# PCFGs: <br> Parsing \& Evaluation 

LING 571 - Deep Processing Techniques for NLP Shane Steinert-Threlkeld

## Roadmap

- CKY + back-pointers
- PCFGs
- PCFG Parsing (PCKY)
- Inducing a PCFG
- Evaluation
- [Earley parsing]
- HW3 + collaboration


## CKY Parsing: Backpointers

## Current CKY Algorithm

Limitations:
Only stores non-terminals in cell
Not rules or cells corresponding to RHS
Stores SETS of non-terminals
Multiple rules with same LHS collide
Currently only acceptance/recognition

## Backpointers

- Instead of list of possible nonterminals for that node, each cell should have:
- Nonterminal for the node
- Pointer to left and right children cells
- Either direct pointer to cell, or indices

$$
\begin{gathered}
\text { For example: } \\
\text { bp_2 }=\text { BackPointer }() \\
\text { bp_2.1_child }=[\mathrm{X} 2,(1,4)] \\
\text { bp_2.r_child }=[\operatorname{PP},(4,6)]
\end{gathered}
$$

## CKY Parser

- Pair each nonterminal with back-pointer to cells from which it was derived
- Last step:
- construct trees from back-pointers in [ $0, n$ ]

| $\begin{gathered} \text { NP, } \\ \text { Pronoun } \\ {[0,1]} \end{gathered}$ | $\begin{gathered} s \\ {[0,2]} \end{gathered}$ | [0,3] | $\begin{gathered} s \\ {[0,4]} \end{gathered}$ | [0,5] |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Verb, VP, s $[1,2]$ | [1,3] | VP, X2, s <br> [1,4] | [1,5] | $\begin{aligned} & \mathrm{VP} \\ & {[1,6]} \end{aligned}$ |
|  |  | Det <br> [2,3] | $\begin{aligned} & \mathrm{NP} \\ & {[2,4]} \end{aligned}$ | [2,5] | $\begin{aligned} & \text { NP } \\ & {[2,6]} \end{aligned}$ |
|  |  |  | Noun, Nom $[3,4]$ | [3,5] | Nom $[3,6]$ |
|  |  |  |  | Prep <br> [4,5] | PP $[4,6]$ |
|  |  |  |  |  | NNP, NP $[5,6]$ |




## Resulting Parses



## CKY Discussion

- Running time:
- $\mathrm{O}\left(n^{3}\right)$ where $n$ is the length of the input string
- Inner loop grows as square of \# of non-terminals
- Expressiveness:
- As implemented, requires CNF
- Weak equivalence to original grammar
- Doesn't capture full original structure
- Back-conversion?
- Can do binarization, terminal conversion
- Unit productions requires change in CKY


# CKY + Back-pointers Example 




| $\begin{array}{r} \text { cky_table }[0,6][S]=\{(N P,(0,1), \\ \\ \\ V P,(1,6)) . \end{array}$ | NP, <br> Pronoun [0,I] | $\begin{gathered} s \\ {[0,2]} \end{gathered}$ | [0,3] | $\begin{gathered} s \\ {[0,4]} \end{gathered}$ | [0,5] | $\begin{gathered} s \\ {[0,6]} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| cky_table[0,1][NP] = \{('I') \} cky_table $[1,6][\mathrm{VP}]=\underset{\mathrm{NP}}{=\{(\operatorname{Verb}},(1,2)$ |  | Verb, VP, s $[1,2]$ | [1,3] | $\begin{gathered} \mathrm{VP}, \mathrm{X} 2, \mathrm{~S} \\ {[1,4]} \end{gathered}$ | [1,5] | $\begin{gathered} \mathrm{VP}, \mathrm{x}_{2}, \mathrm{~S} \\ {[1,6]} \end{gathered}$ |
| $\begin{array}{ll} (X 2, & (1,4) \\ \operatorname{PP}, & (4,6)) \end{array}$ |  | S | Det $[2,3]$ | $\begin{aligned} & \mathrm{NP} \\ & {[2,4]} \end{aligned}$ | [2,5] | $[2,6]$ |
| $\begin{aligned} & \text { cky_table }\left[1,2[\text { Verb }]=\left\{(\text { 'prefer' }) \begin{array}{l} \{\text { pry_table }[2,6][\operatorname{NP}]= \\ \text { cky_(Det, }(2,3), \\ \end{array} \begin{array}{l} \text { Nom, }(3,6)\} \end{array}\right.\right. \end{aligned}$ | NP | VP |  | Noun, Nom $[3,4]$ | [3,5] | Nom $[3,6]$ |
|  |  |  |  |  | $\begin{aligned} & \text { Prep } \\ & {[4,5]} \end{aligned}$ | $\begin{aligned} & \mathrm{PP} \\ & {[4,6]} \end{aligned}$ |
|  |  |  |  |  |  | $[5,6]$ |




## Probabilistic Context-Free Grammars

# Probabilistic Context-free Grammars: Roadmap 

Motivation: Ambiguity

Approach:
Definition
Disambiguation
Parsing
Evaluation
Enhancements

## Motivation

What about ambiguity?

## Current algorithm can represent it

...can't resolve it.

## Probabilistic Parsing

- Provides strategy for solving disambiguation problem
- Compute the probability of all analyses
- Select the most probable
- Employed in language modeling for speech recognition
- N-gram grammars predict words, constrain search
- Also, constrain generation, translation


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a set of non-terminal symbols (or variables)
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a set of rules of productions, each of the form $A \rightarrow \beta[p]$, where $A$ is a non-terminal where
$R \quad A$ is a non-terminal, $\beta$ is a string of symbols from the infinite set of strings $(\Sigma \cup N) *$ and $p$
is a number between 0 and 1 expressing $P(\beta \mid A)$

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is a number between 0 and 1 expressing $P(\beta \mid A)$
$S$
a designated start symbol

## PCFGs

- Augment each production with probability that LHS will be expanded as RHS
- $P(A \rightarrow \beta)$
- $P(A \rightarrow \beta \mid A)$
- $P(\beta \mid A)$
- $P($ RHS $\mid L H S)$
- NB: the first is often used; but the latter are what's really meant.


## PCFGs

- Sum over all possible expansions is 1

$$
\sum_{\beta} P(A \rightarrow \beta)=1
$$

- A PCFG is consistent if sum of probabilities of all sentences in language is 1
- Recursive rules often yield inconsistent grammars (Booth \& Thompson, 1973)


## Example PCFG: Augmented $\mathscr{L}_{1}$

| Grammar |  | Lexicon |
| :---: | :---: | :---: |
| $S \rightarrow N P V P$ | [.80] | Det $\rightarrow$ that [.10] \| a [.30] | the [.60] |
| $S \rightarrow$ Aux NPVP | [.15] | Noun $\rightarrow$ book [.10] \| flight [.30] | meal [.15] | money [0.5] |
| $S \rightarrow V P$ | [.05] | \| flights [0.40] | dinner [.10] |
| $N P \rightarrow$ Pronoun | [.35] | Verb $\rightarrow$ book [.30] \| include [.30] | prefer [.40] |
| $N P \rightarrow$ Proper-Noun | [.30] | Pronoun $\rightarrow$ [.40] \| she [.05] | me [.15] | you [.40] |
| $N P \rightarrow$ Det Nominal | [.20] | Proper-Noun $\rightarrow$ Houston [.60] \| NWA [.40] |
| $N P \rightarrow$ Nominal | [.15] | Aux $\rightarrow$ does [.60] \| can [.40] |
| Nominal $\rightarrow$ Noun | [.75] | Preposition $\rightarrow$ from [.30] \| to [.30] | on [.20] | near [.15] |
| Nominal $\rightarrow$ Nominal Noun | [.20] | \| through [.05] |
| Nominal $\rightarrow$ Nominal PP | [.05] |  |
| $V P \rightarrow$ Verb | [.35] |  |
| $V P \rightarrow$ Verb $N P$ | [.20] |  |
| $V P \rightarrow$ Verb $N P$ PP | [.10] |  |
| $V P \rightarrow$ Verb $P P$ | [.15] |  |
| $V P \rightarrow V e r b N P N P$ | [.05] |  |
| $V P \rightarrow V P P P$ | [.15] |  |
| $P P \rightarrow$ Preposition NP | [1.0] |  |

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| $V P \rightarrow$ Verb $P P$ | [.15] |  |
| $V P \rightarrow$ Verb $N P N P$ | [.05] |  |
| $V P \rightarrow V P P P$ | [.15] |  |
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## Disambiguation

- A PCFG assigns probability to each parse tree $T$ for input $S$
- Probability of $T$ : product of all rules used to derive $T$

$$
\begin{gathered}
P(T, S)=\prod_{i=1}^{n} P\left(R H S_{i} \mid L H S_{i}\right) \\
P(T, S)=P(T) P(S \mid T)=P(T)
\end{gathered}
$$




## Parsing Problem for PCFGs

- Select $T$ such that (s.t.)

$$
\hat{T}(S)=\underset{T \text { s.t. } S=\operatorname{yield}(T)}{\operatorname{argmax}} P(T)
$$

- String of words $S$ is yield of parse tree
- Select the tree $\hat{T}$ that maximizes the probability of the parse


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- n-grams helpful for modeling the probability of a string


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- Model probability of syntactically valid sentences


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- PCFGs are able to give probability of entire string without as bad sparsity
- Model probability of syntactically valid sentences
- Not just probability of sequence of words


## PCFGs: Parsing

## Probabilistic CKY (PCKY)

- Like regular CKY
- Assumes grammar in Chomsky Normal Form (CNF)
- $A \rightarrow B C$
- $A \rightarrow \mathrm{w}$
- Represent input with indices b/t words:
- ${ }^{\circ}$ Book $_{1}$ that ${ }_{2}$ flight $_{3}$ through $_{4}$ Houston $_{5}$


## Probabilistic CKY (PCKY)

- For input string length $n$ and non-terminals $V$
- Cell $[i, j, A]$ in $(n+1) \times(n+1) \times V$ matrix
- Contains probability that $A$ spans $[i, j]$


## PCKY Algorithm

function PROBABILISTIC-CKY-PARSE (words, grammar) returns most probable parse and its probability for $\mathrm{j} \leftarrow$ from 1 to LENGTH(words) do

```
for all { A | A -> words[j] grammar }
        table[j-1,j,A]}\leftarrowP(A->\mathrm{ words [j])
for }i\leftarrow\mathrm{ from j-2 downto 0 do
    for }k\leftarrowi+1 to j-1 d
    for all { A | A -> B C e grammar,
            and table[i,k,B]>0 and table[ k,j,C]>0}
    if (table[ i, j,A]<P(A->BC)\timestable[ i,k,B]\timestable[k,j,C ]) then
        table[ i, j,A]\leftarrowP(A->BC)\timestable[i,k,B]\timestable[k,j,C]
        back[i,j,A]}\leftarrow{k,B,C
    return Build_Tree(back[ 1, LengTh(words), S ]), table[ 1,LENGTH(words),S ]
```


## PCKY Algorithm

```
function Probabilistic-CKY-PARSE (words, grammar) returns most probable parse and its probability
for \(\mathrm{j} \leftarrow\) from 1 to LENGTH(words) do
for all \(\{A \mid A \rightarrow\) words \([j] \in\) grammar \(\}\)
        table \([j-1, j, A] \leftarrow P(A \rightarrow\) words \([j])\)
for \(i \leftarrow\) from \(j-2\) downto 0 do
    for \(k \leftarrow i+1\) to \(j-1\) do
    for all \(\{A \mid A \rightarrow B C \in\) grammar,
            and table \([i, k, B]>0\) and table \([k, j, C]>0\}\)
    if \((\) table \([i, j, A]<P(A \rightarrow B C) \times\) table \([i, k, B] \times\) table \([k, j, C])\) then
        table \([i, j, A] \leftarrow P(A \rightarrow B C) \times t a b l e[i, k, B] \times t a b l e[k, j, C]\)
        back \([i, j, A] \leftarrow\{k, B, C\}\)
    return Build_Trees (back[ 1, LENGTH(words), \(S\) ]), table[ 1,LENGTH(words), \(S\) ]
```


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$\quad$ table $[j-1, j, A] \leftarrow P(A \rightarrow$ words $[j])$
for $i \leftarrow$ from $j-2$ downto 0 do
for $k \leftarrow i+1$ to $j-1$ do
for all $\{A \mid A \rightarrow B C \in$ grammar,
$\quad$ and table $[i, k, B]>0$ and table $[k, j, C]>0\}$
if $($ table $[i, j, A]<P(A \rightarrow B C) \times$ table $[i, k, B] \times$ table $[k, j, C])$ then
$\quad$ table $[i, j, A] \leftarrow P(A \rightarrow B C) \times t a b l e[i, k, B] \times t a b l e[k, j, C]$
$\quad$ back $[i, j, A] \leftarrow\{k, B, C\}$
return BUILD_TREE $(b a c k[1$, LENGTH(words $), S])$, table $[1, \operatorname{LENGTH(words),S]}$

## PCKY Algorithm



## PCKY Algorithm



## PCKY Grammar Segment

| $S \rightarrow N P V P$ | $[0.80]$ | Det $\rightarrow$ the | $[0.40]$ |
| :---: | :---: | :---: | :---: |
| $N P \rightarrow \operatorname{Det} N$ | $[0.30]$ | $\operatorname{Det} \rightarrow$ a | $[0.40]$ |
| $V P \rightarrow V N P$ | $[0.20]$ | $V \rightarrow$ includes | $[0.05]$ |
|  |  | $N \rightarrow$ meal | $[0.01]$ |
|  | $N \rightarrow$ flight | $[0.02]$ |  |

## PCKY Matrix

$$
\begin{array}{cc}
S \rightarrow N P V P & {[0.80]} \\
N P \rightarrow \text { Det } N & {[0.30]} \\
V P \rightarrow V N P & {[0.20]}
\end{array}
$$

$$
\underset{\text { Det } \rightarrow \text { the }}{\text { Det }} \quad \frac{[0.40]}{[0.40]}
$$

$$
V \rightarrow \text { includes }[0.05]
$$

$$
N \rightarrow \text { meal } \quad[0.01]
$$

$$
N \rightarrow \text { flight } \quad[0.02]
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V P \rightarrow V N P & {[0.20]}
\end{array}
$$

The flight includes a meal

$$
\begin{array}{cc}
\text { Det } \rightarrow \text { the } & {[0.40]} \\
\text { Det } \rightarrow \text { a } & {[0.40]} \\
V \rightarrow \text { includes } & {[0.05]} \\
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\text { Det } \rightarrow \text { a } & {[0.40]} \\
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$$

| Det $\rightarrow$ the | $[0.40]$ |
| :---: | :---: |
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| :---: | :---: |
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\end{array}
$$

$$
\begin{array}{cc}
\text { Det } \rightarrow \text { the } & {[0.40]} \\
\text { Det } \rightarrow \text { a } & {[0.40]} \\
V \rightarrow \text { includes } & {[0.05]} \\
N \rightarrow \text { meal } & {[0.01]} \\
N \rightarrow \text { flight } & {[0.02]}
\end{array}
$$

| Det -0.4 <br> [0, I] | $\begin{aligned} & \mathrm{NP}-0.0024 \\ & {[0,2]} \end{aligned}$ | [0,3] | [0,4] | $\begin{aligned} & S-2.304 \times 10^{-8} \\ & {[0,5]} \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & \mathrm{N}-0.02 \\ & {[1,2]} \end{aligned}$ | [ 1,3$]$ | [1,4] | [1,5] |
|  |  | $\begin{aligned} & V-0.05 \\ & {[2,3]} \end{aligned}$ | [2,4] | $\begin{aligned} & \mathrm{VP}-1.2 \times 10^{-5} \\ & {[2,5]} \end{aligned}$ |
|  |  |  | $\begin{aligned} & \text { Det }-0.4 \\ & {[3,4]} \end{aligned}$ | $\begin{aligned} & \mathrm{NP}-0.00 \mathrm{I} 2 \\ & {[3,5]} \end{aligned}$ |
| flight | ludes | a meal |  | $\begin{aligned} & \mathrm{N}-0.0 \mathrm{I} \\ & {[4,5]} \end{aligned}$ |

## Inducing a PCFG

## Learning Probabilities

- Simplest way:
- Use treebank of parsed sentences


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$$
\boldsymbol{\Sigma}_{\gamma} \operatorname{Count}(\alpha \rightarrow \gamma)
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- Number of times a nonterminal is expanded by a given rule:

$$
\begin{aligned}
& \Sigma_{\gamma} \operatorname{Count}(\alpha \rightarrow \gamma) \\
& \quad \operatorname{Count}(\alpha \rightarrow \beta)
\end{aligned}
$$

## Learning Probabilities

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- Use treebank of parsed sentences
- To compute probability of a rule, count:
- Number of times a nonterminal is expanded:
- Number of times a nonterminal is expanded by a given rule:

$$
\begin{aligned}
& \boldsymbol{\Sigma}_{\gamma} \text { Count }(\alpha \rightarrow \gamma) \\
& \quad \text { Count }(\alpha \rightarrow \beta)
\end{aligned}
$$

$$
P(\alpha \rightarrow \beta \mid \alpha)=\frac{\operatorname{Count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \operatorname{Count}(\alpha \rightarrow \gamma)}=\frac{\operatorname{Count}(\alpha \rightarrow \beta)}{\operatorname{Count}(\alpha)}
$$

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$$
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$$

- Alternative: Learn probabilities by re-estimating
- (Later)


## Probabilistic Parser Development Paradigm

|  | Train | Dev | Test |
| :---: | :---: | :---: | :---: |
|  | Large | Small | Small/Med |
| Size | (eg.WSJ 2-21, | (e.g.WSJ 22) | (e.g. WSJ, 23, |
|  | 39,830 sentences) | 2,416 sentences) |  |
| Usage | Estimate rule | Tuning/Verification, | Held Out, |
| probabilities | Check for Overfit | Final Evaluation |  |

## Parser Evaluation

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- Assume a 'gold standard' set of parses for test set


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## Parser Evaluation

- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?
- Maximally strict: identical to 'gold standard'
- Partial credit:


## Parser Evaluation

- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?
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## Parser Evaluation

- Assume a 'gold standard' set of parses for test set
- How can we tell how good the parser is?
- How can we tell how good a parse is?
- Maximally strict: identical to 'gold standard'
- Partial credit:
- Constituents in output match those in reference
- Same start point, end point, non-terminal symbol


## Parseval

- How can we compute parse score from constituents?
- Multiple Measures:

Labeled Recall (LR) $=\frac{\# \text { of correct constituents in hypothetical parse }}{\# \text { of total constituents in reference parse }}$

Labeled Precision (LP) $=\frac{\text { \# of correct constituents in hypothetical parse }}{\# \text { of total consituents in hypothetical parse }}$

## Parseval

- F-measure:
- Combines precision and recall
- Let $\beta \in \mathbb{R}, \beta>0$ that adjusts $P$ vs. $R$ s.t. $\quad \beta \propto \frac{R}{P}$
- $F_{\beta}$-measure is then: $\quad F_{\beta}=\left(1+\beta^{2}\right) \cdot \frac{P \cdot R}{\beta^{2} \cdot P+R}$
- With F1-measure as $F_{1}=\frac{2 P R}{P+R}$


## Evaluation: Example



Hypothesis


## Evaluation: Example



Hypothesis

$S(0,4)$

## Evaluation: Example



Hypothesis

$S(0,4)$
$\mathrm{NP}(0, \mathrm{I})$

## Evaluation: Example

Reference


```
                S(0,4)
```

                S(0,4)
    NP(0,I)
NP(0,I)
VP(I,4)

```
VP(I,4)
```

Hypothesis


## Evaluation: Example

Hypothesis


## Evaluation: Example



Hypothesis


## Evaluation: Example

| LP: | $4 / 5$ |
| :---: | :---: |
| LR: | $4 / 5$ |
| $F_{1}:$ | $4 / 5$ |

Hypothesis



## Parser Evaluation

## - Crossing Brackets:

- \# of constituents where produced parse has bracketings that overlap for the siblings:
- $((A B) C)-\{(0,2),(2,3)\}$ and hyp. has
(A (B C)) $-\{(0,1),(1,3)\}$
/* crossing is counted based on the brackets */ /* in test rather than gold file (by Mike) */
for (j=0; j<bn2; $j++$ ) \{
for(i=0;i<bn1;i++)\{
if(bracket1[i].result != 5 \&\&
bracket2[j].result != 5 \&\&
((bracket1[i].start < bracket2[j].start \&\& bracket1[i].end > bracket2[j].start \&\& bracket1[i].end < bracket2[j].end) \|| (bracket1[i].start > bracket2[j].start \&\& bracket1[i].start < bracket2[j].end \&\& bracket1[i].end > bracket2[j].end)))\{
from evalb.c


## State-of-the-Art Parsing

- Parsers trained/tested on Wall Street Journal PTB
- LR: 94\%+;
- LP: 94\%+;
- Crossing brackets: $1 \%$
- Standard implementation of Parseval:
- evalb


## Evaluation Issues

- Only evaluating constituency
- There are other grammar formalisms:
- LFG (Constraint-based)
- Dependency Structure
- Extrinsic evaluation
- How well does getting the correct parse match the semantics, etc?


## Earley Parsing

## Earley vs. CKY

- CKY doesn't capture full original structure
- Can back-convert binarization, terminal conversion
- Unit non-terminals require change in CKY


## Earley vs. CKY

- CKY doesn't capture full original structure
- Can back-convert binarization, terminal conversion
- Unit non-terminals require change in CKY
- Earley algorithm
- Supports parsing efficiently with arbitrary grammars
- Top-down search
- Dynamic programming
- Tabulated partial solutions
- Some bottom-up constraints


## Earley Algorithm

- Another dynamic programming solution
- Partial parses stored in "chart"
- Compactly encodes ambiguity
- $O\left(N^{3}\right)$
- Chart entries contain:
- Subtree for a single grammar rule
- Progress in completing subtree
- Position of subtree w.r.t. input


## Earley Algorithm

- First, left-to-right pass fills out a chart with $N+1$ states
- Chart entries - sit between words in the input string
- Keep track of states of the parse at those positions
- For each word position, chart contains set of states representing all partial parse trees generated so far
- e.g. chart [0] contains all partial parse trees generated at the beginning of sentence


## Chart Entries

- Three types of constituents:
- Predicted constituents
- In-progress constituents
- Completed constituents


## Parse Progress

- Represented by Dotted Rules
- Position of • indicates type of constituent
- o Book ${ }_{1}$ that 2 flight 3
- $S \rightarrow \cdot V P \quad[0,0] \quad$ (predicted)
- NP $\rightarrow$ Det • Nom $[1,2] \quad$ (in progress)
- VP $\rightarrow$ V NP $\quad[0,3] \quad$ (completed)
- $[x, y]$ tells us what portion of the input is spanned so far by rule
- Each state si: <dotted rule>, [<back pointer>, <current position>]


## $0_{0}$ Book $_{1}$ that ${ }_{2}$ flight ${ }_{3}$

- $S \rightarrow$ •VP, $[0,0]$
- First 0 means $S$ constituent begins at the start of input
- Second 0 means the dot is here too
- So, this is a top-down prediction


## ${ }_{0}$ Book $_{1}$ that ${ }_{2}$ flight 3

- $S \rightarrow \cdot V P,[0,0]$
- First 0 means $S$ constituent begins at the start of input
- Second 0 means the dot is here too
- So, this is a top-down prediction
- NP $\rightarrow$ Det • Nom, [1,2]
- the NP begins at position 1
- the dot is at position 2
- so, Det has been successfully parsed
- Nom predicted next


## ${ }_{0}$ Book $_{1}$ that 2 flight ${ }_{3}$ (continued)

- VP $\rightarrow V N P \cdot[0,3]$
- Successful VP parse of entire input



## Successful Parse

- Final answer found by looking at last entry in chart
- If entry resembles $S \rightarrow a \cdot[0, N]$ then input parsed successfully
- Chart will also contain record of all possible parses of input string, given the grammar


## Parsing Procedure for the Earley Algorithm

- Move through each set of states in order, applying one of three operations:
- predictor: add predictions to the chart
- scanner: read input and add corresponding state to chart
- completer: move dot to right when new constituent found
- Results (new states) added to current or next set of states in chart
- No backtracking and no states removed: keep complete history of parse


## Earley Algorithm

```
function EARLEY-PARSE(words, grammar) returns chart
ENQUEUE((}>>\bulletS,[0,0]),chart[0]
for }i\longleftarrow\mathrm{ from 0 to LENGTH(words) do
    for each state in chart[i] do
        if INCOMPLETE?(state) and
            NEXT-CAT(state) is not a part of speech then
                PREDICTOR(state)
            elseif INCOMPLETE?(state) and
                    NEXT-CAT(state) is a part of speech then
                SCANNER(state)
        else
            COMPLETER(state)
        end
        end
return(chart)
```


## Earley Algorithm

```
procedure \(\mathbb{P R E D I C T O R}((A \rightarrow \alpha \bullet B \beta,[i, j /))\)
    for each \((\mathrm{B} \rightarrow \gamma)\) in Grammar-Rules-For ( \(B\), grammar) do
        EnQueue \(((B \rightarrow \bullet \gamma,[j, j /)\), chart \(/ j /)\)
    end
procedure SCANNER \(((A \rightarrow a \bullet B \beta,[i, j]))\)
    if \(\mathrm{B} \subset\) PARTS-OF-SPEECH \((\) word \([j])\) then
        \(\operatorname{ENQUEUE}((\mathrm{B} \rightarrow \operatorname{word}[j] \bullet,[j, j+1]), \operatorname{chart}[j+1])\)
procedure COMPLETER \(((B \rightarrow \gamma \bullet,[j, k /))\)
    for each \((A \rightarrow \alpha \bullet B \beta,[i, j])\) in chart \([j]\) do
        \(\operatorname{EnQUEUE}((A \rightarrow \alpha B \bullet \beta,[i, k /), \operatorname{chart} / k /)\)
    end
```


## 3 Main Subroutines of Earley

- Predictor
- Adds predictions into the chart
- Scanner
- Reads the input words and enters states representing those words into the chart
- Completer
- Moves the dot to the right when new constituents are found


## Predictor

- Intuition:
- Create new state for top-down prediction of new phrase
- Applied when non part-of-speech non-terminals are to the right of a dot:
- $S \rightarrow$ •VP $[0,0]$
- Adds new states to current chart
- One new state for each expansion of the non-terminal in the grammar $V P \rightarrow \cdot V \quad[0,0]$ $V P \rightarrow \cdot V N P \quad[0,0]$


## Chart[0]

| S0 | $Y \rightarrow \cdot S$ | $[0,0]$ | Dummy start state |
| :--- | :--- | :--- | :--- |
|  |  |  |  |
| S1 | $S \rightarrow \cdot N P V P$ | $[0.0]$ | Predictor |
| S2 | $S \rightarrow \cdot$ Aux NP VP | $[0,0]$ | Predictor |
| S3 | $S \rightarrow \cdot V P$ | $[0,0]$ | Predictor |
|  |  |  |  |
| S4 | $N P \rightarrow \cdot$ Pronoun | $[0,0]$ | Predictor |
| S5 | $N P \rightarrow \cdot$ Proper-Noun | $[0,0]$ | Predictor |
| S6 | $N P \rightarrow \cdot$ Det Nominal | $[0,0]$ | Predictor |
|  |  |  |  |
| S7 | $V P \rightarrow \cdot$ Verb | $[0,0]$ | Predictor |
| S8 | $V P \rightarrow \cdot$ Verb NP | $[0,0]$ | Predictor |
| S9 | $V P \rightarrow \cdot$ Verb NP $P P$ | $[0,0]$ | Predictor |
| S10 | $V P \rightarrow \cdot$ Verb $P P$ | $[0,0]$ | Predictor |
| S11 | $V P \rightarrow \cdot V P P P$ | $[0,0]$ | Predictor |

## Chart[1]

| S12 | Verb $\rightarrow$ book $\cdot$ | $[0,1]$ | Scanner |
| :--- | :--- | :--- | :--- |
|  |  |  |  |
| S13 | $V P \rightarrow$ Verb $\cdot$ | $[0,1]$ | Completer |
| S14 | $V P \rightarrow$ Verb $\cdot N P$ | $[0,1]$ | Completer |
| S15 | $V P \rightarrow V e r b \cdot N P P P$ | $[0,1]$ | Completer |
| S16 | $V P \rightarrow$ Verb $\cdot P P$ | $[0,1]$ | Completer |
| S17 | $S \rightarrow V P \cdot$ | $[0,1]$ | Completer |
| S18 | $V P \rightarrow V P \cdot P P$ | $[0,1]$ | Completer |
|  |  | $[1,1]$ | Predictor |
| S19 | $N P \rightarrow \cdot$ Pronoun | $[1,1]$ | Predictor |
| S20 | $N P \rightarrow$ Proper-Noun | $[1,1]$ | Predictor |
| S21 | $N P \rightarrow \cdot$ Det Nominal | $[1,1]$ | Predictor |

## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$

- S


## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$ S3: $S \rightarrow \cdot V P[0,0]$

## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow \cdot V P[0,0]$
S8: VP $\rightarrow$ • Verb NP $[0,0]$


## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow \cdot V P[0,0]$
S8: VP $\rightarrow$ • Verb NP $[0,0]$
S12: Verb $\rightarrow$ • book $[0,0]$


## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow \cdot V P[0,0]$
S8: VP $\rightarrow$ • Verb NP [0,0]
S12: Verb $\rightarrow$ book $\cdot[0,1]$


## Book that flight



## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: $V P \rightarrow V e r b \cdot N P[0,1]$


## Book that flight



## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: VP $\rightarrow$ Verb •NP $[0,1]$
S21: NP $\rightarrow$ • Det Nominal [ 1,1$]$ S23: Det $\rightarrow$ • "that" $[1,1]$


## Book that flight



## Book that flight



## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: VP $\rightarrow$ Verb •NP $[0,1]$
S21: NP $\rightarrow$ Det • Nominal [1,2] S25: Nominal $\rightarrow$ • Noun [2,2]


## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: VP $\rightarrow$ Verb •NP $[0,1]$
S21: NP $\rightarrow$ Det • Nominal [1,2]
S25: Nominal $\rightarrow$ • Noun [2,2]
S28: Noun $\rightarrow$ "flight" • $[2,3]$


## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: VP $\rightarrow$ Verb • NP $[0,1]$
S21: NP $\rightarrow$ Det • Nominal [1,2]
S25: Nominal $\rightarrow$ Noun $\cdot[2,3]$


that Noun •

## Book that flight

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## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S3: $S \rightarrow V P \cdot[0,1]$
S8: $V P \rightarrow$ Verb $N P \cdot[0,3]$


## Book that flight



## What About Dead Ends?

## Book that flight



## Book that flight

SO: $\gamma \rightarrow \cdot S[0,0]$
S1: $S \rightarrow \cdot N P$ VP $[0,0]$
AAP - PMOTOUTT
AP-PTODET-NOUT



## What About Recursion?

## What about recursion?

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- We now have a top-down parser in hand. Does it enter infinite loops on rules like S -> S ‘and' S?


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- We now have a top-down parser in hand. Does it enter infinite loops on rules like $S$-> S 'and' $S$ ?
- No!

procedure EnQueue(state, chart-entry)<br>if state is not already in chart-entry then<br>PuSh(state, chart-entry)<br>end

## What about recursion?

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- No!

```
procedure ENQUEUE(state, chart-entry)
    if state is not already in chart-entry then
            PuSH(state, chart-entry)
    end
```

Exercise: parse 'table and chair' using the very simple grammar Nom -> Nom 'and' Nom |'table' | 'chair'

