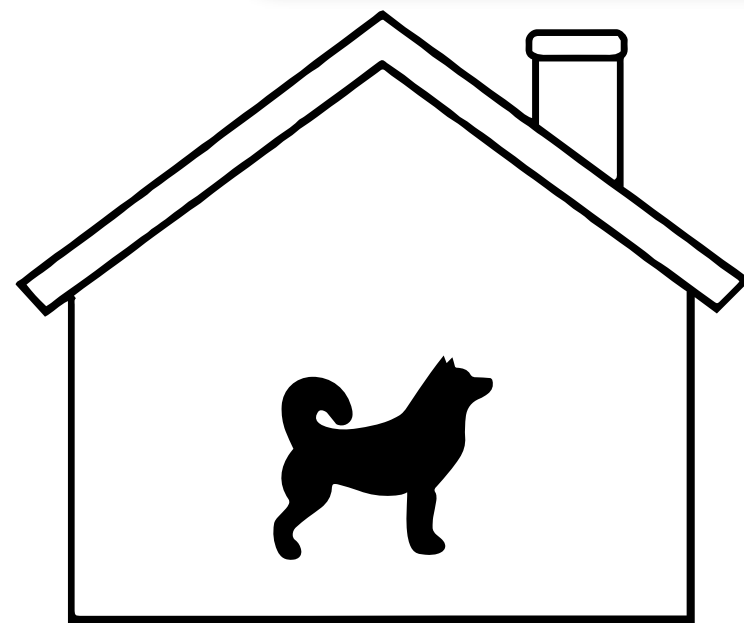
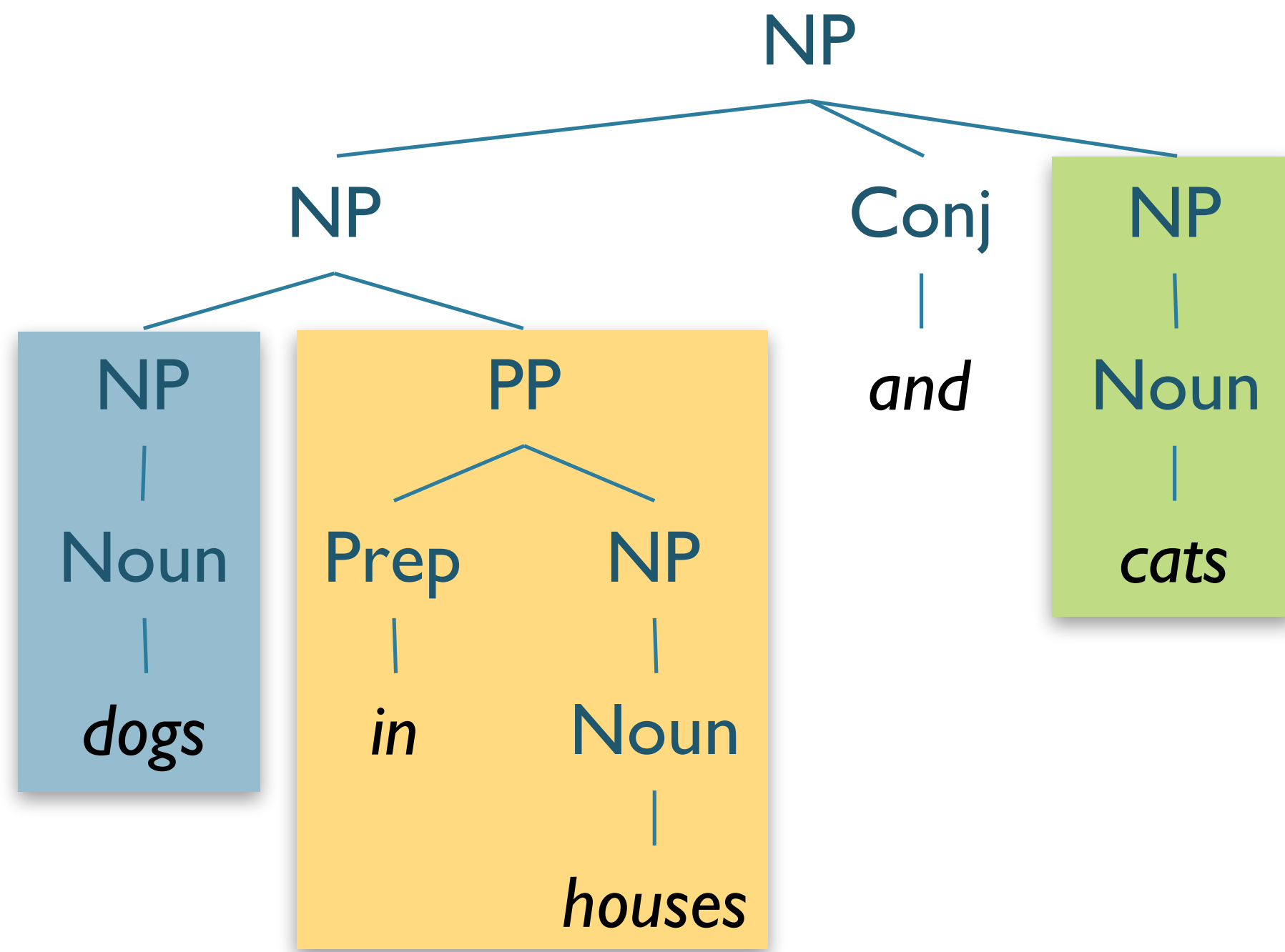
Issues with PCFGs: Coordination Ambiguity



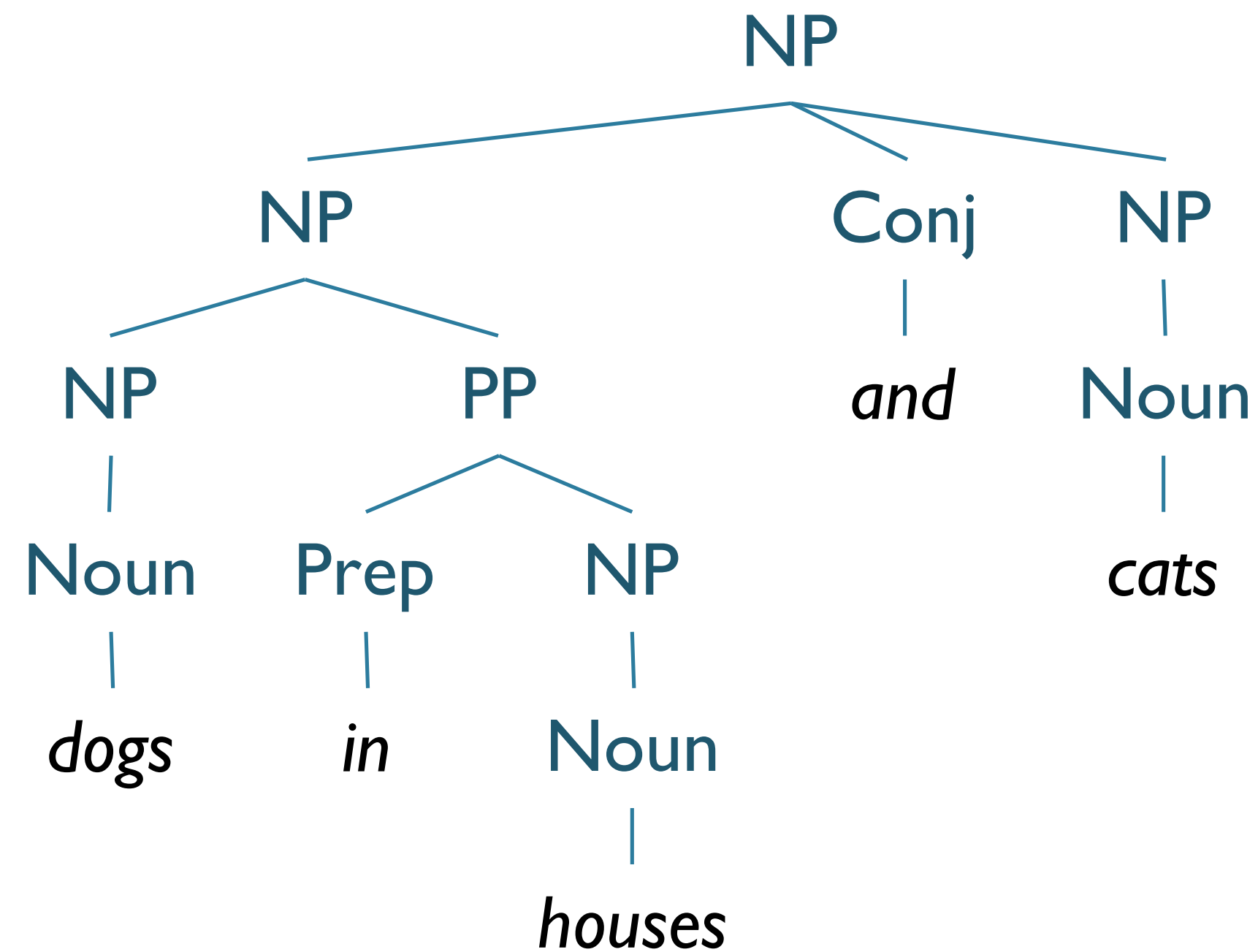
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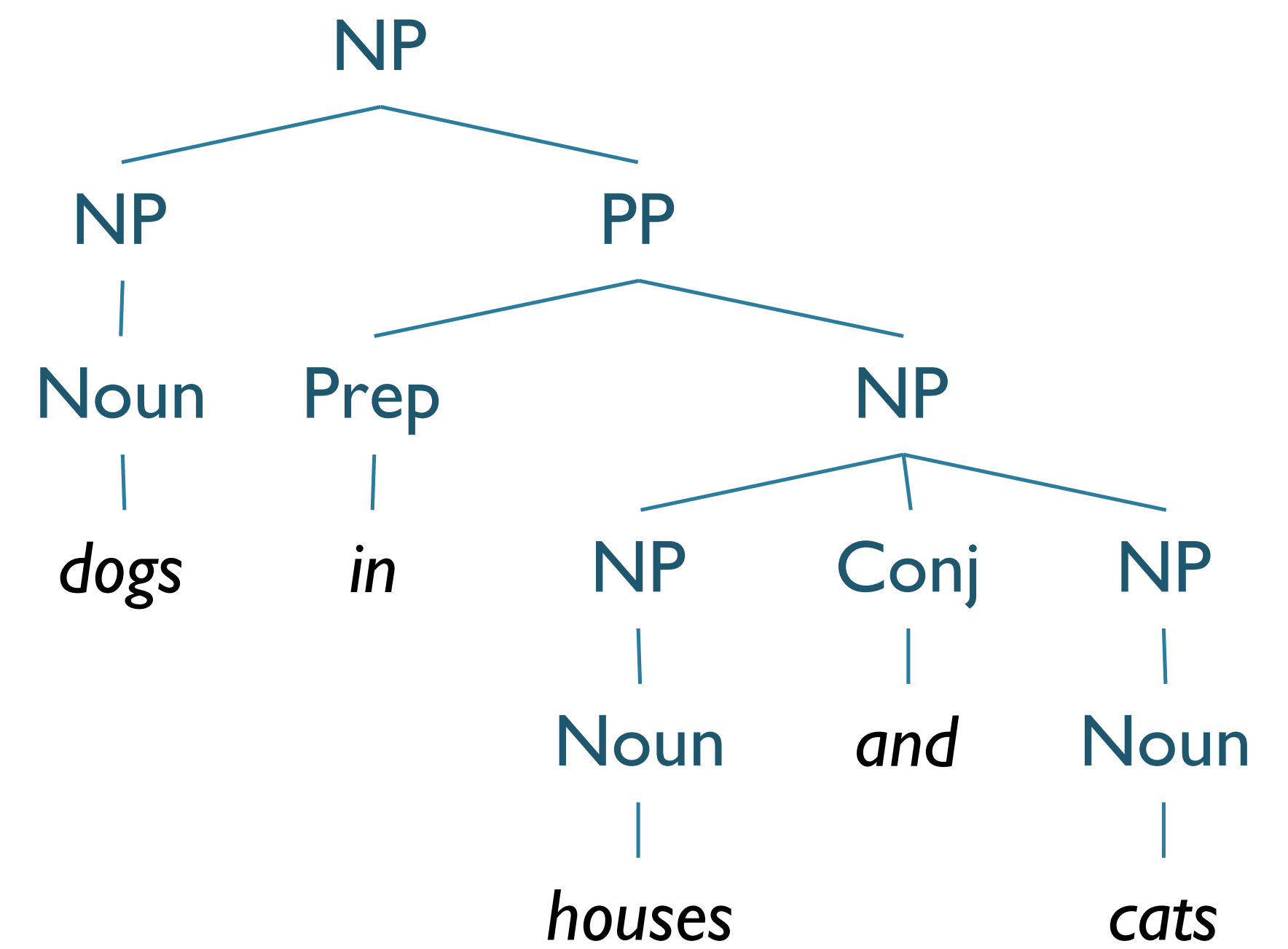


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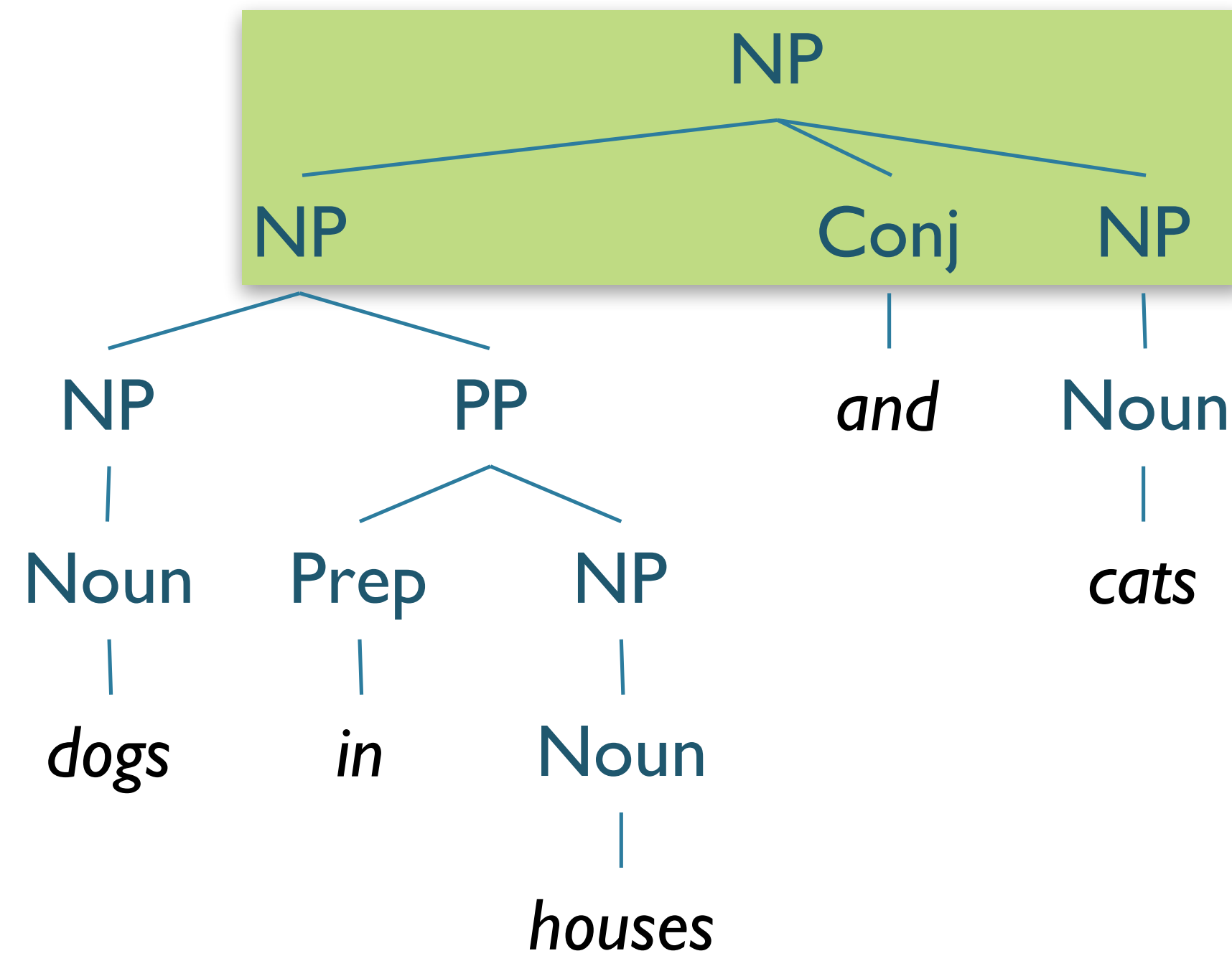
NP → *NP Conj NP*
NP → *NP PP*
Noun → "dogs"
PP → *Prep NP*
Prep → "in"
NP → *Noun*
Noun → "houses"
Conj → "and"
NP → *Noun*
Noun → "cats"

Same Rules!



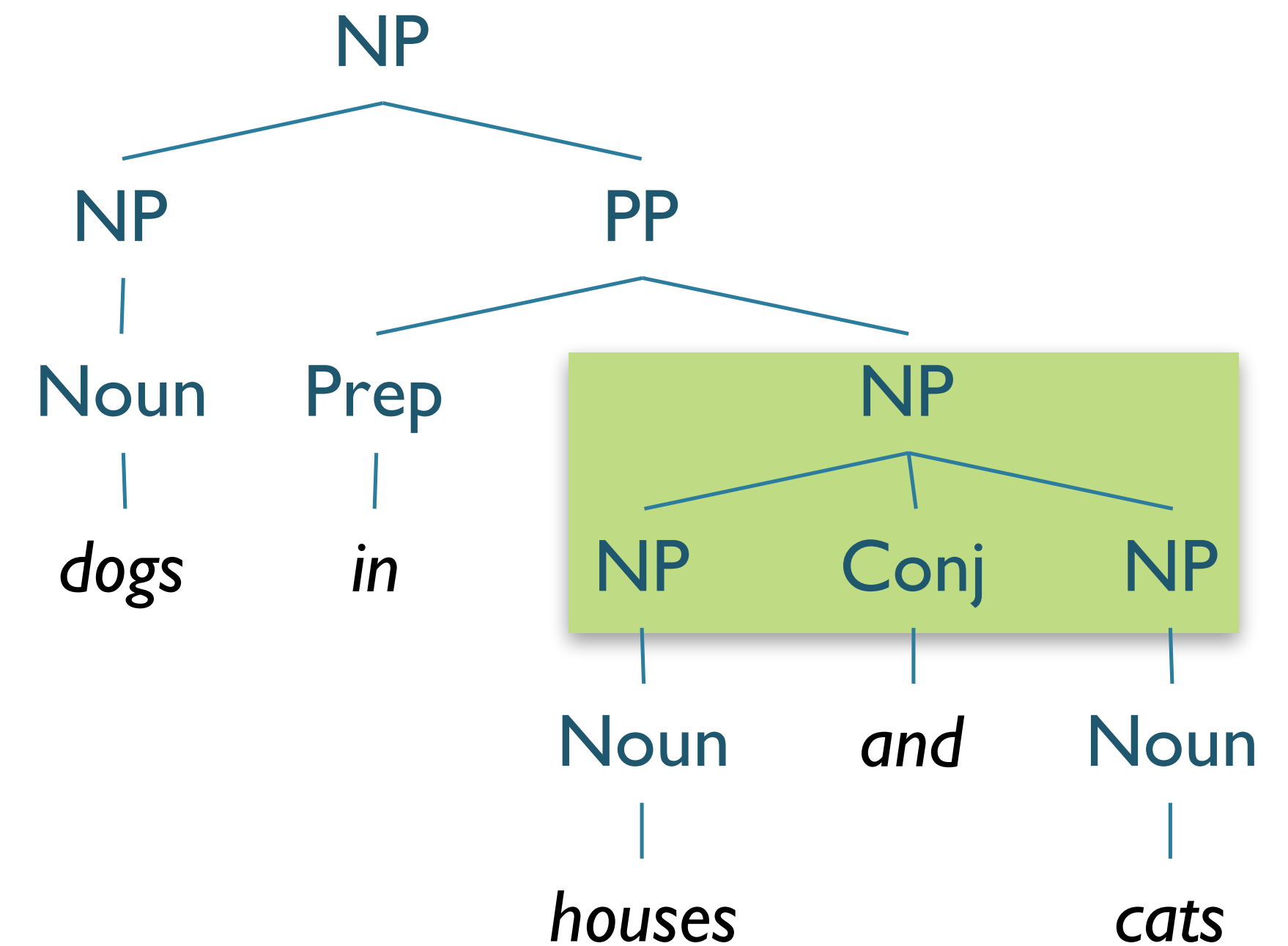
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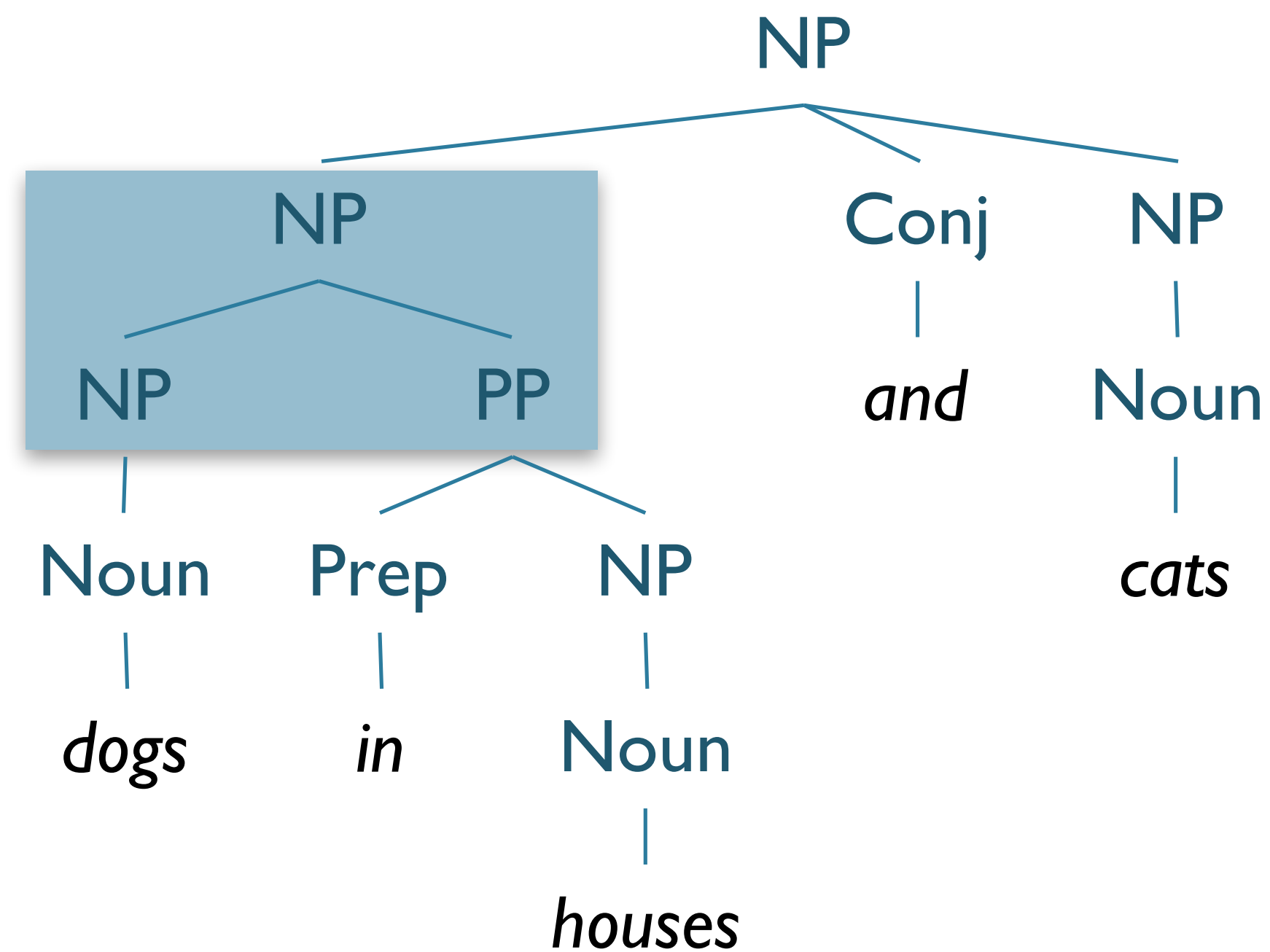
$NP \rightarrow NP \text{ Conj } NP$
 $NP \rightarrow NP \text{ PP}$
 $Noun \rightarrow \text{"dogs"}$
 $PP \rightarrow Prep \text{ NP}$
 $Prep \rightarrow \text{"in"}$
 $NP \rightarrow Noun$
 $Noun \rightarrow \text{"houses"}$
 $Conj \rightarrow \text{"and"}$
 $NP \rightarrow Noun$
 $Noun \rightarrow \text{"cats"}$

Same Rules!

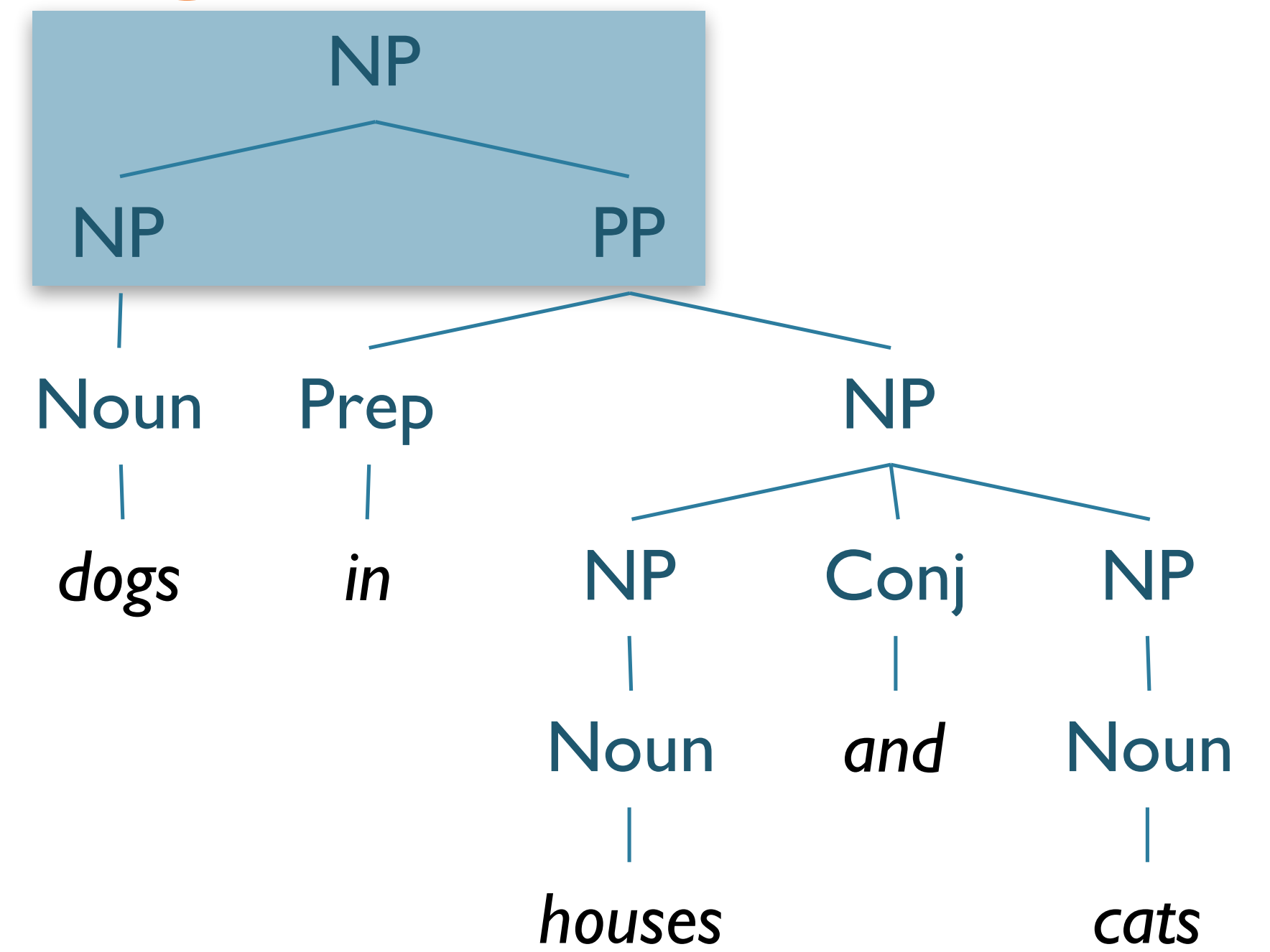


$NP \rightarrow NP \text{ PP}$
 $Noun \rightarrow \text{"dogs"}$
 $PP \rightarrow Prep \text{ NP}$
 $Prep \rightarrow \text{"in"}$
 $NP \rightarrow NP \text{ Conj } NP$
 $NP \rightarrow Noun$
 $Noun \rightarrow \text{"houses"}$
 $Conj \rightarrow \text{"and"}$
 $NP \rightarrow Noun$
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Issues with PCFGs: Coordination Ambiguity



NP → NP Conj NP
 NP → NP PP
 Noun → "dogs"
 PP → Prep NP
 Prep → "in"
 NP → Noun
 Noun → "houses"
 Conj → "and"
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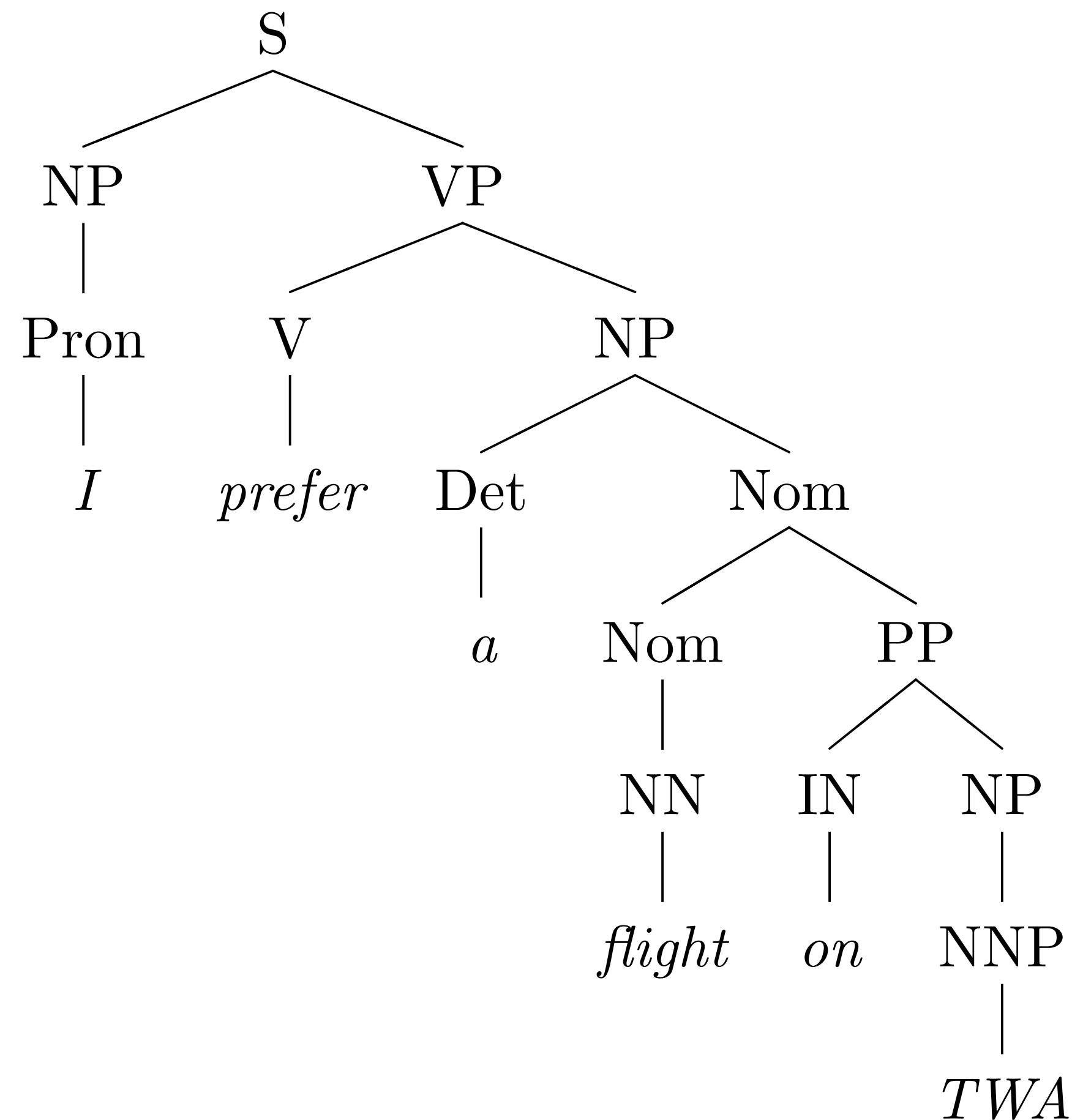
Improving PCFGs

Improving PCFGs

- **Parent Annotation**
- Lexicalization
- Reranking

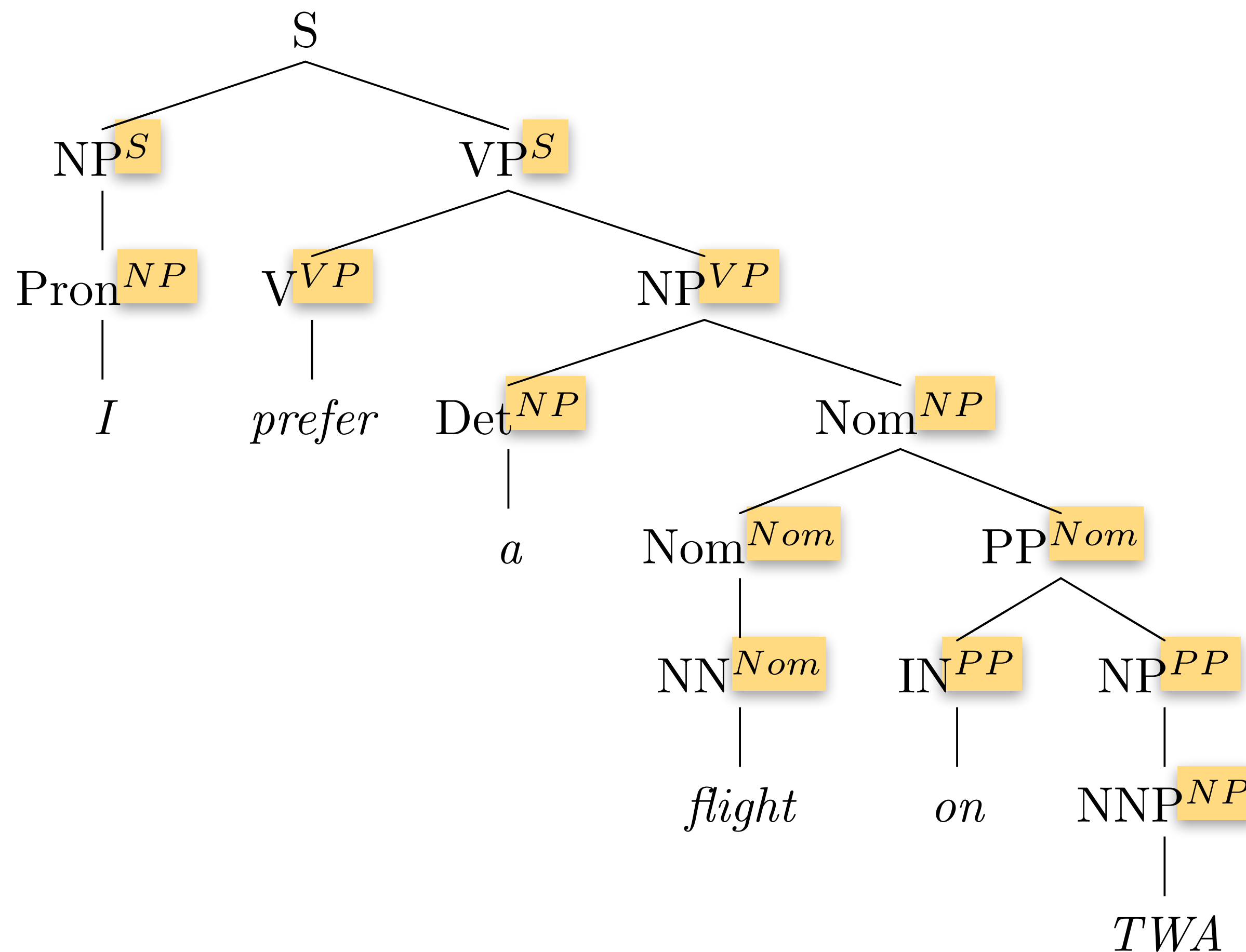
Improving PCFGs: Parent Annotation

- To handle the $NP \rightarrow PRP$ [0.91 if $NP_{\Theta=subject}$ else 0.34]



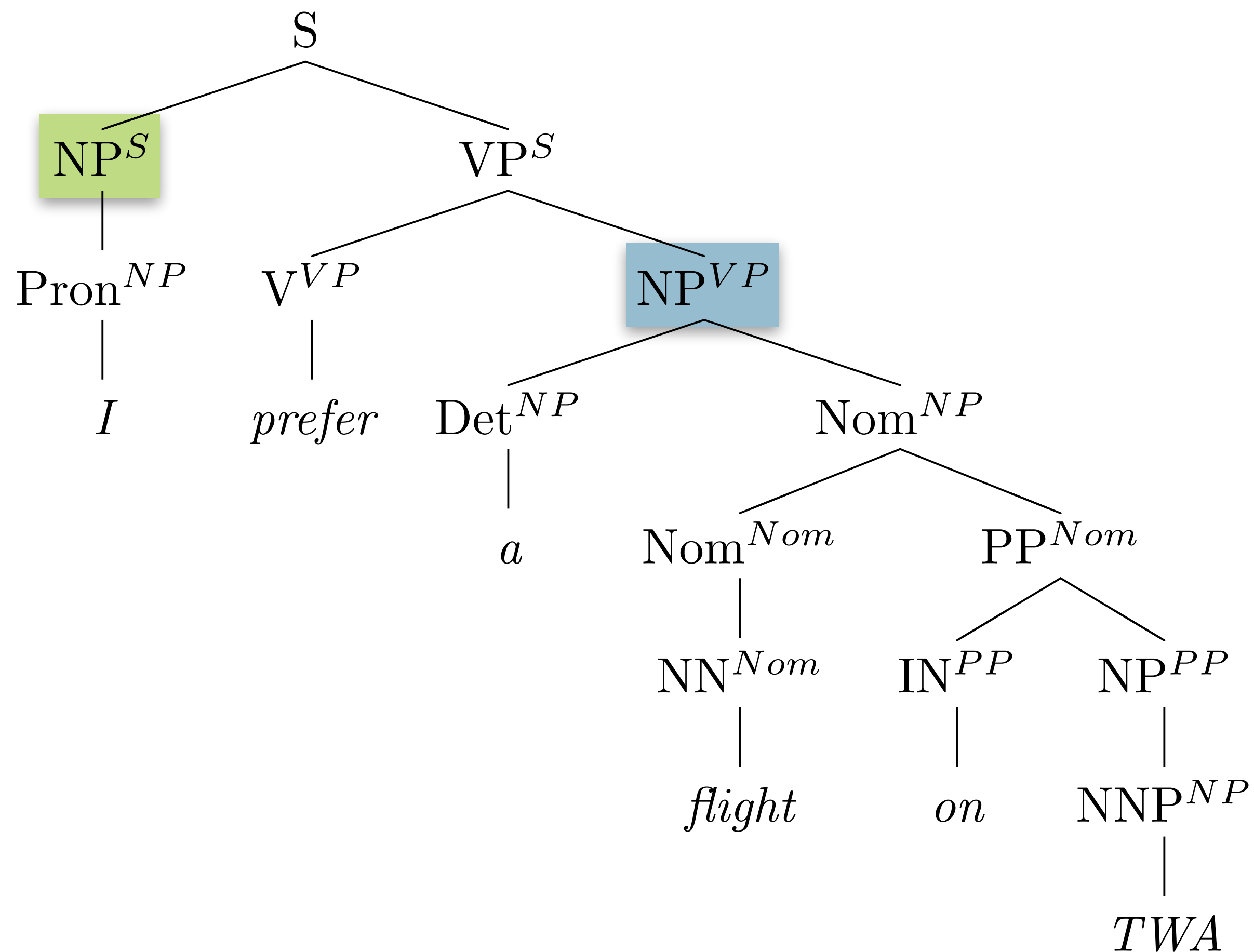
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Improving PCFGs: Parent Annotation

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Improving PCFGs: Parent Annotation

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 - Results in sparsity problems

Improving PCFGs: Parent Annotation

- Advantages:
 - Captures structural dependencies in grammar
- Disadvantages:
 - Explodes number of rules in grammar
 - Same problem with subcategorization
 - Results in sparsity problems
- Strategies to find an optimal number of splits
 - [Petrov et al \(2006\)](#)

Improving PCFGs

- Parent Annotation
- **Lexicalization**
- Reranking

Improving PCFGs: Lexical “Heads”

- Remember back to syntax intro (Lecture #1)
 - Phrases are “headed” by key words
 - **VP** are headed by **V**
 - **NP** by **NN, NNS, PRON**
 - **PP** by **PREP**
- We can take advantage of this in our grammar!

Improving PCFGs: Lexical Dependencies

- As we've seen, some rules should be conditioned on certain words

- **Proposal:** annotate nonterminals with lexical head

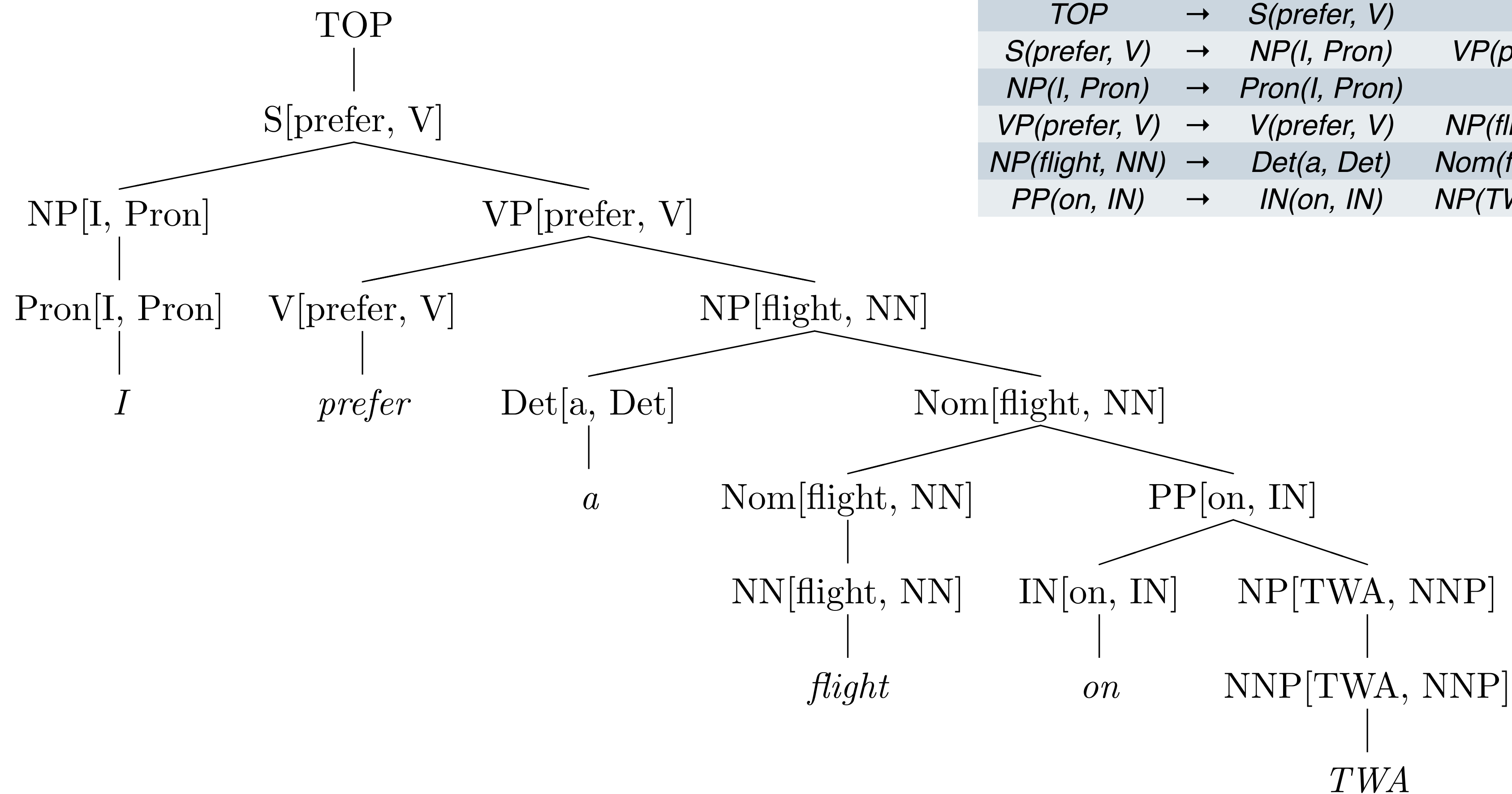
VP → *VBD NP PP*

VP(dumped) → *VBD(dumped) NP(sacks) PP(into)*

- **Additionally:** annotate with lexical head + POS

VP(dumped, VBD) → *VBD(dumped, VBD) NP(sacks, NNS) PP(into, IN)*

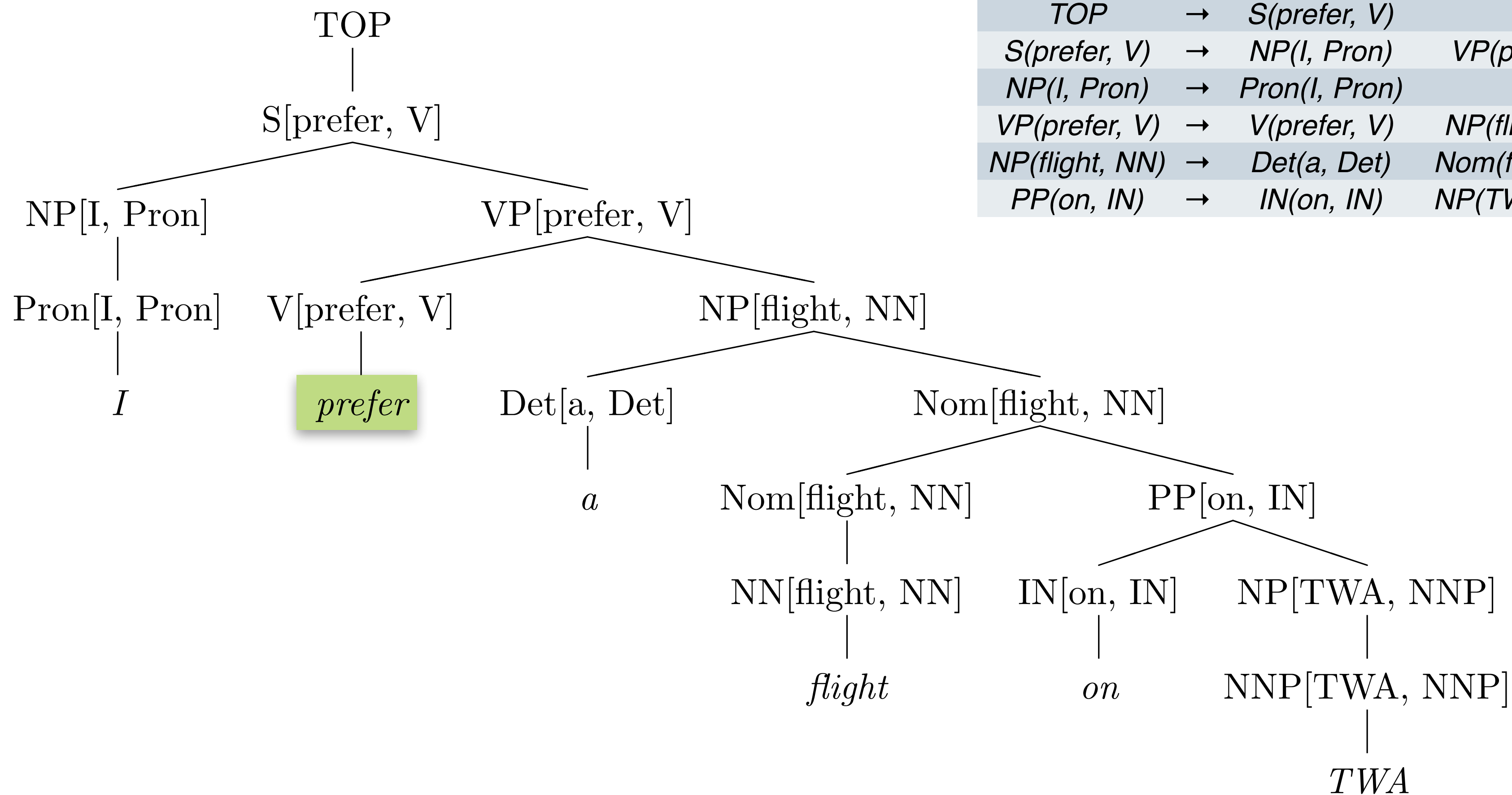
Lexicalized Parse Tree



| Internal Rules | | |
|-----------------------|---|------------------------------------|
| <i>TOP</i> | → | <i>S(prefer, V)</i> |
| <i>S(prefer, V)</i> | → | <i>NP(I, Pron) VP(prefer, V)</i> |
| <i>NP(I, Pron)</i> | → | <i>Pron(I, Pron)</i> |
| <i>VP(prefer, V)</i> | → | <i>V(prefer, V) NP(flight, NN)</i> |
| <i>NP(flight, NN)</i> | → | <i>Det(a, Det) Nom(flight, NN)</i> |
| <i>PP(on, IN)</i> | → | <i>IN(on, IN) NP(TWA, NNP)</i> |

| Lexical Rules | | |
|-----------------------|---|---------------|
| <i>Pron(I, Pron)</i> | → | <i>I</i> |
| <i>V(prefer, V)</i> | → | <i>prefer</i> |
| <i>Det(a, Det)</i> | → | <i>a</i> |
| <i>NN(flight, NN)</i> | → | <i>flight</i> |
| <i>IN(on, IN)</i> | → | <i>on</i> |
| <i>NNP(TWA, NNP)</i> | → | <i>TWA</i> |

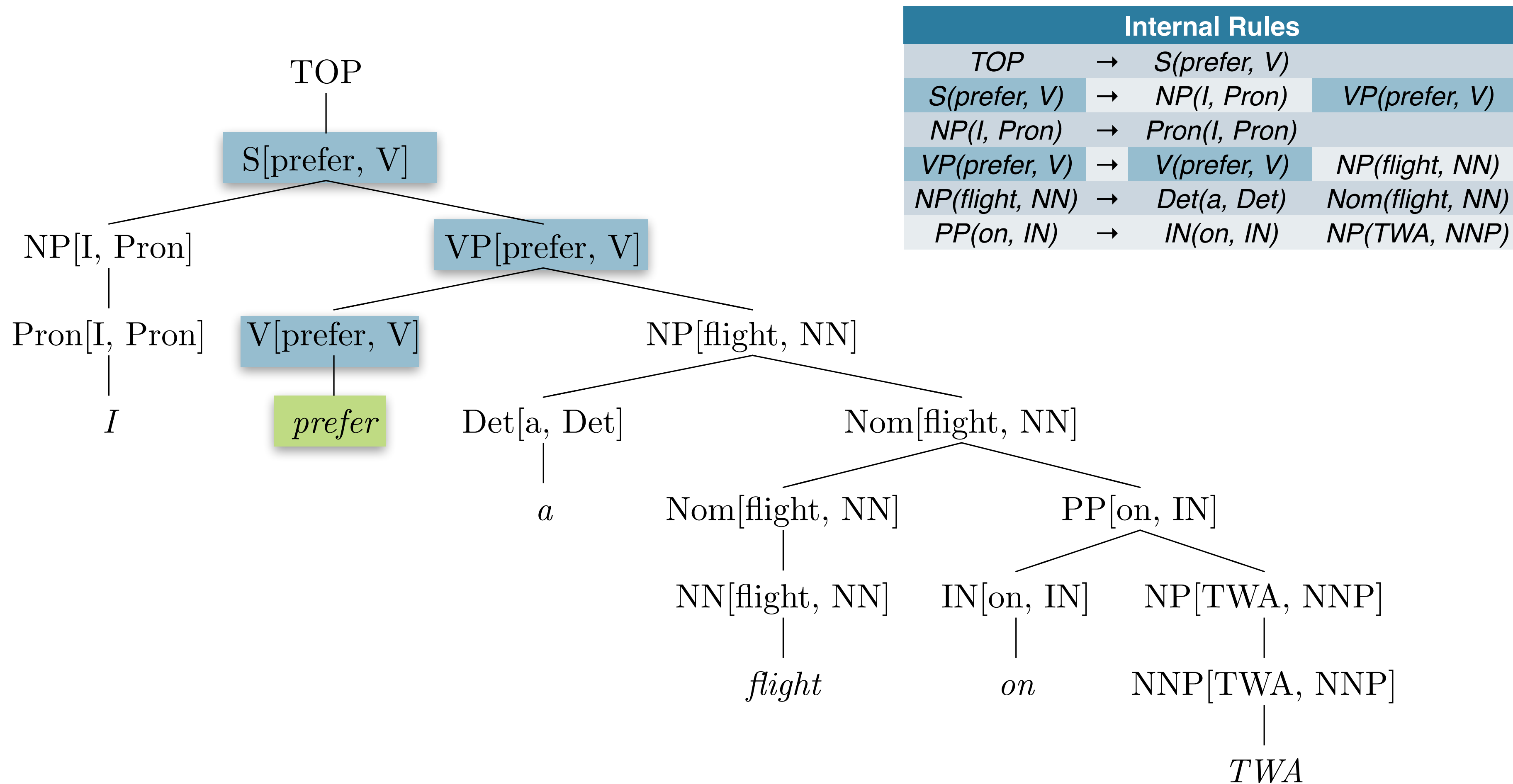
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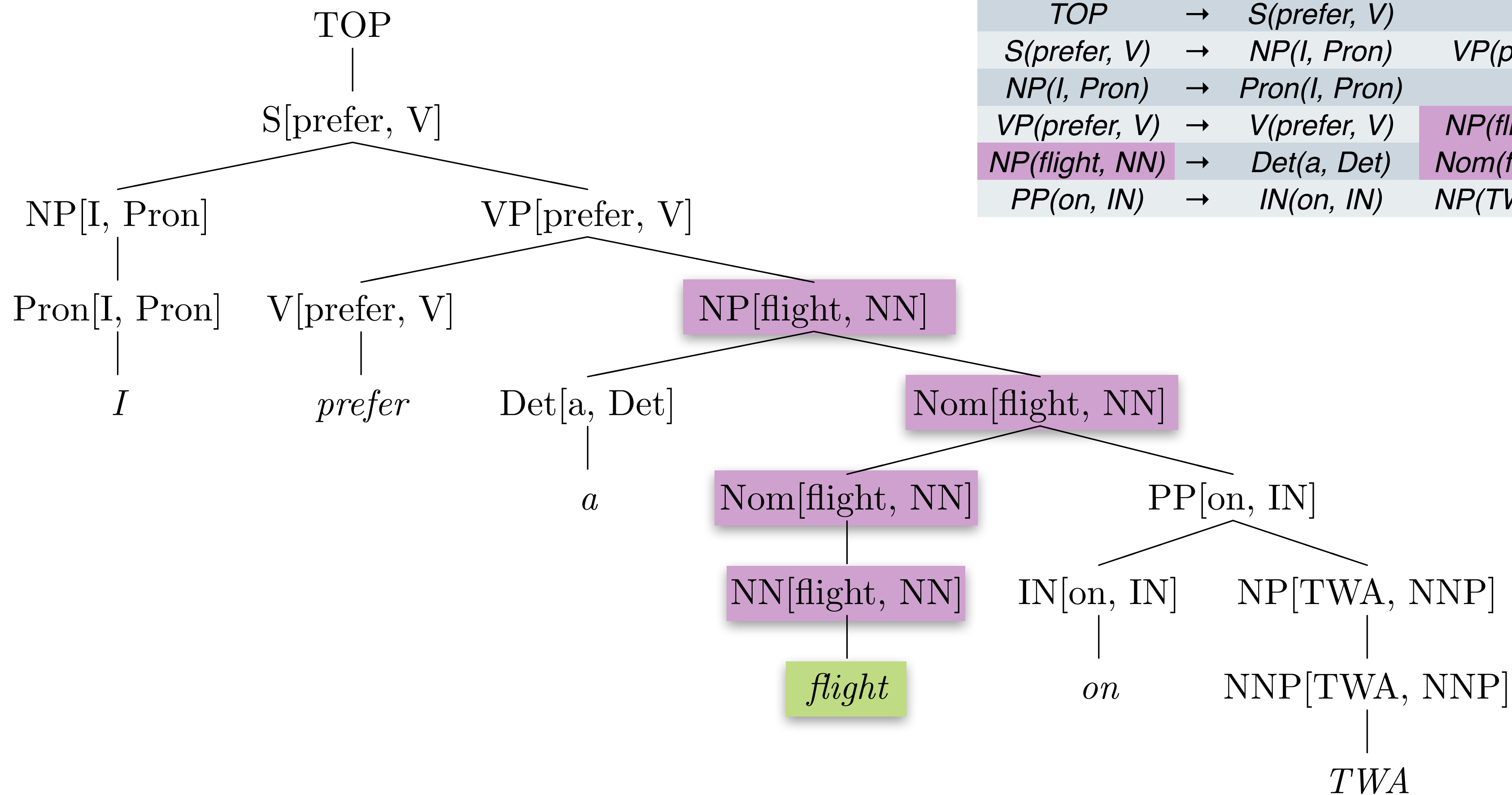
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Improving PCFGs: Lexical Dependencies

- Upshot: heads propagate up tree:

Improving PCFGs: Lexical Dependencies

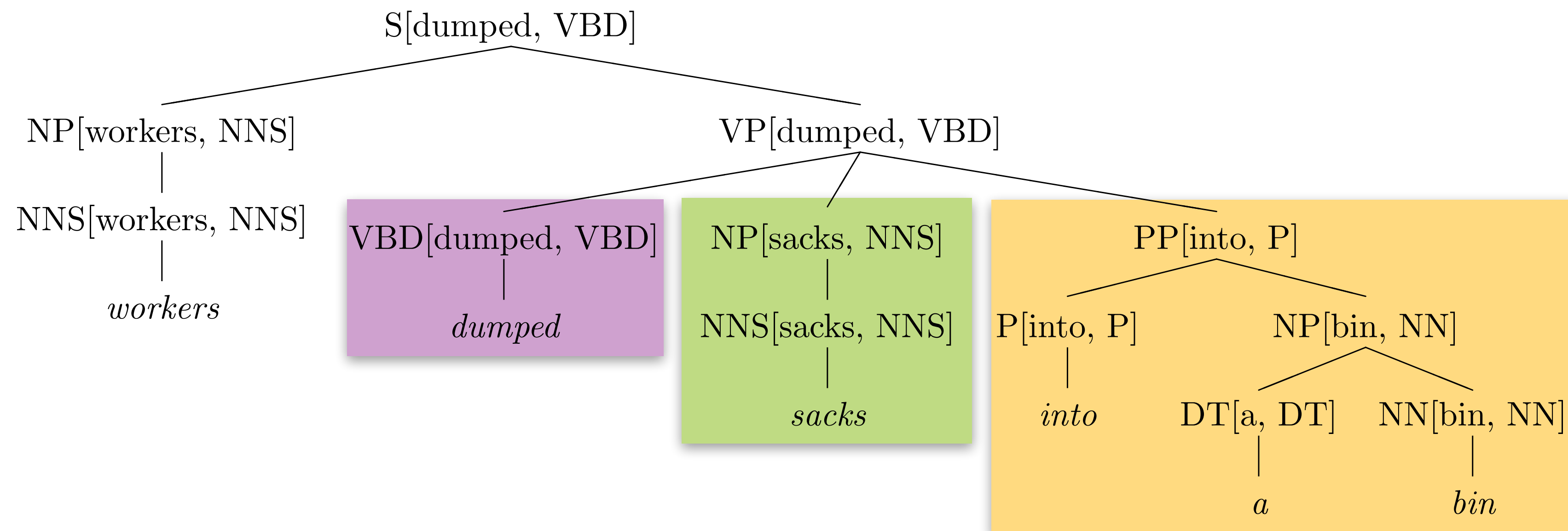
- Upshot: heads propagate up tree:
 - $VP \rightarrow VBD(\text{dumped}, VBD) NP(\text{sacks}, NNS) PP(\text{into}, P)$
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Improving PCFGs: Lexical Dependencies

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 - $VP \rightarrow VBD(\text{dumped}, VBD) NP(\text{sacks}, NNS) PP(\text{into}, P)$ ✓
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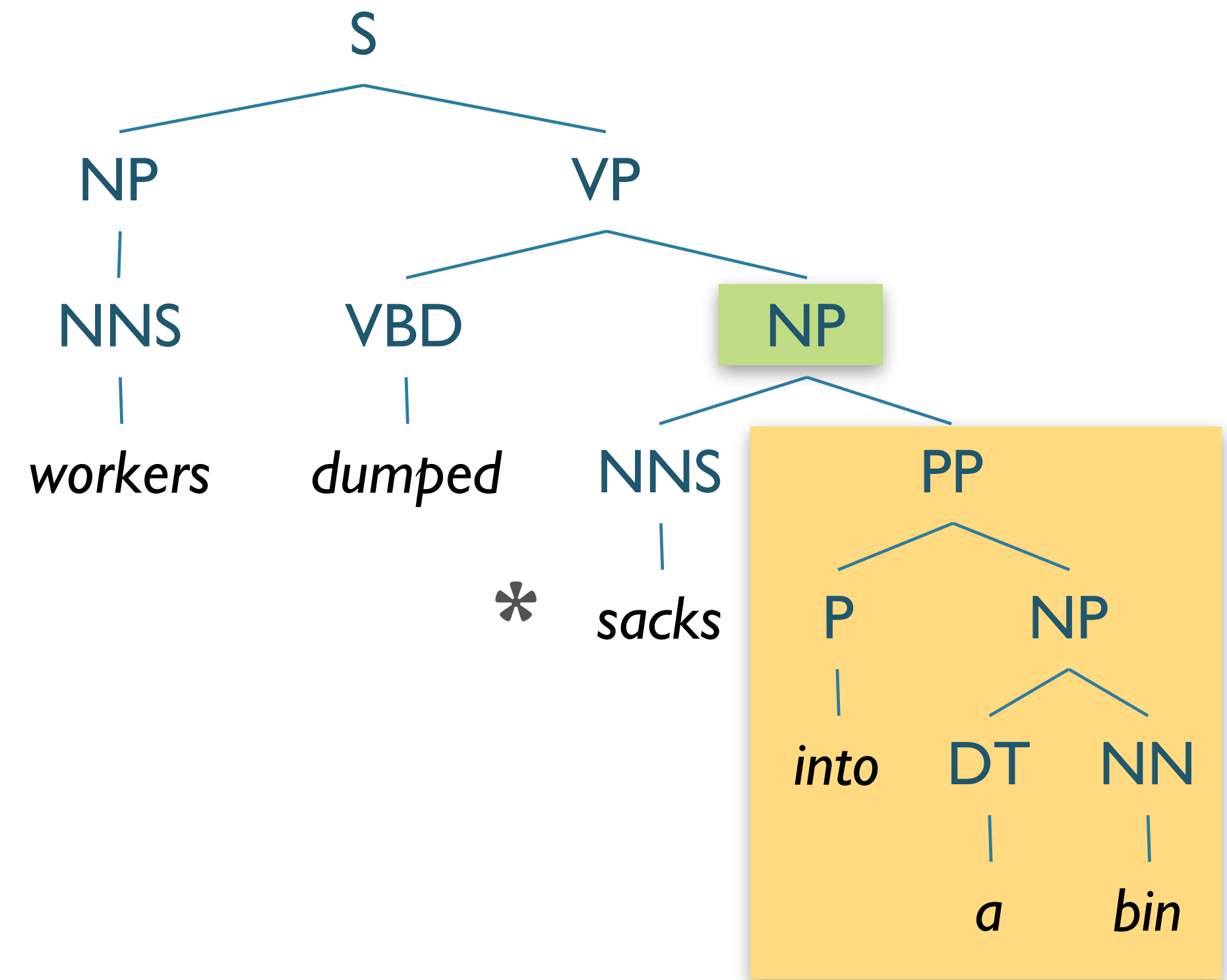
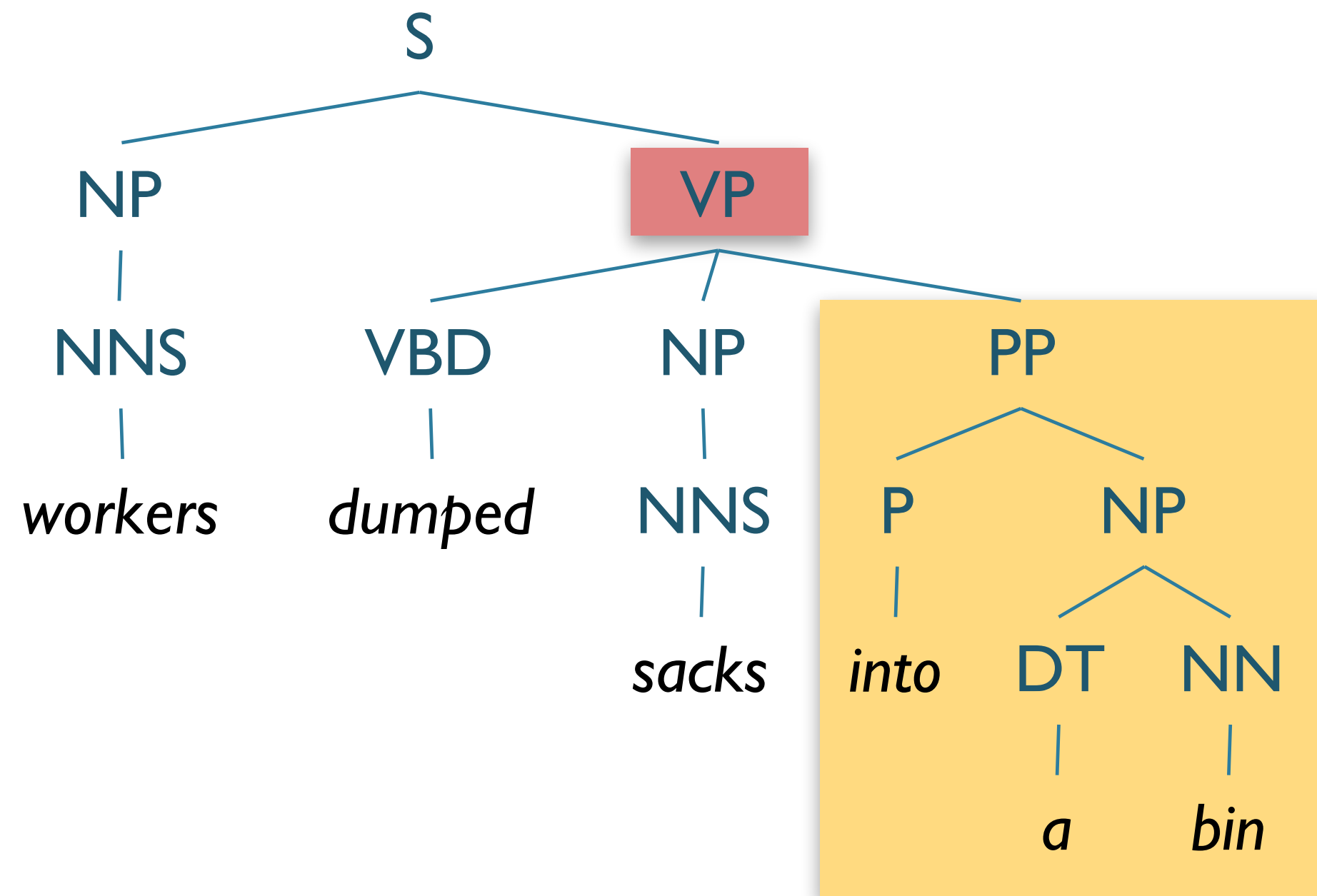
Improving PCFGs: Lexical Dependencies

- Downside:
 - Rules far too specialized — will be sparse
- Solution:
 - Assume ***conditional*** independence
 - Create more rules

Improving PCFGs: Collins Parser

- Proposal:
 - **LHS** → **LeftOfHead** ... **Head** ... **RightOfHead**
 - Instead of calculating $P(\text{EntireRule})$, which is sparse:
 - Calculate:
 - Probability that **LHS** has nonterminal phrase **H** given head-word **hw...**
 - × Probability of modifiers to the **left** given head-word **hw...**
 - × Probability of modifiers to the **right** given head-word **hw...**

Collins Parser Example



Collins Parser Example

$P(VP \rightarrow VBD NP PP | VP, \text{dumped})$

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$$P(VP \rightarrow VBD NP PP | VP, \textit{dumped})$$

$$= \frac{\textit{Count}(VP(\textit{dumped}) \rightarrow VBD NP PP)}{\sum_{\beta} \textit{Count}(VP(\textit{dumped}) \rightarrow \beta)}$$

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$$= \frac{6}{9} = 0.67$$

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$$P_R(\textit{into} | PP, \textit{dumped})$$

$$= \frac{\textit{Count}(X(\textit{dumped}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \textit{Count}(X(\textit{dumped}) \rightarrow \dots PP \dots)}$$

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$$= \frac{2}{9} = 0.22$$

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$$P(VP \rightarrow VBD NP | VP, \textit{dumped})$$

$$= \frac{\textit{Count}(VP(\textit{dumped}) \rightarrow VBD NP)}{\sum_{\beta} \textit{Count}(VP(\textit{dumped}) \rightarrow \beta)}$$

$$= \frac{1}{9} = 0.11$$

$$P_R(\textit{into} | PP, \textit{dumped})$$

$$= \frac{\textit{Count}(X(\textit{dumped}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \textit{Count}(X(\textit{dumped}) \rightarrow \dots PP \dots)}$$

$$= \frac{2}{9} = 0.22$$

Collins Parser Example

$$P(VP \rightarrow VBD NP PP \mid VP, \textit{dumped})$$

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$$= \frac{6}{9} = 0.67$$

$$P_R(\textit{into} \mid PP, \textit{dumped})$$

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$$= \frac{1}{9} = 0.11$$

$$P_R(\textit{into} \mid PP, \textit{sacks})$$

$$= \frac{\textit{Count}(X(\textit{sacks}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \textit{Count}(X(\textit{sacks}) \rightarrow \dots PP \dots)}$$

$$= \frac{0}{0}$$

Improving PCFGs

- Parent Annotation
- Lexicalization
- **Reranking**

Reranking

- Issue: Locality
 - PCFG probabilities associated with rewrite rules
 - Context-free grammars are, well, context-free
 - Previous approaches create new rules to incorporate context
- Need approach that incorporates broader, global info

Discriminative Parse Reranking

- General approach:
 - Parse using (L)PCFG
 - Obtain top-N parses
 - Re-rank top-N using better features
- Use discriminative model (e.g. MaxEnt, NN) to rerank with features:
 - right-branching vs. left-branching
 - speaker identity
 - conjunctive parallelism
 - fragment frequency
 - ...

Reranking Effectiveness

- How can reranking improve?
- Results from [Collins and Koo \(2005\)](#), with 50-best

| System | Accuracy |
|----------------|----------|
| Baseline | 0.897 |
| Oracle | 0.968 |
| Discriminative | 0.917 |

- “Oracle” is to automatically choose the correct parse if in N-best

Improving PCFGs: Tradeoffs

- **Pros:**

- Increased accuracy/specificity
- e.g. Lexicalization, Parent annotation, Reranking

- **Cons:**

- Explode grammar size
- Increased processing time
- Increased data requirements
- *How can we balance?*

Improving PCFGs: Efficiency

- **Beam thresholding**
- Heuristic Filtering

Efficiency

- PCKY is $|G| \cdot n^3$
 - Grammar can be huge
 - Grammar can be extremely ambiguous
 - Hundreds of analyses not unusual
- ...but only care about best parses
- Can we use this to improve efficiency?

Beam Thresholding

- Inspired by Beam Search
- Assume low probability parses unlikely to yield high probability overall
 - Keep only top k most probable partial parses
 - Retain only k choices per cell
 - For large grammars, maybe 50-100
 - For small grammars, 5 or 10

Heuristic Filtering

- **Intuition:** Some rules/partial parses unlikely to create best parse
- **Proposal:** Don't store these in table.
- **Exclude:**
 - Low frequency: e.g. singletons
 - Low probability: constituents \mathbf{X} s.t. $P(\mathbf{X}) < 10^{-200}$
 - Low relative probability:
 - Exclude \mathbf{X} if there exists \mathbf{Y} s.t. $P(\mathbf{Y}) > 100 \times P(\mathbf{X})$